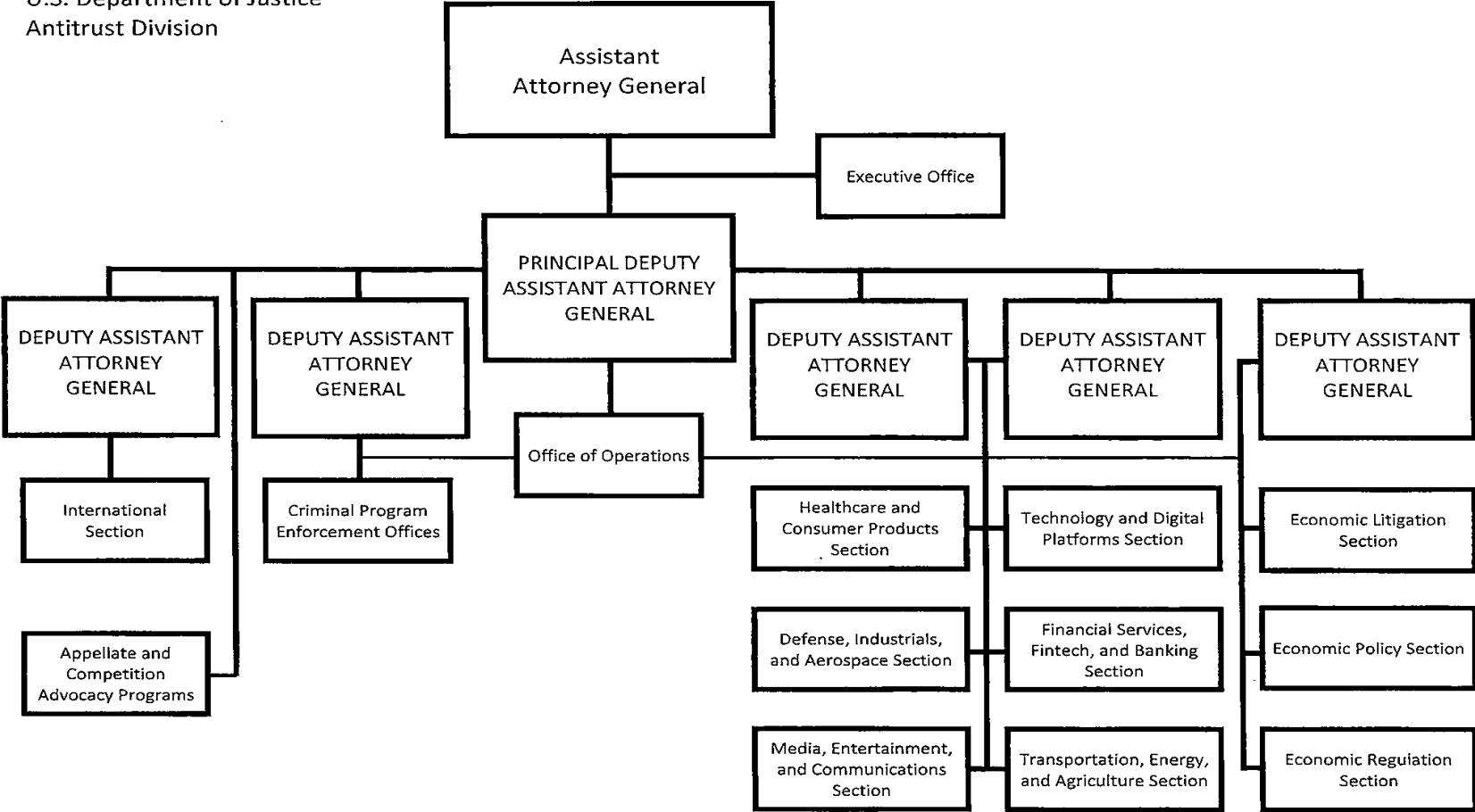


U.S. Department of Justice  
Antitrust Division



Approved by: W.P. Barr Date: 9/17/20  
William P. Barr  
Attorney General

B. Summary of Requirements

## Summary of Requirements

Antitrust Division  
Salaries and Expenses  
(Dollars in Thousands)

	FY 2022 Request		
	Positions	Estimate FTE	Amount
<b>2020 Enacted 1/</b>	[695]	608	166,755
<b>Total 2020 Enacted</b>	[695]	608	166,755
<b>2021 Enacted</b>	[740]	659	184,524
<b>Base Adjustments</b>			
Pay and Benefits	0	22	7,884
Domestic Rent and Facilities	0	0	-2,869
Other Adjustments	[24]	24	3,237
<b>Total Base Adjustments</b>	[24]	46	8,252
<b>Total Technical and Base Adjustments</b>	[24]	46	8,252
<b>2022 Current Services</b>	[764]	705	192,776
<b>Program Changes</b>			
Increases:			
Antitrust Enforcement Enhancement	[66]	48	8,400
Subtotal, Increases	[66]	48	8,400
<b>Total Program Changes</b>	[66]	48	8,400
<b>2022 Total Request</b>	[830]	753	201,176
2021 - 2022 Total Change	[90]	94	16,652

<sup>1/</sup> FY 2020 FTE is actual

B. Summary of Requirements

### Summary of Requirements

Antitrust Division  
Salaries and Expenses  
(Dollars in Thousands)

Program Activity	FY 2020 Enacted			FY 2021 Enacted			FY 2022 Technical and Base Adjustments			FY 2022 Current Services		
	Positions	Actual FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount
Antitrust Division	[695]	608	166,755	[640]	659	184,524	[24]	46	8,252	[664]	705	192,776
<b>Total Direct</b>	<b>[695]</b>	<b>608</b>	<b>166,755</b>	<b>[640]</b>	<b>659</b>	<b>184,524</b>	<b>[24]</b>	<b>46</b>	<b>8,252</b>	<b>[664]</b>	<b>705</b>	<b>192,776</b>
Balance Rescission			0			0			0			0
<b>Total Direct with Rescission</b>			<b>166,755</b>			<b>184,524</b>			<b>8,252</b>			<b>192,776</b>
Reimbursable FTE		0			0			0			0	
<b>Total Direct and Reimb. FTE</b>		<b>608</b>			<b>659</b>			<b>46</b>			<b>705</b>	
Other FTE:												
LEAP		0			0			0			0	
Overtime		0			0			0			0	
<b>Grand Total, FTE</b>		<b>608</b>			<b>659</b>			<b>46</b>			<b>705</b>	
<i>Sub-Allotments and Direct Collections FTE</i>		14			47			-24			23	

Program Activity	2022 Increases			2022 Offsets			2022 Request		
	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount
Antitrust Division	[66]	48	8,400	0	0	0	[730]	753	201,176
<b>Total Direct</b>	<b>[66]</b>	<b>48</b>	<b>8,400</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>[730]</b>	<b>753</b>	<b>201,176</b>
Balance Rescission			0			0			0
<b>Total Direct with Rescission</b>			<b>8,400</b>			<b>0</b>			<b>201,176</b>
Reimbursable FTE		0			0			0	
<b>Total Direct and Reimb. FTE</b>		<b>48</b>			<b>0</b>			<b>753</b>	
Other FTE:									
LEAP		0			0			0	
Overtime		0			0			0	
<b>Grand Total, FTE</b>		<b>48</b>			<b>0</b>			<b>753</b>	
<i>Sub-Allotments and Direct Collections FTE</i>		0			0			23	

**FY 2022 Program Increases/Offsets by Decision Unit**

Antitrust Division  
 Salaries and Expenses  
 (Dollars in Thousands)

Program Increases	Location of Description by	Antitrust Division				Total Increases			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
Antitrust Enforcement Enhancement		[66]	[38]	48	8,400	[66]	[38]	48	8,400
<b>Total Program Increases</b>		<b>[66]</b>	<b>[38]</b>	<b>48</b>	<b>8,400</b>	<b>[66]</b>	<b>[38]</b>	<b>48</b>	<b>8,400</b>

Program Offsets	Location of Description by	Antitrust Division				Total Offsets			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
No Program Offsets									
<b>Total Program Offsets</b>									



## Justifications for Technical and Base Adjustments

Antitrust Division  
Salaries and Expenses  
(Dollars in Thousands)

	Positions	Estimate FTE	Amount
<b>Pay and Benefits</b>			
1 <u>2022 Pay Raise - 2.7%</u> This request provides for a proposed 2.7 percent pay raise to be effective in January of 2022. The amount requested, \$2,244,000, represents the pay amounts for 3/4 of the fiscal year plus appropriate benefits (\$1,727,000 for pay and \$517,000 for benefits.)	0	0	2,244
2 <u>Annualization of 2021 Approved Positions</u> This provides for the annualization of new positions appropriated in 2021. Annualization of new positions extends up to 2 years to provide entry level funding in the first year, with a 1 or 2-year progression to a journeyman level. For 2022 increases, this request includes a net increase of \$4,097,000 for full-year costs associated with these additional positions.	0	22	4,097
3 <u>Annualization of 2021 Pay Raise</u> This pay annualization represents first quarter amounts (October through December) of the 2021 pay increase of 1.0%. The amount requested, \$491,000, represents the pay amounts for 1/4 of the fiscal year plus appropriate benefits (\$376,000 for pay and \$115,000 for benefits).	0	0	491
4 <u>Employees Compensation Fund</u> The -\$6,000 request reflects anticipated changes in payments to the Department of Labor for injury benefits under the Federal Employee Compensation Act.	0	0	-6
5 <u>FERS Rate Increase</u> Effective October 1, 2021 (FY 2022), the new agency contribution rates of 18.4% (an increase of the prior rate of 17.3%) and 37.6% for law enforcement personnel (an increase of the prior rate of 35.8%), The amount requested, \$910,000, represents the funds needed to cover this increase.	0	0	910
6 <u>Health Insurance</u> Effective January 2022, the component's contribution to Federal employees' health insurance increases by 3.4 percent. Applied against the 2021 estimate of \$5,278,000, the additional amount required is \$172,000.	0	0	172
7 <u>Retirement - CSRS to FERS Conversion</u>	0	0	8

## Justifications for Technical and Base Adjustments

Antitrust Division  
Salaries and Expenses  
(Dollars in Thousands)

	Positions	Estimate FTE	Amount
<p>Agency retirement contributions increase as employees under CSRS retire and are replaced by FERS employees. Based on U.S. Department of Justice Agency estimates, we project that the DOJ workforce will convert from CSRS to FERS at a rate of 0.8 percent per year, for both LEO and Non-LEO, based on the past 5 years of DOJ retirement data. The requested increase of \$8,000 is necessary to meet our increased retirement obligations as a result of this conversion.</p>			
<p><b>8 Retirement - FERS/FRAE Conversion Savings</b> Agency retirement contributions will decrease as new FERS RAE employees are hired and replace CSRS and regular FERS employees. Based on OMB Circular A-11 FERS RAE withholding rates, we project agency savings from employees hired after December 31, 2012 of 1.8 percent of salaries for Non-LEO employees and 1.7 percent of salaries for LEO employees in FY 2021, for a savings of \$32,000.</p>	0	0	-32
<b>Subtotal, Pay and Benefits</b>	<b>0</b>	<b>22</b>	<b>7,884</b>
<b>Domestic Rent and Facilities</b>			
<p><b>1 GSA Rent</b> GSA will continue to charge rental rates that approximate those charged to commercial tenants for equivalent space and related services. The requested increase of \$820,000 is required to meet our commitment to GSA. The costs associated with GSA rent were derived through the use of an automated system, which uses the latest inventory data, including rate increases to be effective FY 2021 for each building currently occupied by Department of Justice components, as well as the costs of new space to be occupied.</p>	0	0	820
<p><b>2 Moves</b> GSA requires all agencies to pay relocation costs associated with lease expirations. This request provides for the costs associated with new office relocations caused by the expiration of leases.</p>	0	0	6,711
<p><b>3 Moves - Non-Recur</b> GSA requires all agencies to pay relocation costs associated with lease expirations. This is the non-recurrence of the move costs associated with new office relocations provided in the FY 2021 Enacted Budget.</p>	0	0	-10,400
<b>Subtotal, Domestic Rent and Facilities</b>	<b>0</b>	<b>0</b>	<b>-2,869</b>

E. Justifications for Technical and Base Adjustments

**Justifications for Technical and Base Adjustments**

Antitrust Division  
 Salaries and Expenses  
 (Dollars in Thousands)

	Positions	Estimate FTE	Amount
<b>Other Adjustments</b>			
1 <u>Security Investigations</u> For FY 2022, the request includes an increase for security investigations totalling \$88,000.	0	0	88
2 <u>Stabilizing Digital Markets</u> The \$3,149 and 24 FTE request reflects the shift of Three Percent Funds to base resources because of the growing instability of the Three Percent Fund.	[24]	24	3,149
<b>Subtotal, Other Adjustments</b>	<b>[24]</b>	<b>24</b>	<b>3,237</b>
<b>TOTAL DIRECT TECHNICAL and BASE ADJUSTMENTS</b>	<b>[24]</b>	<b>46</b>	<b>8,252</b>



### Crosswalk of 2020 Availability

Antitrust Division  
Salaries and Expenses  
(Dollars in Thousands)

Program Activity	FY 2020 Enacted			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	FY 2020 Availability		
	Positions	Actual FTE	Amount	Positions	Actual FTE	Amount	Amount	Amount	Positions	Actual FTE	Amount
Antitrust Division	[695]	608	166,755	0	0	-36	10,213	3,642	[695]	608	180,574
<b>Total Direct</b>	<b>[695]</b>	<b>608</b>	<b>166,755</b>	<b>0</b>	<b>0</b>	<b>-36</b>	<b>10,213</b>	<b>3,642</b>	<b>[695]</b>	<b>608</b>	<b>180,574</b>
Balance Rescission			0			0	0	0			0
Total Direct with Rescission			166,755			-36	10,213	3,642			180,574
Reimbursable FTE		0			0					0	
Total Direct and Reimb. FTE		608			0					608	
Other FTE:											
LEAP FTE		0			0					0	
Overtime		0			0					0	
Grand Total, FTE		608			0					608	
<i>Sub-Allotments and Direct Collections FTE</i>		14								14	

**Reprogramming/Transfers:**

A total of \$36,000 was transferred from ATR in FY 2020.

**Carryover:**

ATR brought forward \$10.213 million from prior year salaries and expenses funding.

**Recoveries/Refunds:**

As of September 30, 2020, ATR recoveries totaled \$3.642 million.

### Crosswalk of 2021 Availability

Antitrust Division  
Salaries and Expenses  
(Dollars in Thousands)

Program Activity	FY 2021 Enacted			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	FY 2021 Availability		
	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Amount	Amount	Positions	Est. FTE	Amount
Antitrust Division	[640]	659	184,524	0	0	0	4,962	0	[640]	659	189,486
<b>Total Direct</b>	<b>[640]</b>	<b>659</b>	<b>184,524</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,962</b>	<b>0</b>	<b>[640]</b>	<b>659</b>	<b>189,486</b>
Balance Rescission			0				0	0			0
Total Direct with Rescission			184,524				4,962	0			189,486
Reimbursable FTE		0			0					0	
Total Direct and Reimb. FTE		659			0					659	
Other FTE:											
LEAP FTE		0			0					0	
Overtime		0			0					0	
Grand Total, FTE		659			0					659	
<i>Sub-Allotments and Direct Collections FTE</i>		47								47	

**Reprogramming/Transfers:**

**Carryover:**

ATR brought forward \$4.962 million from prior year salaries and expenses funding.

**Recoveries/Refunds:**

### Summary of Reimbursable Resources

Antitrust Division  
Salaries and Expenses  
(Dollars in Thousands)

Collections by Source	2020 Actual			2021 Estimate			2022 Request			Increase/Decrease		
	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount
Civil Rights Division	0	0	178	0	0	65	0	0	0	0	0	-65
Department of Justice (Justice Management Division)	0	0	2,052	0	0	98	0	0	0	0	0	-98
Federal Trade Commission	0	0	12	0	0	0	0	0	0	0	0	0
Office of Attorney Recruitment/Management	0	0	9	0	0	0	0	0	0	0	0	0
U.S. Attorneys	0	0	19	0	0	0	0	0	0	0	0	0
<b>Budgetary Resources</b>	<b>0</b>	<b>0</b>	<b>2,270</b>	<b>0</b>	<b>0</b>	<b>163</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>-163</b>

Obligations by Program Activity	2020 Actual			2021 Estimate			2022 Request			Increase/Decrease		
	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount
Antitrust Division	0	0	2,270	0	0	163	0	0	0	0	0	-163
<b>Budgetary Resources</b>	<b>0</b>	<b>0</b>	<b>2,270</b>	<b>0</b>	<b>0</b>	<b>163</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>-163</b>

**Summary of Sub-Allotments and Direct Collections Resources**

Antitrust Division  
 Salaries and Expenses  
 (Dollars in Thousands)

Sub-Allotments and Direct Collections	2020 Actual			2021 Estimate			2022 Request			Increase/Decrease		
	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount
DEBT COLLECTION MANAGEMENT - 3%	14	14	4,897	47	47	6,297	23	23	3,148	-24	-24	-3,149
<b>Budgetary Resources</b>	<b>14</b>	<b>14</b>	<b>4,897</b>	<b>47</b>	<b>47</b>	<b>6,297</b>	<b>23</b>	<b>23</b>	<b>3,148</b>	<b>-24</b>	<b>-24</b>	<b>-3,149</b>

Obligations by Program Activity	2020 Actual			2021 Estimate			2022 Request			Increase/Decrease		
	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount
Antitrust Division	14	14	4,897	47	47	6,297	23	23	3,148	-24	-24	-3,149
<b>Budgetary Resources</b>	<b>14</b>	<b>14</b>	<b>4,897</b>	<b>47</b>	<b>47</b>	<b>6,297</b>	<b>23</b>	<b>23</b>	<b>3,148</b>	<b>-24</b>	<b>-24</b>	<b>-3,149</b>

**Detail of Permanent Positions by Category**

Antitrust Division  
Salaries and Expenses  
(Dollars in Thousands)

Category	FY 2020 Enacted			FY 2021 Enacted			FY 2022 Request					
	Direct Pos.	Reimb. Pos.	SubAllot-Dir Coll Pos.	Direct Pos.	Reimb. Pos.	SubAllot-Dir Coll Pos.	ATBs	Program Increases	Program Offsets	Total Direct Pos.	Total Reimb. Pos.	Total SubAllot-Dir Coll Pos.
Security Specialists (080)	[4]	0	0	[4]	0	0	0	0	0	[4]	0	0
Social Science, Psychology, Welfare (0100-0199)	[50]	0	0	[53]	0	0	0	[5]	0	[58]	0	0
Personnel Management (0200-0260)	[10]	0	0	[10]	0	0	0	0	0	[10]	0	0
Clerical and Office Services (0300-0399)	[80]	0	0	[80]	0	0	0	0	0	[80]	0	0
Accounting and Budget (500-599)	[9]	0	0	[9]	0	0	0	0	0	[9]	0	0
Paralegals / Other Law (900-998)	[160]	0	0	[174]	0	0	[12]	[23]	0	[209]	0	0
Attorneys (905)	[335]	0	14	[363]	0	22	[11]	[38]	0	[412]	0	11
Paralegal Specialist (0950)	0	0	0	0	0	24	0	0	0	0	0	12
Business & Industry (1100-1199)	[4]	0	0	[4]	0	0	0	0	0	[4]	0	0
Library (1400-1499)	[4]	0	0	[4]	0	0	0	0	0	[4]	0	0
Mathematics and Statistics Group	[9]	0	0	[9]	0	1	[1]	0	0	[10]	0	0
Information Technology Mgmt (2210-2299)	[30]	0	0	[30]	0	0	0	0	0	[30]	0	0
<b>Total</b>	<b>[695]</b>	<b>0</b>	<b>14</b>	<b>[740]</b>	<b>0</b>	<b>47</b>	<b>[24]</b>	<b>[66]</b>	<b>0</b>	<b>[830]</b>	<b>0</b>	<b>23</b>
Headquarters Washington D.C.	[570]	0	14	[607]	0	34	[19]	[51]	0	[677]	0	15
US Fields	[125]	0	0	[133]	0	13	[5]	[15]	0	[153]	0	8
Foreign Field	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>[695]</b>	<b>0</b>	<b>14</b>	<b>[740]</b>	<b>0</b>	<b>47</b>	<b>[24]</b>	<b>[66]</b>	<b>0</b>	<b>[830]</b>	<b>0</b>	<b>23</b>

### Financial Analysis of Program Changes

Antitrust Division  
Salaries and Expenses  
(Dollars in Thousands)

Grades	Antitrust Division				Total Program Changes	
	Program Increases		Program Decreases		Positions	Amount
	Positions	Amount	Positions	Amount		
GS-15	15	3480	0	0	15	3480
GS-14	28	3202	0	0	28	3202
GS-9	12	1128	0	0	12	1128
GS-7	11	590	0	0	11	590
<b>Total Positions and Annual Amount</b>	<b>[66]</b>	<b>8,400</b>	<b>0</b>	<b>0</b>	<b>[66]</b>	<b>8,400</b>
Lapse (-)	-18	-2886	0	0	-18	-2886
11.5 - Other personnel compensation		57		0		57
<b>Total FTEs and Personnel Compensation</b>	<b>[48]</b>	<b>5,571</b>	<b>0</b>	<b>0</b>	<b>[48]</b>	<b>5,571</b>
12.1 - Civilian personnel benefits		1686		0		1686
21.0 - Travel and transportation of persons		152		0		152
23.3 - Communications, utilities, and miscellaneous charges		123		0		123
24.0 - Printing and reproduction		35		0		35
25.2 - Other services from non-federal sources		81		0		81
25.3 - Other goods and services from federal sources		207		0		207
26.0 - Supplies and materials		79		0		79
31.0 - Equipment		466		0		466
<b>Total Program Change Requests</b>	<b>[48]</b>	<b>8,400</b>	<b>0</b>	<b>0</b>	<b>[48]</b>	<b>8,400</b>

### Summary of Requirements by Object Class

Antitrust Division  
Salaries and Expenses  
(Dollars in Thousands)

Object Class	FY 2020 Actual		FY 2021 Enacted		FY 2022 Request		Increase/Decrease	
	Act. FTE	Amount	Direct FTE	Amount	Direct FTE	Amount	Direct FTE	Amount
11.1 - Full-time permanent	608	64,623	659	68,224	753	80,044	94	11,820
11.3 - Other than full-time permanent	0	12,865	0	13,579	0	14,891	0	1,312
11.5 - Other personnel compensation	0	1,999	0	2,000	0	2,057	0	57
<i>Overtime</i>	0	0	0	0	0	0	0	0
<i>Other Compensation</i>	0	0	0	0	0	0	0	0
11.8 - Special personal services payments	0	462	0	500	0	500	0	0
<b>Total</b>	<b>608</b>	<b>79,949</b>	<b>659</b>	<b>84,303</b>	<b>753</b>	<b>97,492</b>	<b>94</b>	<b>13,189</b>
<b>Other Object Classes</b>								
12.1 - Civilian personnel benefits		25,676		28,012		33,119	0	5,107
13.0 - Benefits for former personnel		49		50		44	0	-6
21.0 - Travel and transportation of persons		1,025		250		1,000	0	750
22.0 - Transportation of things		19		20		20	0	0
23.1 - Rental payments to GSA		22,712		22,944		23,764	0	820
23.2 - Rental payments to others		309		320		320	0	0
23.3 - Communications, utilities, and miscellaneous charges		756		800		923	0	123
24.0 - Printing and reproduction		890		400		435	0	35
25.1 - Advisory and assistance services		7,005		8,276		7,251	0	-1,025
25.2 - Other services from non-federal sources		6,222		8,530		7,552	0	-978
25.3 - Other goods and services from federal sources		13,142		14,623		13,103	0	-1,520
25.4 - Operation and maintenance of facilities		3,557		3,374		2,955	0	-419
25.7 - Operation and maintenance of equipment		3,821		4,729		4,142	0	-587
26.0 - Supplies and materials		1,261		1,400		1,479	0	79
31.0 - Equipment		2,701		1,000		866	0	-134
32.0 - Land and structures		6,518		10,400		6,711	0	-3,689
42.0 - Insurance claims and indemnities		0		55		0	0	-55
<b>Total Obligations</b>		<b>175,612</b>		<b>189,486</b>		<b>201,176</b>	<b>0</b>	<b>11,690</b>
<b>Net of:</b>								
Unobligated Balance, Start-of-Year		-10,213		-4,962		0	0	4,962
Transfers/Reprogramming		36		0		0	0	0
Recoveries/Refunds		-3,642		0		0	0	0
Balance Rescission		0		0		0	0	0
Unobligated End-of-Year, Available		4,962		0		0	0	0
Unobligated End-of-Year, Expiring		0		0		0	0	0
<b>Total Direct Requirements</b>		<b>166,755</b>		<b>184,524</b>		<b>201,176</b>		<b>16,652</b>
Reimbursable FTE								
Full-Time Permanent	0		0		0		0	0
<i>Sub-Allotments and Direct Collections FTE</i>	14		47		23		-24	

ATR will continue to pay increased non-SES Awards at least 1% greater in FY 2021 and FY 2022.