

UNITED STATES DEPARTMENT
OF
JUSTICE



**ANNUAL REPORT TO CONGRESS
ON OUTSTANDING
GOVERNMENT ACCOUNTABILITY OFFICE
AND INSPECTOR GENERAL
RECOMMENDATIONS**

May 2021

Background

In accordance with the Good Accounting Obligation in Government Act or the GAO–IG Act, the Department of Justice (Department or DOJ) provides the following report. The report describes the Department’s actions on outstanding public recommendations of the Government Accountability Office (GAO) and the Department’s Office of the Inspector General (OIG) as of December 31, 2020. The first section of the report provides information on the status of implementing GAO public recommendations designated by the GAO as *Open*. The second section provides information on the status of GAO public recommendations designated by the GAO as *Closed, Unimplemented*. The third and final section of the report provides information on the status of implementing OIG recommendations for which the Department has not completed final action. In accordance with the Act, all three sections provide the required reporting elements for recommendations published not less than 1 year before the date on which the annual budget justification is submitted.¹

The GAO–IG Act also requires the Department to include a statement describing the status of implementing public recommendations open less than 1 year. For the GAO and OIG recommendations meeting this parameter, as of December 31, 2020, the Department was in the process of implementing the recommendations, awaiting closure of the recommendations by the GAO or OIG, or awaiting discussions with the GAO or OIG on further action to be implemented, if any, to close the recommendations.

The GAO–IG Act requires the Department to disclose discrepancies between its report and reports issued by the GAO and OIG. The Department is not aware of any discrepancy between this report and public reports issued by the GAO relating to public recommendations designated by the GAO as *Open* or *Closed, Unimplemented*. Further, the Department is not aware of any discrepancy between this report and semiannual reports submitted by the OIG under Section 5 of the Inspector General Act of 1978 (5 U.S.C. App.).

DOJ Report on Outstanding GAO and OIG Recommendations

1. GAO Recommendations

a. Implementation Status of GAO Public Recommendations Designated by the GAO as Open

The reporting details are provided in Appendix 1. As required by the GAO–IG Act, the details include the implementation status of each public recommendation, to include a timeline for full implementation, as applicable; e.g., for several recommendations, the Department believes it has completed final action and is awaiting GAO concurrence and closure of the recommendations.

b. Implementation Status of GAO Public Recommendations Designated by the GAO as Closed, Unimplemented

The reporting details are provided in Appendix 2. For this requirement, the Department reported on recommendations designated by the GAO as Closed, Unimplemented for reports issued during the last five years, i.e., reports issued by the GAO in calendar years 2016 through 2020. For those reports, the GAO designated only one recommendation as Closed, Unimplemented. As required by the GAO–IG Act, the reporting details include the Department’s justification for the decision not to implement the recommendation.

¹ The reporting details in Appendices 1 through 3 provide information on public recommendations in GAO and OIG reports issued on or before December 31, 2019.

2. OIG Recommendations

a. Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

The reporting details are provided in Appendix 3. As required by the GAO-IG Act, the details include the implementation status of each public recommendation, to include a timeline for implementation, as applicable; e.g., for several recommendations, the Department believes it has completed final action and is awaiting OIG concurrence and closure of the recommendations.

This section of the report also provides the status of final action for recommendations with questioned costs and recommendations that funds be put to better use (FBU). The reporting details show that, even though recommendations may still be open, the Department has completed final action on, and the OIG has closed, more than \$22.5 million of questioned costs. The details also show that, Department-wide, there are only four open FBU recommendations totaling \$2,070,661.²

² For purposes of this report, a recommendation that includes funds to better use means the OIG believes funds could be used more efficiently if management took actions to implement and complete the recommendation.

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in GAO Report | Recommendation | Timeline for Full Implementation | Justification for Decision Not to Implement |
|------------|---|-------------|---------------|------------------------|--|---|---|
| GAO-15-112 | WHISTLEBLOWER PROTECTION: Additional Actions Needed to Improve DOJ's Handling of FBI Retaliation Complaints (GAO-15-112) | 2/23/2015 | ODAG | 1 | To better ensure that FBI whistleblowers have access to recourse under DOJ's regulations should the individuals experience retaliation, and to minimize the possibility of discouraging future potential whistleblowers, the Attorney General should clarify in all current relevant DOJ guidance and communications, including FBI guidance and communications, to whom FBI employees may make protected disclosures and, further, explicitly state that employees will not have access to recourse if they experience retaliation for reporting alleged wrongdoing to someone not designated in DOJ's regulations. | FY 2021 | Not applicable. Implementation is in progress. |
| GAO-15-431 | TELECOMMUNICATIONS: Agencies Need Better Controls to Achieve Significant Savings on Mobile Devices and Services (GAO-15-431) | 5/21/2015 | JMD OCIO | 17 | To help the department effectively manage spending on mobile devices and services, the Attorney General should ensure procedures to monitor and control spending are established department-wide. Specifically, ensure that (1) procedures include assessing devices for zero, under, and over usage; (2) personnel with authority and responsibility for performing the procedures are identified; and (3) the specific steps to be taken to perform the process are documented. | JMD management reported to GAO on 11/12/2020 that JMD had completed final action. | Not applicable. Awaiting GAO closure of the recommendation. |
| GAO-15-471 | PRESCRIPTION DRUGS: More DEA Information about Registrants' Controlled Substances Roles Could Improve Their Understanding and Help Ensure Access (GAO-15-471) | 7/27/2015 | DEA | 2 | In order to strengthen DEA's communication with and guidance for registrants and associations representing registrants, as well as supporting the Office of Diversion Control's mission of preventing diversion while ensuring an adequate and uninterrupted supply of controlled substances for legitimate medical needs, the Deputy Assistant Administrator for the Office of Diversion Control should solicit input from distributors, or associations representing distributors, and develop additional guidance for distributors regarding their roles and responsibilities for suspicious orders monitoring and reporting. | FY 2021 | Not applicable. Implementation is in progress. |
| | | | | 3 | In order to strengthen DEA's communication with and guidance for registrants and associations representing registrants, as well as supporting the Office of Diversion Control's mission of preventing diversion while ensuring an adequate and uninterrupted supply of controlled substances for legitimate medical needs, the Deputy Assistant Administrator for the Office of Diversion Control should solicit input from pharmacists, or associations representing pharmacies and pharmacists, about updates and additions needed to existing guidance for pharmacists, and revise or issue guidance accordingly. | FY 2021 | Not applicable. Implementation is in progress. |
| GAO-16-310 | CONTROLLED SUBSTANCES: Substances: DEA Should Take Additional Actions to Reduce Risks in Monitoring the Continued Eligibility of Its Registrants (GAO-16-310) | 5/26/2016 | DEA | 2 | To help ensure that practitioners who may be ineligible do not possess a controlled substance registration and that practitioners who pose an increased risk of illicit diversion are identified, the Acting Administrator of DEA should take additional actions to strengthen verification controls. Specifically, the Acting Administrator of DEA should develop policies and procedures to validate SSNs and apply the policies and procedures to all new and existing SSNs in the CSA2; such an approach could involve collaborating with SSA to assess the feasibility of checking registrants' SSNs against the Enumeration Verification System. | FY 2021 | Not applicable. Implementation is in progress. |

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in GAO Report | Recommendation | Timeline for Full Implementation | Justification for Decision Not to Implement |
|------------|---|-------------|---------------|------------------------|--|--|---|
| GAO-16-310 | CONTROLLED SUBSTANCES: Substances: DEA Should Take Additional Actions to Reduce Risks in Monitoring the Continued Eligibility of Its Registrants (GAO-16-310) | 4/25/16 | DEA | 4 | To help ensure that practitioners who may be ineligible do not possess a controlled substance registration and that practitioners who pose an increased risk of illicit diversion are identified, the Acting Administrator of DEA should take additional actions to strengthen verification controls. Specifically, the Acting Administrator of DEA should identify and implement a cost-effective approach to monitor state licensure and disciplinary actions taken against its registrants; such an approach could include using data sources that contain this information, such as the National Practitioner Data Bank or the Federation of State Medical Boards. | FY 2021 | Not applicable. Implementation is in progress. |
| GAO-16-516 | FEDERAL PRISON SYSTEM: Justice Has Used Alternatives to Incarceration, But Could Better Measure Program Outcomes (GAO-16-516) | 6/23/2016 | BOP | 5 | To determine how the use of RRCs and home confinement contribute to its goal of helping inmates successfully reenter society, and to better enable BOP to adjust its policies and procedures for the optimal use of these alternatives, as necessary and within statutory requirements, the Director of BOP should develop performance measures by which to help assess program outcomes. | FY 2021 | Not applicable. Implementation is in progress. |
| GAO-17-004 | STUDENT LOANS: Oversight of Servicemembers' Interest Rate Cap Could be Strengthened (GAO-17-4) | 11/15/2016 | CRT | 5 | To better ensure that servicemembers with private student loans benefit from the SCRA interest rate cap, the Director of the Consumer Financial Protection Bureau and the Attorney General of the Department of Justice should coordinate with each other, and with the four federal financial regulators, as appropriate, to determine the best way to ensure routine oversight of SCRA compliance for all nonbank private student loan lenders and servicers. If CFPB and DOJ determine that additional statutory authority is needed to facilitate such oversight, CFPB and DOJ should develop a legislative proposal for Congress. | CRT continues to disagree with GAO's recommendation. CRT management requested closure on 10/13/2016. | CRT continues to maintain that statutory authority does not exist to implement GAO's recommendation. CRT further maintains it is unnecessary to seek additional statutory authority because DOJ already coordinates extensively with the Consumer Financial Protection Bureau and financial regulators concerning SCRA compliance for nonbank private student loan lenders and servicers. Awaiting GAO closure of the recommendation. |
| GAO-17-072 | ASYLUM: Variation Exists in Outcomes of Applications Across Immigration Courts and Judges (GAO-17-72) | 11/14/2016 | EOIR | 1 | To better assess whether the LOP and LOPC are having a measurable impact in meeting their program objectives, the Director of EOIR should develop and implement a system of performance measures, including establishing a baseline, to regularly evaluate the effectiveness of LOP and LOPC and assess whether the programs are achieving their stated goals. | FY 2021 | Not applicable. Implementation is in progress. |
| GAO-17-300 | COUNTERING VIOLENT EXTREMISM: Actions Needed to Define Strategy and Assess Progress of Federal Efforts (GAO-17-300) | 4/6/2017 | ODAG | 2 | To help identify what domestic CVE efforts are to achieve and the extent to which investments in CVE result in measurable success, the Secretary of Homeland Security and the Attorney General--as heads of the two lead agencies responsible for coordinating CVE efforts--should direct the CVE Task Force to develop a cohesive strategy that includes measurable outcomes for CVE activities. | FY 2021 | Not applicable. Implementation is in progress. |

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in GAO Report | Recommendation | Timeline for Full Implementation | Justification for Decision Not to Implement |
|------------|--|-------------|---------------|------------------------|---|---|---|
| GAO-17-300 | COUNTERING VIOLENT EXTREMISM: Actions Needed to Define Strategy and Assess Progress of Federal Efforts (GAO-17-300) | 42831 | ODAG | 4 | To help identify what domestic CVE efforts are to achieve and the extent to which investments in CVE result in measureable success, the Secretary of Homeland Security and the Attorney General--as heads of the two lead agencies responsible for coordinating CVE efforts--should direct the CVE Task Force to establish and implement a process to assess overall progress in CVE, including its effectiveness. | FY 2021 | Not applicable. Implementation is in progress. |
| GAO-17-379 | BUREAU OF PRISONS: Better Planning and Evaluation Needed to Understand and Control Rising Inmate Health Care Costs (GAO-17-379) | 6/29/2017 | BOP | 1 | To better understand the available opportunities for collecting inmate health care utilization data, BOP should conduct a cost-effectiveness analysis of potential solutions, and take steps toward implementation of the most effective solution. | FY 2022 | Not applicable. Implementation is in progress. |
| | | | | 2 | To better understand the available opportunities for controlling health care costs, BOP should implement its guidance to conduct "spend analyses" of BOP's health care spending, using data sources already available (Recommendation 2) | FY 2022 | Not applicable. Implementation is in progress. |
| | | | | 3 | To determine the actual or likely effectiveness of its ongoing or planned health care cost control initiatives, BOP should evaluate the extent to which its initiatives achieve their cost control aim. | FY 2022 | Not applicable. Implementation is in progress. |
| | | | | 4 | To enhance its strategic planning for and implementation of health care cost control efforts, BOP should incorporate elements of a sound planning approach and (1) establish a means of measuring progress toward and effectiveness of its activities for its current strategic objectives and goals related to controlling health care costs; and (2) identify the resources and investments necessary for implementation of its planned health care cost control initiatives. | FY 2022 | Not applicable. Implementation is in progress. |
| | | | | 5 | To improve the reliability and utility of its Federal Medical Center mission analyses, BOP should document the analyses and findings that underlie its recommendations. | FY 2022 | Not applicable. Implementation is in progress. |
| GAO-17-438 | IMMIGRATION COURTS: Actions Needed to Reduce Case Backlog and Address Long-Standing Management and Operational Challenges (GAO-17-438) | 6/1/2017 | EOIR | 1 | To better address current and future staffing needs, the Director of EOIR should develop and implement a strategic workforce plan that addresses, among other areas, key principles of effective strategic workforce planning, including (1) determining critical skills and competencies needed to achieve current and future programmatic results; (2) developing strategies that are tailored to address gaps in number, deployment, and alignment of human capital approaches for enabling and sustaining the contributions of all critical skills and competencies; and (3) monitoring and evaluation of the agency's progress toward its human capital goals and the contribution that human capital results have made toward achieving programmatic results. | EOIR management reported to GAO on 10/22/2020 that EOIR had completed final action. | Not applicable. Awaiting GAO closure of the recommendation. |
| | | | | 4 | To help ensure that EOIR meets its cost and schedule expectations for ECAS, the EOIR Director should document and implement an oversight plan that is consistent with best practices for overseeing IT projects, including (1) establishing how the oversight body is to monitor program performance and progress toward expected cost, schedule, and benefits; (2) ensuring that corrective actions are identified and assigned to the appropriate parties at the first sign of cost, schedule, or performance slippages; and (3) ensuring that corrective actions are tracked until the desired outcomes are achieved. | FY 2021 | Not applicable. Implementation is in progress. |
| | | | | 7 | To provide further assurance that EOIR's use of VTC in immigration hearings is outcome-neutral, the Director of EOIR should use these and other data to assess any effects of VTC on immigration hearings and, as appropriate, address any issues identified through such an assessment. | FY 2022 | Not applicable. Implementation is in progress. |

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in GAO Report | Recommendation | Timeline for Full Implementation | Justification for Decision Not to Implement |
|------------|--|-------------|---------------|------------------------|--|---|---|
| GAO-17-438 | IMMIGRATION COURTS: Actions Needed to Reduce Case Backlog and Address Long-Standing Management and Operational Challenges (GAO-17- 438) | 6/1/2017 | EOIR | 11 | To better assess court performance and use data to identify potential management challenges, the Director of EOIR should update policies and procedures to ensure the timely and accurate recording of Notices to Appear. | FY 2022 | Not applicable. Implementation is in progress. |
| GAO-17-738 | FEDERAL CONTRACTING: Additional Management Attention and Action Needed to Close Contracts and Reduce Audit Backlog (GAO-17-738) | 11/27/2017 | JMD IREO | 4 | To enhance management attention to closing out contracts, the Attorney General should direct the Senior Procurement Executive to ensure the development of a means to track data on the number and type of contracts eligible for closeout and where the contracts are in the closeout process, as well as a means to assess--at the agency or component level--progress by establishing goals and performance measures for closing contracts. | FY 2021 | Not applicable. Implementation is in progress. |
| GAO-18-004 | VOTERS WITH DISABILITIES: Observations on Polling Place Accessibility and Related Federal Guidance (GAO-18-4) | 10/3/2017 | CRT | 1 | The Attorney General should study the implementation of federal accessibility requirements in the context of early in-person voting and make any changes to existing guidance that are determined to be necessary as a result of the study. | FY 2021 | Not applicable. Implementation is in progress. |
| GAO-18-008 | U.S. MARSHALS SERVICE: Additional Actions Needed to Improve Oversight of Merit Promotion Process and Address Employee Perceptions of Favoritism (GAO-18-8) | 10/17/2017 | USMS | 2 | The Director of the USMS should develop and implement a mechanism to provide specific feedback to employees on the results of the promotion process, including their readiness for promotion. | FY 2022 | Not applicable. Implementation is in progress. |
| GAO-18-093 | FEDERAL CHIEF INFORMATION OFFICERS: Officers: Critical Actions Needed to Address Shortcomings and Challenges in Implementing Responsibilities (GAO-18-93) | 8/2/2018 | JMD OCIO | 13 | The Attorney General should ensure that the department's IT management policies address the role of the CIO for key responsibilities in the five areas we identified. | JMD management reported to GAO on 10/29/2019 that JMD had completed final action. | Not applicable. Awaiting GAO closure of the recommendation. |
| GAO-18-203 | FEDERAL CRIMINAL RESTITUTION: Most Debt is Outstanding and Oversight of Collections Could Be Improved (GAO-18-203) | 2/2/2018 | EOUSA | 4 | To improve oversight of the collection of restitution, the Attorney General should develop and implement performance measures and goals for each USAO related to the collection of restitution, and measure progress towards meeting those goals. | FY 2021 | Not applicable. Implementation is in progress. |
| GAO-18-233 | EMERGENCY MANAGEMENT: Federal Agencies Could Improve Dissemination of Resources to Colleges (GAO-18-233) | 1/23/2018 | COPS | 3 | The Attorney General, in collaboration with other agencies through the planned interagency working group or another mechanism, should identify further opportunities to more effectively publicize resources to reach additional colleges. | FY 2021 | Not applicable. Implementation is in progress. |
| | | | FBI | 3 | The Attorney General, in collaboration with other agencies through the planned interagency working group or another mechanism, should identify further opportunities to more effectively publicize resources to reach additional colleges. | FY 2021 | Not applicable. Implementation is in progress. |
| GAO-18-365 | FREEDOM OF INFORMATION ACT: Agencies Are Implementing Requirements but Additional Actions Are Needed (GAO-18-365) | 6/25/2018 | OIP | 11 | The Attorney General of the United States should take steps to develop and document a plan that fully addresses best practices with regards to reduction of backlogged FOIA requests. | FY 2021 | Not applicable. Implementation is in progress. |
| GAO-18-429 | RENTAL HOUSING ASSISTANCE: Actions Needed to Improve Oversight of Criminal History Policies and Implementation of the Fugitive Felon Initiative (GAO-18-429) | 8/9/2018 | FBI | 6 | The Director of the FBI should, in collaboration with the HUD OIG, determine what information on fugitive apprehensions that occur as the result of the Fugitive Felon Initiative would be most useful and consistently share such information with the HUD OIG. | FY 2021 | Not applicable. Implementation is in progress. |
| | | | | 7 | The Director of the FBI should, in collaboration with the HUD OIG, update the Fugitive Felon Initiative MOU to reflect the agencies' current activities and responsibilities. | FY 2021 | Not applicable. Implementation is in progress. |
| GAO-18-537 | NATIVE AMERICAN CULTURAL PROPERTY: Additional Agency Actions Needed to Assist Tribes with Repatriating Items from Overseas Auctions (GAO-18-537) | 8/6/2018 | OTJ | 3 | The Attorney General should direct Justice's members of the interagency working group for protection of Native American cultural property to implement selected leading collaboration practices, such as taking steps to agree on outcomes and objectives, clarify roles and responsibilities, and document these decisions. | FY 2021 | Not applicable. Implementation is in progress. |

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|------------|--|-------------|---------------|------------------------|--|---|--|
| GAO-18-537 | NATIVE AMERICAN CULTURAL PROPERTY: Additional Agency Actions Needed to Assist Tribes with Repatriating Items from Overseas Auctions (GAO-18-537) | 8/6/2018 | OTJ | 7 | The Attorney General should direct Justice's members of the interagency working group for protection of Native American cultural property to identify and externally communicate to tribes points of contact within the agency that are responsible for responding to tribes' requests for assistance with repatriating cultural items from overseas auctions. | FY 2021 | Not applicable. Implementation is in progress. |
| | | | | 11 | The Attorney General should direct Justice's members of the interagency working group for protection of Native American cultural property to collaborate with the interagency working group members from other agencies to assess, in consultation with Indian tribes, whether and how amending the U.S. legal framework governing the export, theft, and trafficking of Native American cultural items would facilitate the repatriation of these items from auctions overseas and report its findings to Congress. | FY 2021 | Not applicable. Implementation is in progress. |
| GAO-19-049 | INFORMATION TECHNOLOGY: Departments Need to Improve Chief Information Officers' Review and Approval of IT Budgets (GAO-19-49) | 11/13/2018 | FBI | 34 | The FBI Director should direct the Office of the CIO and other offices, as appropriate, to take steps to ensure that the actions taken to comply with OMB's common baseline for implementing FITARA on individual investments are adequately documented. | FY 2021 | Not applicable. Implementation is in progress. |
| GAO-19-058 | CLOUD COMPUTING: Agencies Have Increased Usage and Realized Benefits, but Cost and Savings Data Need to be Better Tracked (GAO-19-58) | 5/6/2019 | JMD OCIO | 18 | The Attorney General of the United States should ensure that the CIO of Justice completes an assessment of all IT investments for suitability for migration to a cloud computing service, in accordance with OMB guidance. | JMD management reported to GAO on 6/12/2020 that JMD had completed final action. | Not applicable. Awaiting GAO closure of the recommendation. |
| | | | | 19 | The Attorney General of the United States should ensure that the CIO of Justice establishes a consistent and repeatable mechanism to track savings and cost avoidances from the migration and deployment of cloud services. | JMD management reported to GAO on 6/12/2020 that JMD had completed final action. | Not applicable. Awaiting GAO closure of the recommendation. |
| GAO-19-112 | IMPROPER PAYMENTS: Selected Agencies Need Improvements in Their Assessments to Better Determine and Document Risk Susceptibility (GAO-19-112) | 1/10/2019 | JMD IREO | 4 | The Attorney General should revise DOJ's process for conducting improper payment risk assessments for Law Enforcement to help ensure that it results in a reliable assessment of whether the program is susceptible to significant improper payments. This should include preparing sufficient documentation to support DOJ's risk assessments. | JMD continues to disagree with GAO's recommendation and awaits further discussion regarding actions to be implemented, if any, to close the recommendation. | JMD awaits further discussion with GAO. See comment at left. |
| GAO-19-216 | DNA EVIDENCE: DOJ Should Improve Performance Measurements and Properly Design Controls for Nationwide Grant Program (GAO-19-216) | 3/21/2019 | OJP | 1 | The Principal Deputy Assistant Attorney General for OJP should consistently document CEBR program-wide goals to clarify intended program results. | FY 2021 | Not applicable. Implementation is in progress. |
| | | | | 2 | The Principal Deputy Assistant Attorney General for OJP should ensure that performance measures for each CEBR program-wide goal fully reflect appropriate attributes of successful performance measures. | FY 2021 | Not applicable. Implementation is in progress. |
| | | | | 3 | The Principal Deputy Assistant Attorney General for OJP should document which employee positions have been delegated certification (final signature) authority for confidential financial disclosure reports and specify required levels of review and approval. | FY 2021 | Not applicable. Implementation is in progress. |
| | | | | 4 | The Principal Deputy Assistant Attorney General for OJP should (1) clarify its guidance to grantees to specify what their requirements are under 28 C.F.R. pt. 69 with regard to obtaining lobbying certification documents, and obtaining and forwarding to OJP lobbying disclosure forms, from tiers beneath them; and (2) design a control to follow-up with grantees to help ensure they are meeting these requirements. | FY 2021 | Not applicable. Implementation is in progress. |

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in GAO Report | Recommendation | Timeline for Full Implementation | Justification for Decision Not to Implement | | | | |
|------------|---|-------------|---------------|------------------------|--|--|---|--|--|---------|--|
| GAO-19-365 | ELDER JUSTICE: Goals and Outcome Measures Would Provide DOJ with Clear Direction and a Means to Assess its Efforts (GAO-19-365) | 6/7/2019 | ODAG | 1 | The Attorney General should develop and document goals that explain the common outcomes DOJ seeks to achieve through its elder justice efforts. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | 2 | The Attorney General should develop and document outcome measures to track the progress the agency is making toward achieving its elder justice goals. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| GAO-19-384 | CYBERSECURITY: Agencies Need to Fully Establish Risk Management Programs and Address Challenges (GAO-19-384) | 7/26/2019 | JMD OCIO | 21 | The Attorney General should develop a cybersecurity risk management strategy that includes the key elements identified in this report. | JMD management reported to GAO on 7/13/2020 that JMD had completed final action. | Not applicable. Awaiting GAO closure of the recommendation. | | | | |
| | | | | 22 | The Attorney General should fully establish and document a process for coordination between cybersecurity risk management and enterprise risk management functions. | JMD management reported to GAO on 7/13/2020 that JMD had completed final action. | Not applicable. Awaiting GAO closure of the recommendation. | | | | |
| GAO-19-543 | ENVIRONMENTAL JUSTICE: Federal Efforts Need Better Planning, Coordination, and Methods to Assess Progress (GAO-19-543) | 9/16/2019 | ENRD | 6 | The Attorney General of the United States should update the department's environmental justice strategic plan. | FY 2022 | Not applicable. Implementation is in progress. | | | | |
| GAO-20-006 | RELIGIOUS-BASED HATE CRIMES: DOJ Needs to Improve Support to Colleges Given Increasing Reports on Campuses (GAO-20-6) | 10/25/2019 | COPS | 1 | The Attorney General should ensure that relevant DOJ offices update information about religious-based hate crimes on college campuses, practices to address them, and available DOJ resources to help colleges, campus law enforcement, and other stakeholders monitor and address these crimes. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | 3 | The Attorney General should ensure that relevant DOJ offices share more information about available DOJ resources with colleges, campus law enforcement, and other stakeholders to help them monitor and address religious-based hate crimes on college campuses. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | CRT | 1 | The Attorney General should ensure that relevant DOJ offices update information about religious-based hate crimes on college campuses, practices to address them, and available DOJ resources to help colleges, campus law enforcement, and other stakeholders monitor and address these crimes. | FY 2021 | Not applicable. Implementation is in progress. | | | |
| | | | | 3 | The Attorney General should ensure that relevant DOJ offices share more information about available DOJ resources with colleges, campus law enforcement, and other stakeholders to help them monitor and address religious-based hate crimes on college campuses. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | CRS | 1 | The Attorney General should ensure that relevant DOJ offices update information about religious-based hate crimes on college campuses, practices to address them, and available DOJ resources to help colleges, campus law enforcement, and other stakeholders monitor and address these crimes. | FY 2021 | Not applicable. Implementation is in progress. | | | |
| | | | | 3 | The Attorney General should ensure that relevant DOJ offices share more information about available DOJ resources with colleges, campus law enforcement, and other stakeholders to help them monitor and address religious-based hate crimes on college campuses. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | GAO-20-129 | INFORMATION TECHNOLOGY: Agencies Need to Fully Implement Key Workforce Planning Activities (GAO-20-129) | 10/30/2019 | JMD OCIO | 7 | The Attorney General should ensure that the agency fully implements each of the eight key IT workforce planning activities it did not fully implement. | FY 2022 | Not applicable. Implementation is in progress. |

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in GAO Report | Recommendation | Timeline for Full Implementation | Justification for Decision Not to Implement |
|------------|--|-------------|---------------|------------------------|---|----------------------------------|--|
| GAO-20-202 | JUVENILE JUSTICE GRANTS: DOJ Should Take Additional Actions to Strengthen Performance and Fraud Risk Management (GAO-20-202) | 12/18/2019 | OJP | 1 | The OJJDP Administrator should set performance targets for individual grant programs. | FY 2023 | Not applicable. Implementation is in progress. |
| | | | JMD IREO | 2 | The Assistant Attorney General for Administration should ensure that future department-level fraud risk profiles (1) determine the department's fraud risk tolerance for DOJ grants—which include OJJDP grant programs, and (2) prioritize residual fraud risks based on an assessment against that tolerance, consistent with leading practices in GAO's Fraud Risk Framework. | FY 2021 | Not applicable. Implementation is in progress. |

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in GAO Report | Recommendation | Justification for Decision Not to Implement | GAO Designation |
|------------|---|-------------|---------------|------------------------|--|--|-----------------------|
| GAO-16-323 | Data Center Consolidation: Agencies Making Progress, but Planned Savings Goals Need to Be Established | 3/3/2016 | JMD | 21 | The Secretaries of the Departments of Agriculture, Commerce, Defense, Education, Energy, Health and Human Services, Homeland Security, Housing and Urban Development, the Interior, Labor, State, Transportation, the Treasury, and Veterans Affairs; the Attorney General of the United States; the Administrators of the Environmental Protection Agency, General Services Administration, National Aeronautics and Space Administration, and U.S. Agency for International Development; the Director of the Office of Personnel Management; the Chairman of the Nuclear Regulatory Commission; and the Commissioner of the Social Security Administration should take action to improve progress in the data center optimization areas that we reported as not meeting OMB's established targets, including addressing any identified challenges. | In August 2016, OMB announced changes to the optimization metrics that were the basis of GAO's examination of data center optimization for 24 federal agencies, including the Department of Justice. Specifically, OMB dropped seven of the nine metrics GAO reviewed and retained two. In June 2019, OMB issued new data center optimization guidance that dropped the remaining two optimization metrics GAO reviewed. As a result of OMB's changes, the Department reported to GAO in November 2019 that it did not plan to implement the recommendation; the recommendation was rendered not applicable by OMB dropping the metrics on which the recommendation was based. | Closed, Unimplemented |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|------------|--|-------------|---------------|------------------------|---|--|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| 15-01 | A Review of ATF's Investigation of Jean Baptiste Kingery | 10/30/2014 | ODAG | 1 | Engage with the leadership at the Department of Homeland Security, ICE, and CBP in an effort to identify and develop opportunities to improve these important and highly consequential relationships. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| 15-03 | Review of the Drug Enforcement Administration's Use of Cold Consent Encounters at Mass Transportation Facilities | 1/28/2015 | DEA | 2 | Develop a way to track cold consent encounters and their results and use the information collected to gain a better understanding of whether and under what circumstances they are an effective use of law enforcement resources. | DEA management reported to OIG on 7/29/2020 that DEA had completed final action. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - |
| | | | | 1 | Consider how to determine if cold consent encounters are being conducted in an impartial manner, including reinstating the collection of racial and other demographic data and how it could be used to make that assessment. | DEA management reported to OIG on 7/29/2020 that DEA had completed final action. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | |
| | | | | 4 | Ensure appropriate coordination of training, policies, and operations for conducting cold consent encounters and searches, including assessing which policies should apply to cold consent searches at transportation facilities and ensuring that interdiction TFG members know when and how to apply them. | DEA management reported to OIG on 12/9/2020 that DEA had completed final action. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | |
| 15-04 | The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components | 3/25/2015 | ODAG | 7 | All four law enforcement components, in coordination with ODAG, should acquire and implement technology and establish procedures to effectively preserve text messages and images for a reasonable period of time, and components should make this information available to misconduct investigators and, as appropriate, for discovery purposes. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 5 | Ensure that the Department's zero tolerance policy on sexual harassment is enforced in the law enforcement components and that the components' tables of offenses and penalties are complimentary and consistent with respect to sexual harassment. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 8 | All four law enforcement components, in coordination with ODAG, should take concrete steps to acquire and implement technology to be able to, as appropriate in the circumstances, proactively monitor text message and image data for potential misconduct. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| 15-05 | Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons | 5/5/2015 | BOP | 6 | Systematically identify programming needs of aging inmates and develop programs and activities to meet those needs. | FY 2022 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 7 | Develop sections in release preparation courses that address the post-incarceration medical care and retirement needs of aging inmates. | FY 2022 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 5 | Study the feasibility of creating units, institutions, or other structures specifically for aging inmates in those institutions with high concentrations of aging inmates. | FY 2022 | Not applicable. Implementation is in progress. | - | - | - | |
| 16-02 | Summary of a Review of the Management and Operations of an FBI Recreation Association | 5/10/2016 | FBI | 2 | Revise and update CPD 0465D, including prohibiting FBIRA Board Members from using personal financial instruments or accounts in connection with FBIRAs, clearly delineating permissible and impermissible uses of FBIRA resources, and requiring accountability for RA funds. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 3 | Provide appropriate training and guidance to FBIRA directors and FBI Field Division managers. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 1 | Temporarily shut down the stores operated by the FBIRA-NY until they are in compliance with New York State law and FBI Policy. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| 16-04 | A Review of the FBI's Use of Section 215 Orders for Business Records in 2012-2014 | 9/29/2016 | FBI | 1 | Continue to pursue ways to make the business records process more efficient, particularly for applications related to cyber cases. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | The Federal Bureau of Prisons' Reimbursement Rates for Outside Medical Care | 6/8/2016 | BOP | 3 | Improve the collection and analysis of utilization data for inmate medical care to better understand the services that inmates need and the impact it has on the BOP's medical spending. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

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|------------|---|-------------|---------------|------------------------|---|---|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| 16-05 | Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts | 6/28/2016 | BOP | 3 | Develop uniform guidelines and criteria for conducting random staff pat searches across all institutions that require a minimum frequency and duration for search events to ensure that appropriate numbers of staff on each shift are searched with appropriate frequency. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | 6 | Establish procedures whereby all post-declaration items discovered during staff screening procedures are documented, along with the identity of the staff member involved, as well as more explicit guidelines for when the items should be confiscated and when such instances or a series of them should be referred for investigation and corrective action. | FY 2021 | Not applicable. Implementation is in progress. | - | | | |
| | | | | 5 | Restrict the size and content of personal property that staff may bring into BOP institutions. | FY 2021 | Not applicable. Implementation is in progress. | - | | | |
| | | | | 4 | Define what quantities, if any, of tobacco and related tobacco products should be authorized for staff to bring into institutions for personal use. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | 11 | Evaluate the existing security camera system to identify needed upgrades, including to ensure [REDACTED.] | FY 2021 | Not applicable. Implementation is in progress. | - | | | |
| 16-06 | A Review of ATF's Undercover Storefront Operations | 9/8/2016 | ODAG | 10 | Promptly design and implement a plan that ensures that its law enforcement and detention components comply with the Rehabilitation Act of 1973. | FY 2021 | Not applicable. Implementation is in progress. | - | | | |
| 16-07 | Review of the Federal Bureau of Prisons' Release Preparation Program | 8/30/2016 | BOP | 2 | Consider implementing the use of validated assessment tools to assess specific inmate programming needs. | FY 2021 | Not applicable. Implementation is in progress. | - | | | |
| | | | | 7 | Establish a mechanism to assess the extent that, through the Release Preparation Program, inmates gain relevant skills and knowledge to prepare them for successful reentry to society. | FY 2022 | Not applicable. Implementation is in progress. | - | | | |
| | | | | 5 | Explore the use of incentives and other methods to increase inmate participation and completion rates for the Institution Release Preparation Programs. | FY 2022 | Not applicable. Implementation is in progress. | - | | | |
| | | | | 1 | Establish a standardized list of courses, covering at least the Release Preparation Program's core categories, as designated by the BOP, to enhance the consistency of Release Preparation Program curricula across BOP institutions. | FY 2022 | Not applicable. Implementation is in progress. | - | | | |
| 16-08 | Audit of the Drug Enforcement Administration's Controls Over Seized and Collected Drugs | 2/17/2016 | DEA | 1 a | Reinforce, through official communication and training, that special agents document the gross weight of the exhibit on the DEA-6. | FY 2021 | Not applicable. Implementation is in progress. | - | | | |
| | | | | b | Reinforce, through official communication and training, that special agents completely fill out the Temporary Drug Ledger for each exhibit placed in temporary drug storage. | FY 2021 | Not applicable. Implementation is in progress. | - | | | |
| | | | | c | Reinforce, through official communication and training, that special agents complete the DEA-7 within the required timeframe. | FY 2021 | Not applicable. Implementation is in progress. | - | | | |
| | | | | d | Reinforce, through official communication and training, that special agents provide the appropriate memorandum documenting approval of the reasons for which exhibits are held for more than 3 business days in temporary storage. | FY 2021 | Not applicable. Implementation is in progress. | - | | | |
| | | | | e | Reinforce, through official communication and training, that special agents maintain both portions of the receipt. | FY 2021 | Not applicable. Implementation is in progress. | - | | | |
| 16-20 | Audit of the Federal Bureau of Investigation's Cyber Threat Prioritization | 7/20/2016 | FBI | 2 | Develop and implement a record keeping system that tracks agent time utilization by threat set. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | | | |

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|------------|--|-------------|---------------|------------------------|---|---|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| 16-20 | Audit of the Federal Bureau of Investigation's Cyber Threat Prioritization | 7/20/2016 | FBI | 1 | Utilize an algorithmic, data driven, and objective methodology in the scoping and prioritization of cyber threat sets, including: <ul style="list-style-type: none"> Document policies and procedures and provide training for the use of the methodology, including who should enter the data and how the data should be used in prioritizing cyber threat sets. Ensure that the results of the threat ranking tool are updated automatically through integration with Sentinel and updated manually at least every 30 days so that emerging threat sets can be identified and mitigated in a timely manner. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| 16-26 | Follow-Up Audit of the Department of Justice's Implementation of and Compliance with Certain Classification Requirements | 9/12/2016 | JMD | 3 | Publish the updated Mandatory Declassification Review process in the Federal Register to ensure compliance with EO 13526. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| 17-02 | A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras | 5/24/2017 | DEA | 2 | Establish protocols that will ensure that, in joint operations abroad in which critical incidents are possible, appropriate and sufficient mechanisms are in place in the event of a critical incident to support the law enforcement personnel on the ground (including the availability of additional forces and airlift support), provide for the processing of the crime scene without prolonged delay, and allow for any and all search and rescue missions that may become necessary. | DEA management reported to OIG on 3/12/2020 that DEA had completed final action. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - |
| | | | | 3 | Revised post shooting procedures to ensure DEA investigates all shootings during joint operations abroad in which initial reporting or available information is that DEA personnel either may have discharged their weapons or instructed other individuals to fire their weapons, was in a position to discharge their weapons or instruct other individuals to fire, or it is determined that DEA nevertheless played a leadership role in the operation. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on DEA management reporting to OIG on 3/12/2020 that DEA had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |
| | | | | 6 a | Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to specific requirements for the inspection of all weapons of DEA personnel and task force officers to ensure that all weapons fired during the shooting are identified and that all weapons not fired are identified. All DEA personnel and task force officers should understand that weapons checks must be done as soon as it is practical to do so and procedures should specify how such weapons checks must be conducted, including whether a standard load procedure or other mechanism is required to ensure that missing rounds will be identified. | DEA management reported to OIG on 3/12/2020 that DEA had completed final action. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - |
| | | | | b | Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to specific guidance to the supervisory special agent or inspector assigned to investigate the incident regarding the appropriate steps that should be taken to investigate the incident in addition to the collection of relevant documents. | DEA management reported to OIG on 3/12/2020 that DEA had completed final action. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - |
| | | | | c | Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to specific requirements for the conduct of interviews and preparation and collection of witness statements. | DEA management reported to OIG on 3/12/2020 that DEA had completed final action. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - |
| | | | | 5 | Revise DEA's post-shooting incident procedures to include a requirement that will ensure that, when delegated to the field, the supervisory agent assigned to conduct the investigation will be someone outside the supervisory chain or program of the shooter and relevant witnesses. | DEA management reported to OIG on 3/12/2020 that DEA had completed final action. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - |

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|------------|--|-------------|---------------|------------------------|---|--|--|--|-----------------------|-----------------------------------|--------------------------|
| 17-02 | A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras | 5/24/2017 | DEA | 1 | Establish procedures that will require sufficient training and de-confliction between DEA personnel and host nation counterparts on their respective deadly force policies before commencing future counter-narcotics operations outside the United States. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on DEA management reporting to OIG on 3/12/2020 that DEA had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |
| | | | | 4 | Because DEA's post-shooting incident procedures do not provide delegation guidelines for foreign incidents or, in the case of domestic incidents, state whether the investigation will be investigated directly by IN or delegated to the field when the incident involves significant injuries, death, or other significant liabilities, DEA's procedures should be revised to clarify the circumstances under which shooting incidents are to be investigated directly by the Office of Inspections and the circumstances under which investigations will be delegated to the field. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on DEA management reporting to OIG on 3/12/2020 that DEA had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | |
| | | | | 8 | In connection with future counternarcotics operations outside the United States, DEA should work with the relevant U.S. Embassy and host nation partners to develop a specific protocol, in advance of the operation, that will determine which entity or entities will investigate a shooting or other critical incident that occurs during the operation; the scope and requirements of such an investigation; what information will be shared between and among the relevant entities for use in such investigation and when that will occur; and the time-frame and procedures for sharing of the results of the investigation. Such protocols should ensure timely access to relevant information by the Chief of Mission, as well as whatever entity or entities is or are involved in the investigation of any shooting or other critical incident, and include a procedure to identify and resolve conflicting evidence or investigative gaps when more than one such entity is involved in the investigation. To the extent DEA and the COM determine that certain information should not be provided to the host nation, DEA should work with the Embassy to ensure that any investigation conducted by the host nation receives sufficient information to allow for a meaningful and thorough review of the relevant facts. DEA should not undertake future joint counternarcotics operations with its foreign counterparts outside the United States in instances where it is unable to reach agreement with the U.S. Embassy and its foreign counterparts in advance on such basic post-incident protocols, at least in circumstances where shootings or other critical incidents are a possibility. | DEA management reported to OIG on 3/12/2020 that DEA had completed final action. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | |
| | | | | ODAG | 7 | Determine whether revisions to the post-shooting incident procedures should be made across the Department's law enforcement components to address the issue of shooting incidents outside the United States by a foreign LEO working on a joint law enforcement operation with a DOJ component. We also recommend that the Deputy Attorney General consider whether revisions to the components' post-shooting incident procedures should be made to ensure that the requirements are appropriate and consistent across the Department's law enforcement components. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |

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|------------|---|-------------|---------------|------------------------|--|--|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| 17-03 | A Report of Investigation of Certain Allegations Referred by the Office of Special Counsel Concerning the Juvenile Justice and Delinquency Prevention Act Formula Grant Program | 7/25/2017 | OJP | 3 | Expediently notify all states and other interested parties that the VCO non-offender regulation has been determined to be ultra vires. | FY 2021 | Not applicable. Implementation is in progress. | | - | | - |
| 17-04 | Report of Investigation of the Actions of Former DEA Leadership in Connection with the Reinstatement of a Security Clearance | 9/7/2017 | ODAG | 2 | Amend or supplement the Department Security Officer's delegation of authority to clarify that for the purpose of security adjudications, SPMs report solely to the Department Security Officer, and not to senior officials within the components. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| 17-05 | Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness | 7/11/2017 | BOP | 2 | Define and establish in policy extended placement in measureable terms. | FY 2022 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 3 | Track all inmates in single-cell confinement and monitor, as appropriate, the cumulative amount of time that inmates with mental illness spend in restrictive housing, including single-cell confinement. | FY 2022 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 5 | Evaluate and limit as appropriate the consecutive amount of time that inmates with serious mental illness may spend in restrictive housing. | FY 2022 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 1 | Establish in policy the circumstances that warrant the placement of inmates in single-cell confinement while maintaining institutional and inmate safety and security and ensuring appropriate, meaningful human contact and out-of-cell opportunities to mitigate mental health concerns. | FY 2022 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 4 | Identify all forms of restrictive housing utilized throughout its institutions and ensure that all local policies are updated to reflect standards for all inmates in restrictive housing consistent with established nationwide policies. | FY 2022 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 15 | Conduct a comprehensive review of U.S. Penitentiary Lewisburg's Special Management Unit that addresses the staffing, treatment, conditions of confinement, and performance metrics of the program. | FY 2022 | Not applicable. Implementation is in progress. | - | - | - | - |
| 17-10 | Audit of the Office of Justice Programs' Tribal Justice Systems Infrastructure Program | 1/18/2017 | OJP | 3 a | Remedy \$10,720,232 in unallowable costs awarded to the Nisqually Tribe to fund a correctional facility that was not funded or used in conformity with the statutory authority of the TJSIP, and that was inappropriately built with the intention of being a profit-generating facility. | FY 2022 | Not applicable. Implementation is in progress. | - | 10,720,232 | - | - |
| 17-31 | Audit of the Office of Juvenile Justice and Delinquency Prevention Title II Part B Formula Grant Program Related to Allegations of the OJJDP's Inappropriate Conduct | 7/24/2017 | OJP | 3 | Finalize its OJJDP Guidance Manual-Audit of Compliance Monitoring Systems that was under development as of May 2017. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/18/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |
| 17-35 | Audit of the Federal Bureau of Investigation's Insider Threat Program | 9/22/2017 | FBI | 2 | Ensure that leads and referrals concerning insider threats are handled and monitored in a systematic way, including making sure that leads go to the appropriate point of contact at each internal FBI component. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |

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|------------|--|-------------|---------------|------------------------|--|---|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| 17-35 | Audit of the Federal Bureau of Investigation's Insider Threat Program | 9/22/2017 | FBI | 3 | Pursue technological solutions to mitigate the need for, or reduce the risk of, stand-alone systems. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | 5 | Ensure user activity monitoring (UAM) coverage over all classified systems and networks and identify a component to maintain an accurate inventory of all information technology assets that have user activity monitoring coverage. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | |
| | | | | 1 | Track, summarize, and annually report InTP performance metrics as required. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | |
| | | | | 4 | Conduct a comprehensive inventory of classified networks, systems, applications, and other information technology assets and identify a component responsible for maintaining the inventory. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | |
| 18-01 | Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014 | 11/7/2017 | ALLDOJ | 2 | Ensure all applicable components are aware of and are following the reporting timelines for the Federal Procurement Data System as required by the Federal Acquisition Regulations for procurement awards, and the newly established Financial Assistance Broker System for financial assistance awards. | OIG has indicated it will not close this recommendation until it completes its audit of the Department's FY 2021 compliance with the Digital Accountability and Transparency Act of 2014. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - |
| | | | | 3 | Ensure all accounting entry corrections are addressed prior to submission of file B. | OIG has indicated it will not close this recommendation until it completes its audit of the Department's FY 2021 compliance with the Digital Accountability and Transparency Act of 2014. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - |

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|------------|--|-------------|---------------|------------------------|---|---|--|--|--|-----------------------------------|--|
| 18-01 | Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014 | 11/7/2017 | ALLDOJ | 6 | Review all validation warnings generated by the DATA Act broker system prior to submission and Senior Accountable Official certification, to ensure that the data submitted is accurate, and in compliance with the DAIMS instructions. | OIG has indicated it will not close this recommendation until it completes its audit of the Department's FY 2021 compliance with the Digital Accountability and Transparency Act of 2014. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - |
| | | | | 7 | Continue its efforts to implement the Unified Financial Management System (UFMS) in order to submit supported and accurate data to beta.USASpending.gov and to be in compliance with OMB Memorandum M-15-12. | OIG has indicated it will not close this recommendation until it completes its audit of the Department's FY 2021 compliance with the Digital Accountability and Transparency Act of 2014. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | |
| | | | | | Review of the Department's Tribal Law Enforcement Efforts Pursuant to the Tribal Law and Order Act of 2010 | 12/14/2017 | ODAG | 1 | Update the 2010 policy memoranda to U.S. Attorneys and heads of components to incorporate Tribal Law and Order Act mandates. | FY 2021 | Not applicable. Implementation is in progress. |
| 18-03 | Review of Gender Equity in the Department's Law Enforcement Components | 6/25/2018 | ATF | 2 a | Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 3 a | Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 6 a | Develop and implement methods to address perceptions of stigmatization and retaliation associated with the Equal Employment Opportunity complaint process. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 5 a | Develop and implement methods to improve the objectivity and transparency of the merit promotion process. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 1 a | Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 4 a | Identify and take steps to address barriers to advancement for women within the component and among different job types. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | DEA | 2 b | Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | | 6 b | Develop and implement methods to address perceptions of stigmatization and retaliation associated with the Equal Employment Opportunity complaint process. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | USMS | 4 b | Identify and take steps to address barriers to advancement for women within the component and among different job types. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | | 1 d | Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | | 2 d | Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | | 3 d | Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | 4 d | Identify and take steps to address barriers to advancement for women within the component and among different job types. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |

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|------------|--|-------------|---------------|------------------------|--|--|--|--|-----------------------|-----------------------------------|--------------------------|---|
| 18-03 | Review of Gender Equity in the Department's Law Enforcement Components | 6/25/2018 | USMS | 5 d | Develop and implement methods to improve the objectivity and transparency of the merit promotion process. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | |
| | | | | FBI | 2 c | Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 3 c | Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | |
| | | | | 5 c | Develop and implement methods to improve the objectivity and transparency of the merit promotion process. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | |
| | | | | 1 c | Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | |
| 18-04 | A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election | 6/14/2018 | ODAG | 2 | Consider making explicit that, except in situations where the law requires or permits disclosure, an investigating agency cannot publicly announce its recommended charging decision prior to consulting with the Attorney General, Deputy Attorney General, U.S. Attorney, or his or her designee, and cannot proceed without the approval of one of these officials. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - | |
| | | | | 3 a | Consider adopting a policy addressing the appropriateness of Department employees discussing the conduct of uncharged individuals in public statements | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - | |
| | | | | 5 | Take steps to improve the retention and monitoring of text messages Department-wide | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - | |
| | | | | 1 a | Consider developing practice guidance that would assist investigators and prosecutors in identifying the general risks with and alternatives to permitting a witness to attend a voluntary interview of another witness, in particular when the witness is serving as counsel for the other witness | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - | |
| | | | | 4 | Consider providing guidance to agents and prosecutors concerning the taking of overt investigative steps, indictments, public announcements, or other actions that could impact an election. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - | |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|------------|--|-------------|---------------|------------------------|--|---|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| 18-04 | A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election | 6/14/2018 | ODAG | 9 | Department ethics officials include the review of campaign donations for possible conflict issues when Department employees or their spouses run for public office | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | FBI | 1 b | Consider developing practice guidance that would assist investigators and prosecutors in identifying the general risks with and alternatives to permitting a witness to attend a voluntary interview of another witness, in particular when the witness is serving as counsel for the other witness. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| 18-05 | Review of the Federal Bureau of Prisons' Management of Its Female Inmate Population | 9/18/2018 | BOP | 3 | Ensure that all officials who enter into National Executive Staff positions have taken appropriate, current training specific to the unique needs of female inmates and trauma-informed correctional care. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 7 | Clarify guidance on the distribution of feminine hygiene products to ensure sufficient access to the amount of products inmates need free of charge. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 9 | Establish policy that determines how long sentenced inmates can be confined in a detention center, or ensures that the conditions of confinement and inmate programming at a detention center more closely approximate those of a non-detention center when sentenced inmates are housed there. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 10 | Explore options to procure female Special Housing Unit space closer to Federal Correctional Institution Danbury. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| 18-14 | Audit of the Department of Justice's Efforts to Address Patterns or Practices of Police Misconduct and Provide Technical Assistance on Accountability Reform to Police Departments | 2/13/2018 | ODAG | 15 | Develop procedures detailing the circumstances when notification and coordination with the relevant U.S. Attorney's Office is appropriate in jurisdictions where technical assistance will be provided by the OJP, COPS Office, or CRS. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 14 | Clarify the circumstances in which a component is responsible for designating an incident to be "high-profile" under the March 2016 guidance. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| 18-18 | Audit of the Department of Justice Grant Award Closeout Process | 3/12/2018 | OVW | 48 | Remedy \$4,579 in unallowable travel-related questioned costs associated with OVW Award Number 2013-TA-AX-K016. | FY 2021 | Not applicable. Implementation is in progress. | - | 4,579 | - | - |
| 18-21 | Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Controls over Weapons, Munitions, and Explosives | 3/30/2018 | ATF | 9 | Establish guidelines for physically securing seized weapons and ammunition that are temporarily stored outside of the evidence vault during times when the vault custodians are unavailable. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 10 | Ensure that seized ammunition is tracked in N-Force Vault and secured in the evidence vault until it is shipped to the disposal facility. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| 18-31 | Audit of the Federal Bureau of Prisons' Contract Awarded to Sealaska Constructors, LLC, to Build Facilities at Federal Correctional Institution Danbury, in Danbury, Connecticut | 9/18/2018 | BOP | 5 | Consult the Justice Management Division to determine the best approach to ensure compliance with FAR 15.404-4 and take appropriate action, to include establishing or adopting from another agency a structured approach and examining profit for contract actions requiring cost analysis, as applicable. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 1 | Develop a facility design standard for Federal Satellite Low (FSL) facilities and pre-conversion procedures that assess whether a minimum security facility can feasibly sustain an FSL conversion. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| 18-32 | Audit of the Federal Bureau of Prisons' Contracts Awarded to Pacific Forensic Psychology Associates, Inc., San Diego, California | 9/21/2018 | BOP | 8 | Develop and implement a strategic plan for transitioning to an electronic system that would allow for electronic submission of contractor invoices and clinical documents. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| 19-01 | Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013 | 12/18/2018 | OJP | 3 b | Work together with the Federal Bureau of Investigation (FBI) to identify and implement death in custody data collection best practices and reduce duplicative data collection efforts. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

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|------------|---|-------------|---------------|--|---|---|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| 19-01 | Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013 | 12/18/2018 | OJP | 4 | Conduct a study on data collected under the Death in Custody Reporting Act of 2013 as described in the statute and submit a report on the study to Congress as soon as practicable. | FY 2022 | Not applicable. Implementation is in progress. | | - | | |
| | | | FBI | 3 a | Work together with the Office of Justice Programs (OJP) to identify and implement death in custody data collection best practices and reduce duplicative data collection efforts. | FY 2021 | Not applicable. Implementation is in progress. | | - | | |
| | A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data | 3/28/2019 | DEA | 1 | Establish a policy or directive sufficient to ensure that, if the DEA or the Department considers reinstating a version of [REDACTED] or [REDACTED], or initiating another "bulk collection" program by use of administrative subpoenas, the DEA, in consultation with relevant DOJ components (e.g., the Criminal Division and the OLC), conducts a rigorous, objective legal analysis, memorialized in writing, in advance of reinstating or initiating such "bulk collection" program by use of administrative subpoenas. The policy or directive should ensure that any such legal analysis specifically addresses whether 21 U.S.C. § 876(a) authorizes the issuance of subpoenas of the type contemplated (i.e., non-targeted, for exploratory or target-development purposes), as well as the permissible conditions under which such bulk data collected by non-targeted administrative subpoenas may be shared with other federal agencies for non-drug purposes. | DEA management reported to OIG on 8/27/2019 that DEA had completed final action. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - |
| | | | | 15 | Review and update its delegations to ensure that Section 876(a) authority has been properly delegated to the officials who are reviewing and signing [REDACTED] subpoenas. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2019. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | 8 | Develop legally supportable criteria for retention of all [REDACTED] bulk data collected by use of administrative subpoenas, and policies for the disposition of such [REDACTED] bulk data. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2019. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | 14 | In the interim, and subject to the results of Recommendation #13, the Department's and the DEA's guidance and training materials regarding "parallel construction," including SOD/ [REDACTED] investigative products and [REDACTED] investigative products, should be clarified to clearly state that "parallel construction" does not negate adherence to discovery and disclosure obligations in criminal cases, if applicable. These guidance and training materials should further make explicit that, if discovery requirements threaten disclosure of the program, prosecutors may seek to protect the program through appropriate process, such as protective orders or ex parte proceedings, and that, depending on the circumstances, the government may eventually be required to choose between disclosure or dismissal, but that "parallel construction" cannot be utilized as a substantive substitute for otherwise applicable discovery and disclosure requirements. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2019. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | 16 | Take steps to ensure that all changes to DEA policies, guidance, or procedures adopted as a result of implementing the foregoing recommendations are disseminated widely and readily available to DEA employees and other users of the programs, as appropriate [REDACTED]. All such changes should be incorporated into the DEA Agents Manual and periodic training provided to users of the relevant programs and to SOD and NS personnel, as appropriate. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2019. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - | |

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|------------|--|-------------|---------------|------------------------|---|---|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| 19-01 | A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data | 3/28/2019 | ODAG | 13 | Ensure that a comprehensive review is conducted of the DEA's "parallel construction" policies and practices with respect to [REDACTED] investigative products to ensure that these policies and practices do not conflict with the government's discovery and disclosure obligations in criminal cases, or Department policy on this subject. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 3/31/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| 19-03 | A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations | 7/31/2019 | FBI | 2 | Ensure that each agency has a copy of the other's deconfliction policy and that all agents understand the expectations for interagency deconfliction and information sharing. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 3 | Provide training to Southwest border Federal Bureau of Investigation and Homeland Security Investigations agents on the existing Department of Justice and Department of Homeland Security deconfliction policies and mandatory systems. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 5 | Develop a memorandum of understanding or similar written agreement governing Federal Bureau of Investigation and Homeland Security Investigations operations on overlapping criminal investigative areas. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 1 | Develop and implement its own written policy, consistent with existing departmental policies, to address how Southwest border agents should deconflict investigative targets and events and share relevant information with each other. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 4 | Develop and implement a plan to increase awareness among Federal Bureau of Investigation and Homeland Security Investigations agents of each agency's mission, statutory authorities, and criminal investigative priorities. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| 19-04 | Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates | 9/25/2019 | BOP | 2 | Take further action to diagnose the sources of temperature regulation issues and remedy them, if the upgraded Metropolitan Detention Center Brooklyn heating, ventilation, and cooling system cannot maintain building temperatures at BOP targets. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 6 | Update visitation policies to describe alternative legal visiting arrangements, when legal visiting is restricted under 28 C.F.R. § 540.40, to ensure pretrial inmates may access legal counsel under 28 C.F.R. § 551.117. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 7 | Require institutions to maintain a list of inmates who use continuous positive airway pressure machines, or other electronic medical devices, in their cells so that institution staff can make every effort to accommodate those inmates in the event of a power outage. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 5 | Require all institutions to develop alternative legal visiting plans that ensure that inmates can access legal counsel as soon as is safely possible after a facilities issue or other disruptive event. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 1 | Complete the heating, ventilation, and cooling equipment upgrade subproject at Metropolitan Detention Center Brooklyn and ensure that the equipment is capable of maintaining temperatures at BOP targets. Further, ensure that upgraded hardware and Building Management System software allow facilities staff to accurately monitor building temperatures and heating, ventilation, and cooling equipment performance. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 4 | Ensure that, in the absence of Building Management System software, institutions use a consistent and sound method to measure and document temperatures and record all maintenance performed on heating, ventilation, and cooling equipment. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 9 | Provide institutions additional guidance on how and when staff should inform defense counsel, the courts, inmates' families, and the public about disruptive events affecting the conditions of confinement and institution management decisions that restrict legal and social visiting. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |

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| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|------------|--|-------------|---------------|------------------------|---|---|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| 19-05 | Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids | 9/30/2019 | DEA | 3 | Implement electronic prescribing for all controlled substance prescriptions. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 12/31/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | 7 | Revive a drug abuse warning network to identify emerging drug abuse trends and new drug analogues and respond to these threats in a timely manner. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on DEA management reporting to OIG on 9/28/2020 that DEA had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |
| | | | | 5 | Take steps to ensure that DEA diversion control personnel responsible for adjudicating registrant reapplications are fully informed of the applicants' history resulting in a prior registration being revoked by DEA, surrendering a prior registration for cause, losing a state medical license, or other conduct which may threaten the public health and safety by improving information provided to such personnel about the standards to apply in making decisions on such applications. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 12/31/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | 1 | Develop a national prescription opioid enforcement strategy that encompasses the work of all DEA field divisions tasked with combating the diversion of controlled substances, and establish performance metrics to measure the strategy's progress. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 12/31/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | 4 | Require that all suspicious orders reports be sent to DEA headquarters. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 12/31/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |

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|------------|---|-------------|---------------|------------------------|---|---|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| 19-05 | Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids | 9/30/2019 | ODAG | 9 | Consider expanding the Opioid Fraud and Abuse Detection Unit pilot to additional U.S. Attorney's Offices and increasing the number of federal prosecutors dedicated to prosecuting opioid-related cases. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on Department management reporting to OIG on 11/3/2020 that the Department had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |
| | | | | 8 | Make efforts to enlist state and local partners to provide DEA with consistent access to state-run Prescription Drug Monitoring Programs. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on Department management reporting to OIG on 11/3/2020 that the Department had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |
| 19-08 | Audit of the Office on Violence Against Women Training and Technical Assistance Program | 2/13/2019 | OVW | 7 | Develop and implement guidance to prevent unnecessary or inappropriate use of the DOJ maximum consultant rate. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 10 | Develop and implement policies to ensure that all content produced under the TA Initiative is made available to the recipient community, and the public, as appropriate. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 12 | Develop and implement policies to ensure that resources funded by the TA Initiative are effectively catalogued for future use. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| 19-11 | Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC | 3/11/2019 | FBI | 5 | Develop procedures to ensure all invoices are adequately reviewed, which includes a process to obtain supporting documentation and verify invoiced costs. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 9 | Implement policy regarding the use of express tolls and transponders. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 8 | Implement policies and procedures to review tolls incurred by TFOs to determine the appropriateness of tolls for official business or commuting. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 18 | Develop policies or procedures to ensure proper contract oversight, such as requiring a review to verify compliance with contract terms prior to each option year being exercised. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| 19-14 | Audit of Efforts to Safeguard Minors in Department of Justice Youth-Centered Programs | 3/13/2019 | ODAG | 2 | Leverage and coordinate existing law enforcement tools, to the extent permissible by law, to facilitate screening that effectively mitigates the risk of improper individuals interacting with youth through DOJ programs. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 6 | Implement policies and procedures to enhance its monitoring of grantee background screening processes for all DOJ awards that may involve direct contact with minors in order to ensure that grantees and subgrantees conduct a minimum level of due diligence for individuals in direct contact with minors under funded programs. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

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|------------|--|-------------|---------------|------------------------|--|---|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| 19-23 | Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process | 3/29/2019 | ODAG | 13 | Coordinate with the FBI's Cyber Division and update, as necessary, the Attorney General Guidelines for Victim and Witness Assistance to incorporate the nuances of cyber victims. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | FBI | 2 | Strengthen controls for ensuring victim notifications are tracked in Cyber Guardian, to include agents using "Victim Notification" leads in Sentinel as required by Cyber Division Policy Guide 0853PG. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | 3 | Ensure that agents index "Victims" in Sentinel as required by the Indexing User Manual for Sentinel to support FBI investigative and administrative matters. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | 6 | Ensure that all victims of cybercrime are informed of their rights under the Attorney General Guidelines for Victim and Witness Assistance, Crime Victims' Rights Act, and Victims' Rights and Restitution Act, as appropriate. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | 7 | Establish timeliness standards in the Cyber Division Policy Guide 0853PG for cyber victim notifications, as appropriate. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | 5 | Clearly define what constitutes a victim of cybercrime for the purposes of indexing victims in Sentinel and notifying victims of their rights under the Attorney General Guidelines for Victim and Witness Assistance, as appropriate. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | | | | | | | | |

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|------------|--|-------------|---------------|------------------------|--|---|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| 19-23 | Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process | 3/29/2019 | FBI | 1 | Ensure there are appropriate logic controls for data that is manually input into Cyber Guardian and CyNERGY, and that CyNERGY's data input is as automated as appropriate. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | 4 | Ensure that all cyber victim notifications conducted in the course of restricted investigations are appropriately tracked in Cyber Guardian. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | 9 | Ensure Victim Contact Planning Calls are conducted for all cyber incidents that are labeled "Medium and above" on the National Security Council's Cyber Incidents Severity Schema. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | 10 | Pursue a mutually agreeable solution with OHS for ensuring all victim notification data is entered into Cyber Guardian. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | 11 | Coordinate with NSA to identify and implement an automated solution to streamline the post-publication requests for unclassified information in order to conduct timely and useful victim notifications. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | 8 | Update Cyber Division Policy Guide 0853PG to include a minimum requirement for information that should be included in a victim notification and in victim notification leads, to ensure the consistency and effectiveness of victim notifications. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |

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|------------|--|-------------|---------------|------------------------|---|--|--|--|--|-----------------------------------|--------------------------|---|
| 19-23 | Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process | 3/29/2019 | FBI | 12 | Implement controls to ensure that all users of Cyber Guardian, and subsequently CyNERGY, are certified to handle Protected Critical Infrastructure Information. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 8/28/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - | |
| 19-32 | Audit of the Department of Justice's Use of Immigration Sponsorship Programs | 6/11/2019 | DEA | 5 | Develop a reliable process for managing sponsorship expirations and renewals to mitigate the risk of lapses in sponsorship. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | |
| | | | | | 8 | Implement methods to accurately and completely track all foreign national sponsorship information for individual foreign nationals, including expiration dates. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | | USMS | 2 | Ensure the implementation and communication of adequate and clear policies that require both timely coordination with DHS and built-in redundancies to hold sponsoring agents accountable for ensuring that DHS is notified in a timely manner of all absconsions. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | | | 3 | Develop processes to improve compliance with DHS's reporting requirements by providing information to DHS at the time sponsorship-related events occur. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | | | 4 | Institute a more efficient process to resolve DHS's existing unresolved sponsorship matters and ensure that any future sponsorship matters needing resolution are addressed expeditiously. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | | | 5 | Develop a reliable process for managing sponsorship expirations and renewals to mitigate the risk of lapses in sponsorship. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | | 6 | Ensure that policies and practices fully satisfy the monitoring and supervision certifications made to DHS for all individuals sponsored for temporary residence in the United States. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | | 8 | Implement methods to accurately and completely track all foreign national sponsorship information for individual foreign nationals, including expiration dates. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | | FBI | 5 | Develop a reliable process for managing sponsorship expirations and renewals to mitigate the risk of lapses in sponsorship. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | | | 8 | Implement methods to accurately and completely track all foreign national sponsorship information for individual foreign nationals, including expiration dates. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| 19-33 | Audit of the United States Marshals Service's Justice Prisoner and Alien Transportation System | 7/24/2019 | USMS | 3 | Continue to work with the Federal Bureau of Prisons to test its electronic movement packet system capabilities to communicate with JPATS on prisoner movement information. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | | |
| 19-37 | Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida | 9/3/2019 | BOP | 3 | Revise its billing review process to ensure billing clerks are provided complete and current pricing schedules that clearly define the duration of a session for pricing purposes and notes the effective dates of changes to the pricing schedule. | FY 2022 | Not applicable. Implementation is in progress. | - | - | - | - | |
| | | | | | 1 | Establish procedures to specifically address how pricing should be established for out-of-network services and services required during the performance of the contract but not covered by Medicare pricing. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | | 9 | Implement a formal process for documenting requests for required onsite clinics to ensure the contractor is informed of the changing needs of the FCC Coleman Complex. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | | 10 | Clearly define responsibility for entering contractor performance information into CPARS and ensure information is entered in a timely and accurate manner. | FY 2022 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | | 11 | Further assess opportunities to utilize a secure hospital unit and telehealth at FCC Coleman. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | | 12 | Complete the modification to the CCS contract to prorate reimbursement for session-based services. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|------------|---|-------------|---------------|------------------------|---|---|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| 19-37 | Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal | 9/3/2019 | BOP | 13 | Modify the contract to state the terms under which compensation would or would not be made for cancellations. | FY 2022 | Not applicable. Implementation is in progress. | - | - | - | - |
| 20-006 | Management Advisory Memorandum of Concerns Identified in the Office of Justice Programs Regional Information Sharing Systems Grants | 10/31/2019 | OJP | 1 | Conduct an examination of the total amount of RISS funding provided to the RDA by the six RISS Centers since its inception, as well as an examination of how the RISS funds provided to the RDA were used since it was established. OJP should also consider requiring the RISS Centers to stop funding the RDA. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| 20-007 | Audit of the U.S. Department of Justice's Fiscal Year 2019 Compliance with the Digital Accountability and Transparency Act of 2014 | 11/6/2019 | ALLDOJ | 2 | Establish control activities to ensure sufficient field lengths, as permitted by the DAIMS, are used for the consolidated files, and perform additional edit checks in the consolidation process to ensure that data from the component files are accurately and completely captured in the consolidated files. (New) | OIG has indicated it will not close this recommendation until it completes its audit of the Department's FY 2021 compliance with the Digital Accountability and Transparency Act of 2014. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - |
| | | | | 5 | Instruct Department contracting officers and grant officials on the definitions of the data elements and their proper recording in FPDS-NG and FABS and emphasize the importance of accurately inputting data into FPDS-NG and FABS. (New) | OIG has indicated it will not close this recommendation until it completes its audit of the Department's FY 2021 compliance with the Digital Accountability and Transparency Act of 2014. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - |
| 20-009 | Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes | 11/18/2019 | FBI | 2 | Dedicate sufficient and appropriately trained personnel to ensure that long-term CHS validations, including backlogged long-term CHS validations, are conducted in accordance with the requirements of the AG Guidelines. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 3 | Coordinate with the Department and update, as necessary, its long-term CHS validation report to ensure that it addresses the appropriate scope of review and memorializes any validation personnel's conclusions or recommendations. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 6 | Update its Policy Guide to formally incorporate its Validation Manual in accordance with the IPO Policy Directive to ensure current validation processes and procedures are in compliance with AG Guidelines requirements. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 7 | Ensure all validation process roles and responsibilities are defined and field office personnel receive adequate training on the validation processes. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 5 | Develop and implement an automated workflow in Delta to ensure that all handling agents request and document SAC approval or disapproval for the continued handling of CHSs in excess of 5 years. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 1 | Ensure that the DI designs, implements, and adheres to validation policies and procedures for its long-term CHSs that comply with the AG Guidelines, or coordinate with the Department to seek revisions to the AG Guidelines, as necessary. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 9 | Ensure that headquarters validation personnel document their analyses, conclusions, and recommendations in validation reports. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 10 | Update its Validation Manual to ensure that its annual CHS review process is accurately documented and review and update its annual CHS report to ensure that it sufficiently addresses CHS risks, provide field offices guidance on the updates, and stress to field offices the important of the annual CHS report in the FBI's validation process. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 11 | Develop and implement a policy that clearly informs FBI personnel of the acceptable platforms for communicating with CHSs and provide training to its workforce on the policy. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|------------|---|---|--|------------------------|---|----------------------------------|--|--|---|-----------------------------------|--|
| 20-009 | Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes | 11/18/2019 | FBI | 15 | Coordinate to ensure the composition of the HSRC is sufficient and appropriate and includes the requisite skills and knowledge to approve the continued use of FBI's long-term CHSs and seek revisions to the AG Guidelines, as necessary, to memorialize any changes in the composition. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 8 | Reengineer its process for CHS validation to ensure that the CHSs with the greatest risk factors are selected, that those selections are independently assessed by headquarters, and that continued CHS use determinations receive appropriate headquarters scrutiny. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 13 | Take actions to mitigate its gaps in CHS coverage by prioritizing the development of its new threat intelligence and CHS coverage system and ensure that the policies and procedures for its use are documented and accompanied by detailed training on the new system. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 14 | Consult with all proposed stakeholders that will be responsible for providing data to the new system, identify any other data integrity issues, and document the policies and procedures for ongoing data quality monitoring of its new threat intelligence and CHS coverage system. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 16 | Coordinate to consider establishing additional HSRCs or increasing the frequency of the HSRC meetings until the backlog of CHSs awaiting HSRC approval for continued use is eliminated. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 20-012 | Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation | 12/9/2019 | ODAG | 2 b | Evaluate which types of Sensitive Investigative Matters (SIM) require advance notification to a senior Department official, such as the Deputy Attorney General, in addition to the notifications currently required for SIMs, especially for case openings that implicate core First Amendment activity and raise policy considerations or heighten enterprise risk, and establish implementing policies and guidance, as necessary. | FY 2021 | Not applicable. Implementation is in progress. |
| 5 b | Clarify the terms in their policies. (Refer to 5a. and 5b. on page 416 of the report for terms listed). | FY 2021 | Not applicable. Implementation is in progress. | | | | | - | - | - | |
| 1 b | Ensure that adequate procedures are in place for the Office of Intelligence (OI) to obtain all relevant and accurate information, including access to Confidential Human Source (CHS) information, needed to prepare FISA applications and renewal applications. This effort should include revising items 1a. through 1d. on page 415 of the report. | FY 2021 | Not applicable. Implementation is in progress. | | | | | - | - | - | |
| FBI | 2 a | Evaluate which types of Sensitive Investigative Matters (SIM) require advance notification to a senior Department official, such as the Deputy Attorney General, in addition to the notifications currently required for SIMs, especially for case openings that implicate core First Amendment activity and raise policy considerations or heighten enterprise risk, and establish implementing policies and guidance, as necessary. | FY 2021 | | | | | Not applicable. Implementation is in progress. | - | - | - |
| | 3 | Develop protocols and guidelines for staffing and administrating any future sensitive investigative matters from FBI Headquarters. | FY 2021 | | | | | Not applicable. Implementation is in progress. | - | - | - |
| | 6 | Ensure that appropriate training on DIOG § 4 is provided to emphasize the constitutional implications of certain monitoring situations and to ensure that agents account for these concerns, both in the tasking of CHSs and in the way they document interactions with and tasking of CHSs. | FY 2021 | | | | | Not applicable. Implementation is in progress. | - | - | - |
| | 7 | Establish a policy regarding the use of defensive and transition briefings for investigative purposes, including the factors to be considered and approval by senior leaders at the FBI with notice to a senior Department official, such as the Deputy Attorney General. | FY 2021 | | | | | Not applicable. Implementation is in progress. | - | - | - |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|-------------|---|-------------|---------------|------------------------|---|---|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| 20-012 | Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation | 12/9/2019 | FBI | 5 a | Clarify the terms in their policies. (Refer to 5a. and 5b. on page 416 of the report for terms listed). | FY 2021 | Not applicable. Implementation is in progress. | | - | | - |
| | | | | 1 a | Ensure that adequate procedures are in place for the Office of Intelligence (OI) to obtain all relevant and accurate information, including access to Confidential Human Source (CHS) information, needed to prepare FISA applications and renewal applications. This effort should include revising items 1a. through 1d. on page 415 of report. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 4 | Address the problems with the administration and assessment of CHSs identified in the report. (see items 4a. through 4f. on pages 415 and 416). | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 9 | Review the performance of all employees who had responsibility for the preparation, Woods review, or approval of the FISA applications, as well as the managers, supervisors, and senior officials in the chain of command of the Carter Page investigation, for any action deemed appropriate. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| 2016-001875 | Procedural Reform Recommendation for the U.S. Marshals Service | 1/25/2018 | USMS | 1 | Take steps to ensure that its contractors are aware of the whistleblower protections that federal law provides for employees for Federal contractors. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on USMS management reporting to OIG on 9/18/2020 that USMS had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |
| | | | | 2 | Take steps to ensure that its contractors take appropriate actions to conform their internal policies to comply with federal law. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on USMS management reporting to OIG on 9/18/2020 that USMS had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | |
| | | | | 3 | Examine its contracts to ensure that the contracts include no terms that are inconsistent with federal whistleblower protections. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on USMS management reporting to OIG on 9/18/2020 that USMS had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|-------------|--|-------------|---------------|------------------------|---|---|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| 2016-008873 | Procedural Reform Recommendation for the Federal Bureau of Prisons | 12/20/2017 | BOP | 2 | Ensure that the adjudication vendor is able to reproduce on demand all necessary data elements used to adjudicate the claims (e.g., DRG, all procedure codes, and drug information). The universe of claims data should be available to BOP on a national scale in a format that allows for thorough analysis and oversight regardless of institution. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | 1 a | Move immediately to require all CMS contractors to submit electronic claims. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | b | Ensure those claims are properly analyzed and maintained by BOP's adjudication vendor. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | c | Enforce existing contract language that requires the adjudication vendor to perform fraud analytics and report any indicators of fraud to the BOP. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| 2017-004928 | Procedural Reform Recommendation for the Department of Justice | 7/2/2019 | ODAG | 1 | The OIG recommends that the Department issue guidance regarding the circumstances under which it is permissible for an employee to accept an offer to purchase tickets from a third party at face value, when the offer is made to the employee solely because of the employee's official position, and is not also available to the general public. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| 2017-006343 | Procedural Reform Recommendation for the U.S. Marshals Service | 2/13/2019 | USMS | 1 | Maintain a system for tracking employee misconduct matters. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 2 | Develop timeliness standards for completing adverse action proposals and decisions. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | 3 | Ensure that any settlement agreement entered into by the USMS in adverse personnel action matters adequately accounts for the seriousness of the substantiated employee misconduct, includes a tangible element of employee accountability, and is approved at a level of leadership commensurate with the employee's position, the nature of the substantiated misconduct, and the initially proposed penalty. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 4 | Develop and implement a "terminal leave" policy that provides that under no circumstances shall a proposed or final determination on a personnel action, whether based on deficient performance or misconduct, be delayed or not taken so that an employee can accumulate service time, whether by remaining on duty, while on administrative leave, while on leave without pay, or while on annual leave, in order to attain eligibility for benefits, including but not limited to retirement benefits. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| 2018-003523 | Procedural Reform Recommendation for the Federal Bureau of Investigation | 2/12/2019 | FBI | 3 | Conduct additional research and testing, or seek by other means, prior to procurement of any new collection tool to be used by the FBI to collect and preserve text messages sent to and from FBI-issued devices, with a goal of 100 percent text message collection and preservation, to the extent technically feasible. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | | | | |
| | | | | 5 | Verify and address the security vulnerabilities identified by the Subject Matter Expert with whom the OIG consulted, which have been provided to the FBI. Current and future mobile devices and data collection and preservation tools should be tested for security vulnerabilities in order to ensure the security of the devices and the safekeeping of the sensitive data therein. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | | | | |
| | | | | 1 | Amend the existing FBI Policy Directive to formally designate an entity to be responsible for text message collection and retention. | FY 2021 | Not applicable. Implementation is in progress. | | | | |

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|------------|---|-------------|---------------|------------------------|---|---|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| E2003009 | A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung | 3/31/2006 | FBI | 2 | Require that any analytical products relating to the asset, together with red flags, derogatory reporting, anomalies, and other counterintelligence concerns be documented in a subsection of the asset's file. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 4/24/2013. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| | | | | 3 | Require the field SSA, the ASAC, and the FBI Headquarters SSA responsible for each asset to signify that they have reviewed the entries in this subsection as part of the routine file review or of semi-annual or annual asset re-evaluations. If anomalies exist, the SSA should note what action has been taken with respect to them, or explain why no action is necessary, and the ASAC's agreement should be noted. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 4/24/2013. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | |
| | | | | 6 | Require agents to record in the asset file any documents passed and all matters discussed with the asset, as well as each person who was present for the meeting. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 4/24/2013. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | |
| | | | | 7 | Require alternate case agents to meet with the source on a regular basis, together with the case agent. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 4/24/2013. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | |
| | | | | 1 | Continue its FBI Headquarters-managed asset validation review process and provide sufficient resources for the Analytical Unit to devote to these reviews. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 4/24/2013. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | |
| | | | | 8 | Limit the number of years any Special Agent can continue as an asset's handler. Exceptions should be allowed for good cause only. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 4/24/2013. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | |

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open | |
|------------|--|-------------|---------------|------------------------|--|--|--|---|-----------------------|-----------------------------------|--------------------------|---|
| E2006006 | A Review of the FBI's Investigations of Certain Domestic Advocacy Groups | 9/20/2010 | FBI | 2 | Establish Procedures to Track Source of Facts Provided to the Public and Congress. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 4/16/2013. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - | |
| | | | | 3 | Require Identification of Federal Crime as Part of Documenting Predication. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 6/11/2011. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - | |
| | | | | 5 | Clarify When First Amendment Cases Should Be Classified as "Acts of Terrorism" Matters. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 6/11/2011. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - | |
| | | | | 4 | Consider Revising Attorney General's Guidelines and DIOG to Reinstate Prohibition on Retention of Irrelevant First Amendment Material from Public Events. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with OIG" on 6/11/2011. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - | |
| | | | | | | | | | | | | |
| E2006010 | Report on the President's Surveillance Program | 7/10/2009 | NSD | 3 | As part of the [Redacted] project, the Justice Department's National Security Division (NSD), working with the FBI, should collect information about the quantity of telephone numbers and e-mail addresses disseminated to FBI field offices that are assigned as Action leads and that require offices to conduct threat assessments. | Department management requested on 5/4/2020 that OIG close the recommendation. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - | |
| | | | | 4 | Consistent with NSD's current oversight activities and as part of its periodic reviews of national security investigations at FBI Headquarters and field offices, NSD should review a representative sampling [Redacted] leads to those offices. | Department management requested on 5/4/2020 that OIG close the recommendation. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - | |
| | | | | FBI | 2 | Carefully consider whether it must re-examine past cases to see whether potentially discoverable but undisclosed Rule 16 or Brady material was collected by the NSA under the program, and take appropriate steps to ensure that it has complied with its discovery obligations in such cases. | Department management requested on 5/4/2020 that OIG close the recommendation. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - |
| | | | | 5 | In coordination with the NSA, implement a procedure to identify Stellar Wind-derived information that may be associated with international terrorism cases currently pending or likely to be brought in the future and evaluate whether such information should be disclosed in light of the government's discovery obligations under Rule 16 and Brady. | Department management requested on 5/4/2020 that OIG close the recommendation. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - | |
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|--------------|---|-------------|---------------|------------------------|--|--|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| E2006010 | Report on the President's Surveillance Program | 7/10/2009 | FBI | 1 | Assess its discovery obligations regarding Stellar Wind-derived information in international terrorism prosecutions. | Department management requested on 5/4/2020 that OIG close the recommendation. | Not applicable. Awaiting OIG closure of the recommendation. | - | - | - | - |
| E2007003 | A Review of the FBI's Use of National Security Letters: Assessment of Corrective Actions and Examination of NSL Usage in 2006 | 3/17/2008 | FBI | 2 | Implement measures to verify the accuracy of data entry into the new NSL data system by including periodic reviews of a sample of NSLs in the database to ensure that the training provided on data entry to the support staff of the FBI OGC National Security Law Branch (NSLB), other Headquarters divisions, and field personnel is successfully applied in practice and has reduced or eliminated data entry errors. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| E2007006 | An Investigation of Allegations of Politicized Hiring by Monica Goodling and Other Staff in the Office of the Attorney General | 7/28/2008 | OAG | 1 | Clarify its policies regarding the use of political or ideological affiliations to select career attorney candidates for temporary details within the Department. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| E2010002 | A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations | 8/14/2014 | FBI | 3 | Notify the President's Intelligence Oversight Board concerning the unauthorized collections found in this review containing [redacted-classified] from two providers and seek guidance on whether the FBI should undertake the effort necessary to identify and remove similar unauthorized collections that likely remain in many FBI case files. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 9 | Take steps to ensure that it does not request or obtain "associated" records without a separate determination and certification of relevance to an authorized national security investigation. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 8 | Revive their efforts to bring about a legislative amendment to Section 2709 by submitting another proposal that defines the phrase "toll billing records." | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| E2011004 | A Review of ATF's Operation Fast and Furious and Related Matters | 9/19/2012 | FBI | 4 | Review the policies and procedures of its other law enforcement components to ensure that they are sufficient to address the concerns we have identified in the conduct of Operations Wide Receiver and Fast and Furious, particular regarding oversight of sensitive and major cases, the authorization and oversight of "otherwise illegal activity," and the use of information in situations where the law enforcement component also has a regulatory function. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| E2013002 | Information Handling and Sharing Prior to the April 15, 2013 Boston Marathon Bombings | 4/10/2014 | FBI | 1 | Clarify the circumstances under which JTTF personnel may change the display status of a TECS record, particularly in closed cases. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |
| GR-30-19-002 | Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C. | 2/26/2019 | OJP | 9 | Remedy a total of \$56,970 unsupported subrecipient charges, which include \$41,770 in tested salary costs and \$15,200 in rent expenses paid through June 2018. | FY 2021 | Not applicable. Implementation is in progress. | 42,638 | 14,332 | - | - |
| GR-40-15-006 | Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico | 9/21/2015 | OJP | 6 | Ensure the PRDOJ takes steps to address the turnover of grant management staff at the External Resources Division by obtaining a plan to address the turnover and monitoring the implementation of the plan. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 11/12/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|--------------|--|-------------|---------------|------------------------|---|--|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| GR-40-15-006 | Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico | 9/21/2015 | OJP | 4 a | Remedy \$259,730 in grant expenditures not supported by adequate documentation from Grant Number 2008-DJ-BX-0050. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 11/12/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | 210,253 | 49,477 | - | - |
| | | | | c | Remedy \$405,412 in grant expenditures not supported by adequate documentation from Grant Number 2009-DJ-BX-1102. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 11/12/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | 106,150 | 299,262 | - | - |
| | | | | d | Remedy \$1,095,102 in grant expenditures not supported by adequate documentation from Grant Number 2009-SU-B9-0053. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 11/12/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | 1,057,597 | 37,505 | - | - |
| | | | | f | Remedy \$2,461 in grant expenditures not supported by adequate documentation from Grant Number 2009-VC-GX-0045. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 11/12/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | 2,461 | - | - |
| GR-40-16-004 | Audit of Contracts Awarded by the Boys and Girls Clubs of America, Inc. Using Office of Justice Programs Grant Funds | 9/21/2016 | OJP | 2 | Remedy the \$2,457,784 in unsupported costs due to inadequate justification in the selection of contractors on a sole source basis. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/17/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | 2,457,784 | - | - | - |

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| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|--------------|---|-------------|---------------|------------------------|---|--|--|---|-----------------------|-----------------------------------|--------------------------|
| GR-40-16-004 | Audit of Contracts Awarded by the Boys and Girls Clubs of America, Inc. Using Office of Justice Programs Grant Funds | 9/21/2016 | OJP | 1 | Remedy the \$505,148 in unsupported costs due to the absence of justification in the selection of contracts on a sole source basis. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/17/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | 505,148 | - | - | - |
| | | | | | 9 a | Remedy the \$66,287 in unsupported costs regarding vendors hired by FirstPic, Inc. to complete contract service tasks in which the Boys and Girls Clubs identified FirstPic, Inc. as a sole source provider for those tasks. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/17/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | 66,287 | - | - |
| | | | | | b | Remedy the \$4,630 in unsupported costs regarding the remaining other direct costs in which FirstPic, Inc. did not provide sufficient support for the costs billed and paid. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/17/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | 4,630 | - | - |
| GR-40-19-003 | Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Louisiana Commission on Law Enforcement, Baton Rouge, Louisiana | 6/18/2019 | OJP | 4 | Remedy the \$81,982 in unsupported administrative costs for Grant Numbers 2014-VA-GX-0045 (\$11,889), 2015-VA-GX-0003 (\$26,226), and 2016-VA-GX-0074 (\$43,867). | FY 2021 | Not applicable. Implementation is in progress. | 3,798 | 78,184 | - | - |
| GR-50-17-005 | Audit of the Office of Juvenile Justice and Delinquency Prevention National Mentoring Programs Grants Awarded to the National Council of Young Men's Christian Associations of the USA, Chicago, Illinois | 9/20/2017 | OJP | 2 | Remedy the \$1,588,614 in unallowable advances to grant subrecipients. | FY 2021 | Not applicable. Implementation is in progress. | 58,192 | 1,530,422 | - | - |
| | | | | | 7 | Remedy the \$72,479 in unsupported subrecipient expenditures charged to the grant. | FY 2021 | Not applicable. Implementation is in progress. | 54,072 | 18,407 | - |
| | | | | | 8 | Remedy the \$1,965 in unsupported contractor expenses charged to the grant. | FY 2021 | Not applicable. Implementation is in progress. | 465 | 1,500 | - |
| GR-50-18-004 | Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri | 3/23/2018 | OJP | 7 | Ensure that the Missouri state administering agency has a compliant method for allocating annual leave payouts. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |

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|--------------|--|-------------|--|------------------------|----------------|---|--|--|--|--|---------------------|--|---------|
| | | | | | | | | | | | Open | | |
| GR-50-19-002 | Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio | 3/13/2019 | OJP | 3 | a | Remedy the \$760,199 in unallowable reimbursed grant expenditures due to CCSD's lack of adherence to OJP requirements, implementation of a program that severely deviated from the grant's approved scope and intent, and inadequate progress in achieving grant goals and objectives. | FY 2021 | Not applicable. Implementation is in progress. | - | 760,199 | - | - | |
| | | | | | b | Remedy the \$33,743 in unallowable reimbursed expenditures associated with the Data Management Coordinator salary because CCSD did not achieve the required level of data collection to accomplish the grant objectives. | FY 2021 | Not applicable. Implementation is in progress. | - | 33,743 | - | - | |
| | | | | | c | Remedy the \$35,642 in unallowable reimbursed expenses associated with expenditures that were not in the NIJ-approved budget, including an unapproved administrative support position, website development from an unapproved vendor, conductor trainings by unapproved vendors, background checks, consultant travel, and data plans for the tablet computers. | FY 2021 | Not applicable. Implementation is in progress. | - | 35,642 | - | - | |
| | | | | | d | Remedy the \$112,697 in unallowable expenditures incurred prior to the allowable period of performance. | FY 2021 | Not applicable. Implementation is in progress. | - | 112,697 | - | - | |
| | | | | | 5 | a | Put to better use the \$598,663 in unreimbursed CCSD expenditures that are unallowable due to lack of adherence to OJP requirements, implementation of a program that severely deviated from the grant's approved scope and intent, and inadequate progress in achieving grant goals and objectives. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | 598,663 |
| | | | | | b | Put to better use the \$9,863 in unreimbursed expenditures associated with the Data Management Coordinator salary because CCSD did not achieve the required level of data collection to accomplish grant objectives. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | 9,863 | |
| | | | | | c | Put to better use the \$16,291 in unreimbursed expenses associated with expenditures that were not in the NIJ-approved budget, including conductor trainings by unapproved vendors, background checks, and data plans for the tablet computers. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | 16,291 | |
| | | | | | d | Put to better use the \$110,154 in not yet reimbursed expenditures related to conductor payments that were unsupported. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | 110,154 | |
| | | | | | 4 | Remedy the \$168,679 in unsupported reimbursed expenditures related to conductor payments. | FY 2021 | Not applicable. Implementation is in progress. | - | 168,679 | - | - | |
| | | | | | GR-50-19-003 | Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin | 7/31/2019 | OJP | 6 | Remedy the \$19,162 of unsupported WI DOJ subrecipient expenditures. | FY 2021 | Not applicable. Implementation is in progress. | - |
| 7 | Remedy the \$1,256 of unallowable WI DOJ subrecipient lease costs that are in excess of a related party's cost of ownership. | FY 2021 | Not applicable. Implementation is in progress. | - | 1,256 | - | - | | | | | | |
| 1 | Coordinate with the WI DOJ to determine the appropriateness of reexamining the WI DOJ subaward structure to ensure that VOCA funds are efficiently and effectively awarded to subrecipients. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | | | | | | |
| 9 | Remedy the \$95,599 of unsupported WI DOJ administrative expenditures. | FY 2021 | Not applicable. Implementation is in progress. | - | 95,599 | - | - | | | | | | |
| 11 | Determine the required match amounts for the WI DOJ subrecipients with both reduced award amounts and partial match waivers. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | | | | | | |
| 12 | Ensure that the WI DOJ remedies any unmet subrecipient match amounts. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | | | | | | |
| 14 | Remedy the \$80,482 of unsupported WI DOJ subrecipient matching costs. | FY 2021 | Not applicable. Implementation is in progress. | - | 80,482 | - | - | | | | | | |
| 18 | Ensure that the WI DOJ revises its approach to reviewing financial reports to provide reasonable assurance that subrecipient expenditures charged to the VOCA grant are supported and in compliance with grant requirements, including approved budgets. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | | | | | | |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

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|--------------|---|-------------|---------------|------------------------|--|---|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| GR-50-19-003 | Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin | 7/31/2019 | OJP | 17 | Ensure that the WI DOJ conducts financial monitoring in a timely manner, with an emphasis on completing desk monitoring for those subrecipients that did not receive a desk review in the last subaward cycle. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | 19 | Ensure that the WI DOJ completes all performance monitoring activities for the subaward cycle ending in 2019. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| GR-50-19-005 | Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri | 9/24/2019 | OJP | 3 | Work with the Missouri DPS to determine if its state certifications for FYs 2015 through 2018 resulted or will result in funds awarded in error, and if so to take the necessary steps to remedy those funds. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| GR-50-19-006 | Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin | 9/27/2019 | OJP | 2 | Require the MPD to implement policies and procedures to ensure that the MPD maintains valid and auditable source documentation to support performance measures reported in the semi-annual progress reports. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/4/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |
| | | | | 3 | Require the MPD to enhance its existing policy to ensure that award funds are only paid to recipients that are eligible to receive federal funding, which includes individuals and subrecipients. This policy should also require that review of the System for Award Management (SAM) is completed for each award on a regular basis. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/4/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | |
| | | | | 6 | Require the MPD to enhance existing policies and procedures to ensure that the MPD conducts adequate oversight and monitoring of its subrecipients, as outlined in the DOJ Grants Financial Guide. This includes ensuring that these policies are distributed to the appropriate MPD personnel, and that those personnel are properly trained on the policy. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/4/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | |
| | | | | 7 | Remedy \$89,412 in unsupported subrecipient costs. | FY 2021 | Not applicable. Implementation is in progress. | | 89,412 | | |
| | | | | 5 | Require the MPD to implement policies and procedures to ensure that the MPD's subrecipient agreements contain the proper elements required by the DOJ Grants Financial Guide. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/4/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | |

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|--------------|---|-------------|---------------|------------------------|---|----------------------------------|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| GR-50-19-006 | Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin | 9/27/2019 | OJP | 1 | Provide additional oversight and assistance to the MPD to ensure that the award goals and objectives are adequately achieved for the 2016 CGIC award. Moreover, with the MPD's current award nearing completion, OJP should also evaluate whether the full amount of remaining funds under this award is needed. Finally, OJP should ensure that the MPD implements policies and procedures that appropriately plan for project milestones for future awards. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 4 | Review the subrecipient arrangement between the MPD, its first-tier subrecipient, and the second-tier academic partner to identify areas to improve efficiencies and effectiveness in meeting required award objectives for the 2016 CGIC award. This should include determining if administrative and overhead costs should be reallocated and ensuring that each subrecipient is responsible for distinct and measurable deliverables. In addition, we recommend that OJP ensure that the MPD has appropriate policies and procedures for establishing and structuring subrecipient agreements under future awards. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| GR-60-15-002 | Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho | 12/15/2014 | OVW | 2 | Remedy the \$29,583 in unsupported costs due to missing files or inadequate documentation. | FY 2021 | Not applicable. Implementation is in progress. | - | 29,583 | - | - |
| | | | | 3 | Remedy the \$1,096 in unallowable costs related to IRS fines. | FY 2021 | Not applicable. Implementation is in progress. | - | 1,096 | - | - |
| | | | | 1 | Remedy the \$166,469 in excess drawdowns. | FY 2021 | Not applicable. Implementation is in progress. | - | 166,469 | - | - |
| | | | | 4 | Remedy the \$6,758 in unallowable unbudgeted personnel costs. | FY 2021 | Not applicable. Implementation is in progress. | - | 6,758 | - | - |
| GR-60-15-005 | Audit of the Office of Justice Programs Correctional Systems and Correctional Alternatives on Tribal Lands Program Grants Awarded to the Navajo Division of Public Safety, Window Rock, Arizona | 9/28/2015 | OJP | 2 | Remedy the \$656,921 in unsupported questioned costs for Grant Number 2009-ST-B9-0089. | FY 2021 | Not applicable. Implementation is in progress. | 595,461 | 61,460 | - | - |
| | | | | 3 | Remedy the \$2,554,924 in unallowable questioned costs for Grant Numbers 2009-ST-B9-0089 and 2009-ST-B9-0100. | FY 2021 | Not applicable. Implementation is in progress. | 1,181,532 | 1,373,392 | - | - |
| | Audit of the Office of Justice Progrms Correctional Systems and Correctional Alternatives on Tribal Lands Program Grants Awarded to the Navajo Division of Public Safety, Window Rock, Arizona | 9/28/2015 | OJP | 9 | Remedy \$32,034,623 in unallowable expenditures associated with excessive building sizes for Grant Numbers 2009-ST-B9-0089 and 2009-ST-B9-0100. | FY 2021 | Not applicable. Implementation is in progress. | 11,659,389 | 20,375,234 | - | - |
| GR-60-16-002 | Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana | 11/9/2015 | OVW | 2 b | Remedy the \$872,246 in grant reimbursements expended on personnel costs that are not supported by detailed time and attendance records. | FY 2021 | Not applicable. Implementation is in progress. | - | 872,246 | - | - |
| | | | | c | Remedy the \$45,840 in grant reimbursements claimed for costs which are unsupported by grant documentation. | FY 2021 | Not applicable. Implementation is in progress. | - | 45,840 | - | - |
| | | | | d | Remedy the \$199,427 in grant reimbursements claimed for expenditures for which supporting documentation has been destroyed. | FY 2021 | Not applicable. Implementation is in progress. | - | 199,427 | - | - |
| | | | | 3 | Remedy the \$44,311 in grant reimbursements claimed for personnel costs not in the OVW-approved budgets. | FY 2021 | Not applicable. Implementation is in progress. | - | 44,311 | - | - |
| | | | | 5 | Remedy the \$29,675 in grant reimbursements claimed for rent the DCDV paid to itself for a building it owns. | FY 2021 | Not applicable. Implementation is in progress. | - | 29,675 | - | - |
| | | | | 4 | Remedy the \$30,353 in grant reimbursements claimed for costs that were unallowable under the terms and conditions of the awards. | FY 2021 | Not applicable. Implementation is in progress. | - | 30,353 | - | - |

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|--------------|---|-------------|---------------|------------------------|--|----------------------------------|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| GR-60-16-006 | Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota | 4/6/2016 | OVW | 6 c | Remedy the \$84,383 for grant expenditures with insufficient documentation incurred while under the fiscal agent. | FY 2021 | Not applicable. Implementation is in progress. | 32,233 | 52,150 | | |
| | | | | e | Remedy the \$20,260 for grant expenditures incurred for travel with insufficient documentation. | FY 2021 | Not applicable. Implementation is in progress. | 13,777 | 6,483 | - | |
| | | | | 5 c | Remedy the \$33,840 in unallowable unbudgeted general liability and health insurance costs. | FY 2021 | Not applicable. Implementation is in progress. | 31,224 | 2,616 | | |
| | | | | j | Remedy the \$7,294 in funds drawn down in excess of grant expenditures for Grant Number 2008-IW-AX-0005. | FY 2021 | Not applicable. Implementation is in progress. | | 7,294 | | |
| GR-60-17-008 | Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana | 5/10/2017 | OJP | 6 | Ensure that FBIC completes all planned objectives for Grant Number 2012-IC-BX-0007. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | 7 a | Assess the FBIC's ability to complete the program goals prior to the end of the award and ensure program sustainability for Grant Number 2014-CZ-BX-0013. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | b | Assess the FBIC's ability to complete the program goals for Grant Number 2015-AC-BX-0011 prior to the end of the award. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | 9 a | Remedy the \$6,092 in unsupported personnel and fringe costs. | FY 2021 | Not applicable. Implementation is in progress. | | 6,092 | | |
| | | | | b | Remedy the \$49,583 in unsupported contractual prosecution services. | FY 2021 | Not applicable. Implementation is in progress. | | 49,583 | | |
| | | | | c | Remedy the \$2,161 in unsupported other direct costs. | FY 2021 | Not applicable. Implementation is in progress. | | 2,161 | | |
| | | | | d | Remedy the \$30,042 in unsupported excess drawdowns. | FY 2021 | Not applicable. Implementation is in progress. | | 30,042 | | |
| | | | | 10 | Ensure that the FBIC has procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program and those specified in the solicitation and award documents. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | 15 | Ensure that the FBIC has a process to ensure that federal cash on hand is the minimum needed for disbursements to be made immediately or within 10 days and, if the funds are not spent or disbursed within 10 days, the FBIC must return them to the awarding agency. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | 8 a | Remedy the \$3,100 in unsupported personnel and fringe costs. | FY 2021 | Not applicable. Implementation is in progress. | | 3,100 | | |
| | | | | b | Remedy the \$14,163 in unallowable contractual prosecution services. | FY 2021 | Not applicable. Implementation is in progress. | | 14,163 | | |
| | | | | c | Remedy the \$12,421 in unallowable other direct costs. | FY 2021 | Not applicable. Implementation is in progress. | | 12,421 | | |
| | | | | d | Remedy the \$5,232 in unallowable indirect costs. | FY 2021 | Not applicable. Implementation is in progress. | | 5,232 | | |
| | | | OVW | 3 c | Remedy the \$25,000 in contractual prosecution service transactions that were not adequately documented. | FY 2021 | Not applicable. Implementation is in progress. | 20,000 | 5,000 | | |

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|--------------|--|-------------|--|------------------------|---|--|--|--|-----------------------|-----------------------------------|--------------------------|--|
| | | | | | | | | | | | | |
| GR-60-17-008 | Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana | 5/10/2017 | OVW | 10 | Ensure that the FBIC has procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program and those specified in the solicitation and award documents. | FY 2021 | Not applicable. Implementation is in progress. | | | | | |
| | | | | 15 | Ensure that the FBIC has a process to ensure that federal cash on hand is the minimum needed for disbursements to be made immediately or within 10 days and, if the funds are not spent or disbursed within 10 days, the FBIC must return them to the awarding agency. | FY 2021 | Not applicable. Implementation is in progress. | | | | | |
| GR-60-18-008 | Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana | 9/26/2018 | COPS | 4 a | Remedy \$6,115 in unsupported personnel and associated fringe under Grant Number 2012-HH-WX-0012. | FY 2021 | Not applicable. Implementation is in progress. | | 6,115 | | | |
| | | | | b | Remedy \$2,661 in unsupported personnel and associated fringe under Grant Number 2014-HH-WX-0014. | FY 2021 | Not applicable. Implementation is in progress. | | 2,661 | | | |
| | | | | 11 | Coordinate with the Blackfeet Tribe to: (1) obtain an electronic timekeeping system; (2) update the payroll system to properly account for Tribal Holiday hours, overtime, and approved pay rates; (3) train law enforcement supervisors on proper authorization techniques; and (4) train Compliance Monitors to properly allocate unallowable overtime to the appropriate funding source. | FY 2021 | Not applicable. Implementation is in progress. | | | | | |
| | | | | OJP | 15 b | Remedy \$26,057 in unallowable grant expenditures that occurred before the related special conditions were removed under Grant Number 2014-XV-BX-K031. | FY 2021 | Not applicable. Implementation is in progress. | | 26,057 | | |
| | | | | 13 a | Remedy \$23,934 in unsupported grant expenditures regarding the lack of support for program accomplishments and activities that did not support program goals and objectives that occurred before certain Project Coordinators started under the Adult and Juvenile Court Programs under Grant Number 2014-AX-BX-0022 from February 2016 through November 13, 2016. | FY 2021 | Not applicable. Implementation is in progress. | | 23,934 | | | |
| | | | | b | Remedy \$168,415 in unsupported grant expenditures regarding the lack of support for program accomplishments and activities that did not support program goals and objectives that occurred before certain Project Coordinators started under the Adult and Juvenile Court Programs under Grant Number 2014-TY-FX-0008, from February 2015 through October 2, 2016. | FY 2021 | Not applicable. Implementation is in progress. | | 168,415 | | | |
| | | | | 14 | Remedy \$180,348 in unsupported grant expenditures under Grant Number 2014-XV-BX-K031 regarding lack of support for program accomplishments from November 2014 through July 2016. | FY 2021 | Not applicable. Implementation is in progress. | | 180,348 | | | |
| | | | | 16 a | Remedy \$5,883 in unsupported personnel and associated fringe costs related to the lack of time and effort reports under Grant Number 2014-AC-BX-0022. | FY 2021 | Not applicable. Implementation is in progress. | | 5,883 | | | |
| | | | | b | Remedy \$6,764 in unsupported personnel and associated fringe costs related to the lack of time and effort reports under Grant Number 2014-TY-FX-0008. | FY 2021 | Not applicable. Implementation is in progress. | | 6,764 | | | |
| | | | | c | Remedy \$1,642 in unsupported personnel and associated fringe costs related to the lack of time and effort report under Grant Number 2014-XV-BX-K031. | FY 2021 | Not applicable. Implementation is in progress. | | 1,642 | | | |
| | | | | 18 a | Remedy \$7,072 in unallowable travel costs under Grant Number 2014-AC BX-0022. | FY 2021 | Not applicable. Implementation is in progress. | | 7,072 | | | |
| c | Remedy \$4,262 in unallowable travel costs under Grant Number 2014-XV BX-K031. | FY 2021 | Not applicable. Implementation is in progress. | | 4,262 | | | | | | | |
| 17 | Remedy \$1,808 in unsupported personnel and fringe costs under Grant Number 2014-XV-BX-K031. | FY 2021 | Not applicable. Implementation is in progress. | | 1,808 | | | | | | | |
| 19 a | Remedy \$2,964 in unsupported travel costs under Grant Number 2014-AC-BX-0022. | FY 2021 | Not applicable. Implementation is in progress. | | 2,964 | | | | | | | |

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|--------------|---|-------------|---------------|------------------------|--|----------------------------------|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| GR-60-18-008 | Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on | 9/26/2018 | OJP | 19 b | Remedy \$2,880 in unsupported travel costs under Grant Number 2014-TY-FX-0008. | FY 2021 | Not applicable. Implementation is in progress. | - | 2,880 | - | - |
| | | | | c | Remedy \$490 in unsupported travel costs under Grant Number 2014-XV-BX-K031. | FY 2021 | Not applicable. Implementation is in progress. | - | 490 | - | - |
| | | | | 21 a | Remedy \$1,199 in unallowable supply costs under Grant Number 2014-AC-BX-0022. | FY 2021 | Not applicable. Implementation is in progress. | - | 1,199 | - | - |
| | | | | c | Remedy \$9,278 in unallowable supply costs under Grant Number 2014-XV-BX-K031. | FY 2021 | Not applicable. Implementation is in progress. | - | 9,278 | - | - |
| | | | | 22 a | Remedy \$375 in unsupported supply costs under Grant Number 2014-TY-FX-0008. | FY 2021 | Not applicable. Implementation is in progress. | - | 375 | - | - |
| | | | | b | Remedy \$652 in unsupported supply costs under Grant Number 2014-XV-BX-K031. | FY 2021 | Not applicable. Implementation is in progress. | - | 652 | - | - |
| | | | | 23 b | Remedy \$4,172 in unallowable contractor and consultant costs under Grant Number 2014-XV-BX-K031 | FY 2021 | Not applicable. Implementation is in progress. | - | 4,172 | - | - |
| | | | | 24 a | Remedy \$5,365 in unsupported contractor and consultant costs under Grant Number 2014-TY-FX-0008. | FY 2021 | Not applicable. Implementation is in progress. | - | 5,365 | - | - |
| | | | | b | Remedy \$1,625 in unsupported contractor and consultant costs under Grant Number 2014-XV-BX-K031. | FY 2021 | Not applicable. Implementation is in progress. | - | 1,625 | - | - |
| | | | | 25 a | Remedy \$362 in unallowable other direct costs that were not in budget, scope, or both under Grant Number 2014-AC-BX-0022. | FY 2021 | Not applicable. Implementation is in progress. | - | 362 | - | - |
| | | | | c | Remedy \$3,632 in unallowable other direct costs that were not in budget, scope, or both under Grant Number 2014-XV-BX-K031. | FY 2021 | Not applicable. Implementation is in progress. | - | 3,632 | - | - |
| | | | | 26 a | Remedy \$100 in unsupported other direct costs under Grant Number 2014-TY-FX-0008. | FY 2021 | Not applicable. Implementation is in progress. | - | 100 | - | - |
| | | | | b | Remedy \$588 in unsupported other direct costs under Grant Number 2014-XV-BX-K031. | FY 2021 | Not applicable. Implementation is in progress. | - | 588 | - | - |
| GR-60-19-003 | Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota | 3/6/2019 | OJP | 2 | Ensure that Wiconi implements policies and procedures to ensure that payment for expenses incurred by Wiconi are remitted in a reasonable timeframe in order to minimize the risk of incurring overdue penalties. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 3 | Ensure that Wiconi enforce its existing policy related to the authorization of award expenditures, which includes: (1) ensuring that all disbursements are approved by a member of the Board of Director's who has reviewed the request; (2) ensuring all mileage and per diem reimbursements are completed by the employee requesting the reimbursement, and subsequently authorized by the Executive Director; and (3) ensuring that the proper official authorizes timesheets and requests for annual and sick leave. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 6 | Ensure that that Wiconi implements policies and procedures to ensure that procurement of accountable equipment or property is conducted in open, free, and fair competition, which includes ensuring that items purchased over \$5,000 are competitively bid with 3 quotations, as mandated by Wiconi's existing financial policies. This policy should ensure that the procurement process for equipment is adequately documented. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|--------------|---|-------------|---------------|------------------------|--|----------------------------------|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| GR-60-19-003 | Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota | 3/6/2019 | OJP | 7 | Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately procures contract agreements in compliance with the procurement standards set forth in the Uniform Guidance, which should include a formal process for: (1) soliciting contracts that allows for open, free, and fair competition; (2) properly selecting and authorizing consultants and contractors; (3) verifying consultant and contractor performance; and (4) maintaining sufficient documentation to detail the history of the procurement. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 5 | Ensure that Wiconi implements policies and procedures that require Wiconi to properly maintain property records, which should include an inventory log containing: (1) a description of the property; (2) a serial number or other identification number; (3) the source of the property; (4) the acquisition date; (5) the cost of the property; (6) the location of the property; and (7) the use and condition of the property. This policy should ensure that a physical inventory is completed once every two years, which further minimizes the risk of transposing equipment information when tracking items on an inventory log. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 1 | Ensure that Wiconi implements policies and procedures that require Wiconi employees to submit a certified voucher or reconciliation after travel is complete to attest to the accuracy and validity of expenses incurred during work-related travel. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 4 | Ensure that Wiconi implements policies and procedures to ensure that all purchases are properly requested, authorized, and documented prior to when the purchase is initiated, including approving purchases involving credit cards, store accounts, and other credit accounts prior to purchase. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 9 | Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately administers contract agreements, which includes ensuring that: (1) contracts are properly signed by both parties who agree on the terms and conditions of the contract prior to when any services are rendered; and (2) ensuring that both parties agree to any contract modifications or amendments, and that those changes are adequately documented. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 10 | Ensure that Wiconi promptly discloses all real and apparent conflicts of interest in writing to the awarding agency under each of its federal awards, as well as the cognizant Federal audit agency, as mandated by the DOJ Grants Financial Guide. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 11 | Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately addresses conflicts of interest, both real and apparent, as they arise, which includes: (1) implementing a documented process to check for organizational conflicts of interest with potential contractors; (2) promptly reporting all potential conflicts of interest to the awarding agency and cognizant Federal audit agency, and/or proposed or actual actions regarding each irregularity; and (3) enhancing existing Wiconi policy to ensure that written standards of conduct covering conflict of interest and employee participation in selection, award, and administration of contracts is adequately followed. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 15 | Ensure that Wiconi implements policies and procedures to ensure that federal cash on hand is the minimum needed for disbursement to be made immediately or within 10 days, and, if the funds are not spent or disbursed within 10 days, Wiconi must return them to the awarding agency as required by the DOJ Grants Financial Guide. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|--------------|---|-------------|---------------|------------------------|---|--|--|--|-----------------------|-----------------------------------|--------------------------|
| GR-60-19-003 | Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota | 3/6/2019 | OJP | 8 | Ensure that Wiconi implements policies and procedures that contain an adequate segregation of duties for expenses incurred, which ensures that the official that prepares a transaction request, including a time and effort report, is different than the official that authorizes the payment. Specific to soliciting contract agreements, these policies and procedures should ensure that no one person is responsible for executing the entire procurement transaction alone, which includes identifying a needed project, creating a project solicitation, receiving and reviewing bids, awarding the contract, reviewing the contractor's work, and paying the contractor. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | | 12 | Ensure that Wiconi implements an effective system for adequately and reliably measuring program performance and accomplishments for all future awards for purposes other than shelter services. This includes award programs specific to children's mentoring and counseling. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | | 13 | Ensure that Wiconi implements policies and procedures to ensure that Wiconi maintains and verifies valid and auditable source documentation that supports performance measures reported in the semi-annual progress reports. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | | 14 | Ensure that Wiconi implements policies and procedures to ensure that Wiconi complies with award special conditions. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | | 16 | Ensure that Wiconi implements policies and procedures to ensure the proper accounting and classification of award funds by establishing a system to adequately record, monitor, and track funds, including matching costs, according to project category in order to: (1) maintain program accounts that enable separate identification and accounting for funds applied to each budget category included within the approved award and to prevent the commingling of funds; (2) consistently classify expenses using a clear and precise methodology; (3) ensure lump sum payments to credit accounts are broken down by individual expense, receipt, or invoice; and (4) properly report the correct amount of expenditures on its Federal Financial Reports (FFRs). | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | | 18 | Ensure that Wiconi enforces its existing policy and award terms and conditions related to employee work schedules and benefits, which includes ensuring that: (1) Wiconi employees are held accountable to approved work schedules; (2) any hours worked in excess of a regular 40 hour work week is authorized by the appropriate officials; (3) all regularly scheduled holidays are recognized either on the actual holiday, or one day before or after the holiday if the employee is scheduled to work; (4) incentive awards are given only to those that are eligible; (5) employees who receive fringe benefits are working at least 35 hours per week, as mandated by existing policy; and (6) the proper official authorizes timesheets and requests for annual and sick leave. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | | 17 | Ensure that all payroll expenditures at Wiconi are supported by a system of internal controls that provide reasonable assurance that all payroll charges are accurate, reliable, allowable, and properly allocated. This system should ensure that any alterations to payroll records are properly authorized and reflect the actual time worked. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | | 19 | Ensure that Wiconi implements policies and procedures to ensure that the accurate amount of annual and sick leave is allocated to each employee, which includes: (1) ensuring that employees only accrue leave based on their regularly scheduled hours; (2) ensuring that all scheduled leave is deducted from each respective employee's balance of leave hours; and (3) ensuring that any administrative leave taken due to an emergency or inclement weather is approved by the appropriate official. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |
| | | | | | 21 | Ensure that Wiconi completes required single audits in compliance with 2 C.F.R. 200, or the current Uniform Guidance. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | | | | | | | | |
|--------------|---|-------------|---------------|------------------------|---|----------------------------------|--|-----------------------|-----------------------------------|--------------------------|-------|--------|---------|--|--|
| | | | | | | | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open | | | | | |
| GR-60-19-003 | Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, | 3/6/2019 | OJP | 22 | Remedy \$18,754 in unallowable personnel and fringe benefit costs associated with employees that are not listed in the approved award budget. | FY 2021 | | | | 18,754 | | | | | |
| | | | | 23 | Remedy \$38,084 in unallowable personnel and fringe benefit costs that were incorrectly allocated to the award. | FY 2021 | | | | 38,084 | | | | | |
| | | | | 24 | Remedy \$7,523 in additional unallowable fringe benefit costs resulting from expenses not allowed by federal award conditions and that were double billed to the award ledgers. | FY 2021 | | | | | 7,523 | | | | |
| | | | | 25 | Remedy \$17,665 in unallowable consulting costs that were not listed in the approved award budget. | FY 2021 | | | | | | 17,665 | | | |
| | | | | 26 | Remedy \$40,168 in unallowable consulting costs that are considered unreasonable or excessive. | FY 2021 | | | | | | 40,168 | | | |
| | | | | 20 | Ensure that Wiconi revise its current policy related to holiday, bereavement, and wellness leave to only include leave that is reasonable and justified. | FY 2021 | | | | | | | | | |
| | | | | 27 | Remedy \$41,086 in unallowable consulting costs that were paid prior to when the consulting services were rendered (i.e. retainer fees). | FY 2021 | | | | | | | 41,086 | | |
| | | | | 28 | Remedy \$10,173 in unallowable consulting costs that were erroneously charged to the award. | FY 2021 | | | | | | | 10,173 | | |
| | | | | 29 | Remedy \$1,444 in unallowable consulting costs that were charged to the incorrect award. | FY 2021 | | | | | | | 1,444 | | |
| | | | | 30 | Remedy \$80,396 in unallowable matching expenditures that were not listed in the approved award budget. | FY 2021 | | | | | | | 80,396 | | |
| | | | | 31 | Remedy \$36,294 in unallowable other direct costs that were not listed in the approved award budget. | FY 2021 | | | | | | | 36,294 | | |
| | | | | 32 | Remedy \$28,607 in unallowable other direct costs that are considered unreasonable or excessive. | FY 2021 | | | | | | | 28,607 | | |
| | | | | 33 | Remedy \$7,546 in unallowable other direct costs that were charged to the incorrect award. | FY 2021 | | | | | | | 7,546 | | |
| | | | | 34 | Remedy \$15,839 in unallowable other direct costs that were erroneously charged to the award. | FY 2021 | | | | | | | 15,839 | | |
| | | | | 35 | Remedy \$4,435 in unallowable other direct costs that were double charged to the award. | FY 2021 | | | | | | | 4,435 | | |
| | | | | 36 | Remedy \$3,438 in unallowable expenses incurred prior to OJP's review and approval of Wiconi's budget and budget narrative. | FY 2021 | | | | | | | 3,438 | | |
| | | | | 37 | Remedy \$16,514 in unallowable costs resulting from transfers between budget categories exceeding 10 percent of the total award under Award Number 2013-VR-GX-K025. | FY 2021 | | | | | | | 16,514 | | |
| | | | | 38 | Remedy \$15,560 in unallowable excess drawdowns under Award Number 2013-VI-GX-K008. | FY 2021 | | | | | | | 15,560 | | |
| | | | | 40 | Remedy \$158,552 in unsupported consulting costs. | FY 2021 | | | | | | | 158,552 | | |
| | | | | 41 | Remedy \$920 in unsupported equipment costs resulting from purchases that do not contribute towards the completion of award goals and objectives. | FY 2021 | | | | | | | 920 | | |
| 42 | Remedy \$86,538 in unsupported matching expenditures. | FY 2021 | | | | | | | 86,538 | | | | | | |
| 43 | Remedy \$55,514 in unsupported other direct costs. | FY 2021 | | | | | | | 55,514 | | | | | | |

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|--------------|---|-------------|---------------|------------------------|--|--|--|--|-----------------------|-----------------------------------|---------------------|--------|---|
| | | | | | | | | | | | Open | | |
| GR-60-19-003 | Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota | 3/6/2019 | OJP | 44 | Remedy \$82,251 in unsupported expenditures purchased using a credit account, which are a result of: (1) unauthorized purchases; (2) limited assurance that expenses are properly allocated to the appropriate award; (3) insufficient detail in the accounting records to properly track and monitor expenses; and (4) purchases for purposes unassociated with award activities. | FY 2021 | Not applicable. Implementation is in progress. | | 82,251 | | | - | |
| | | | | 45 | Remedy unsupported drawdowns totaling \$450,000 under Award Number 2013-VR-GX-K025 and \$442,245 under Award Number 2013-VI-GX-K008 resulting from the extensive mismanagement of award funds, as well as the limited supportable progress towards the completion of award goals and objectives. | FY 2021 | Not applicable. Implementation is in progress. | - | 892,245 | - | - | | |
| | | | | 46 | Remedy and put to better use the remaining \$429,619 in funds obligated against Award Number 2014-XV-BX-K029, as this funding does not further support the original award goals and objectives. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | 399,717 | 29,902 | |
| | | | | 48 | Further review the allowability of expenditures associated with the final drawdown of \$28,102 under Award Number 2013-VI-GX-K008. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | - | |
| | | | | 49 | Ensure that Wiconi implements policies and procedures to ensure that any event broadly defined as a conference is properly administered based on the guidance set forth in the DOJ Grants Financial Guide. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | - | |
| | | | | 50 | Ensure that Wiconi implements policies and procedures to ensure that all requests for matching contributions are properly authorized, which includes requiring that all matching requests are approved by a member of the Board of Director's who has reviewed the request | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | - | |
| | | | | 51 | Ensure that Wiconi implements policies and procedures to ensure the proper accounting of matching contributions, which includes maintaining a general ledger that clearly shows the source, amount, and timing of these expenditures. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | - | |
| | | | | 52 | Ensure that Wiconi implements policies and procedures to ensure that Wiconi retains qualified individuals under each award program, which includes maintaining adequate qualifications for each individual, such as resumes, background checks, or letters of reference. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | - | |
| | | | | 53 | Provide additional oversight and monitoring of Wiconi to ensure that the award goals and objectives are adequately achieved under Award Number 2015 VT BX-K059 and Award Number 2016-VR-GX-K014, which includes potentially reviewing and revising the award goals and objectives for Award Number 2016-VR-GX-K014. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | - | |
| | | | | 39 a | Remedy \$680,207 in unsupported personnel and fringe benefit costs resulting from unreliable payroll records. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | 680,207 | - | |
| | | | | b | Remedy \$86,096 in unsupported personnel and fringe benefit costs resulting from costs with missing or invalid supporting documentation. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | 86,096 | - | |
| | | | | OVW | 2 | Ensure that Wiconi implements policies and procedures to ensure that payment for expenses incurred by Wiconi are remitted in a reasonable timeframe in order to minimize the risk of incurring overdue penalties. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | - |
| | | | | | 3 | Ensure that Wiconi enforce its existing policy related to the authorization of award expenditures, which includes: (1) ensuring that all disbursements are approved by a member of the Board of Director's who has reviewed the request; (2) ensuring all mileage and per diem reimbursements are completed by the employee requesting the reimbursement, and subsequently authorized by the Executive Director; and (3) ensuring that the proper official authorizes timesheets and requests for annual and sick leave. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - | - |

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|--------------|---|-------------|---------------|------------------------|--|----------------------------------|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| GR-60-19-003 | Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota | 3/6/2019 | OVW | 6 | Ensure that Wiconi implements policies and procedures to ensure that procurement of accountable equipment or property is conducted in open, free, and fair competition, which includes ensuring that items purchased over \$5,000 are competitively bid with 3 quotations, as mandated by Wiconi's existing financial policies. This policy should ensure that the procurement process for equipment is adequately documented. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 7 | Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately procures contract agreements in compliance with the procurement standards set forth in the Uniform Guidance, which should include a formal process for: (1) soliciting contracts that allows for open, free, and fair competition; (2) properly selecting and authorizing consultants and contractors; (3) verifying consultant and contractor performance; and (4) maintaining sufficient documentation to detail the history of the procurement. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 5 | Ensure that Wiconi implements policies and procedures that require Wiconi to properly maintain property records, which should include an inventory log containing: (1) a description of the property; (2) a serial number or other identification number; (3) the source of the property; (4) the acquisition date; (5) the cost of the property; (6) the location of the property; and (7) the use and condition of the property. This policy should ensure that a physical inventory is completed once every two years, which further minimizes the risk of transposing equipment information when tracking items on an inventory log. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 1 | Ensure that Wiconi implements policies and procedures that require Wiconi employees to submit a certified voucher or reconciliation after travel is complete to attest to the accuracy and validity of expenses incurred during work-related travel. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 4 | Ensure that Wiconi implements policies and procedures to ensure that all purchases are properly requested, authorized, and documented prior to when the purchase is initiated, including approving purchases involving credit cards, store accounts, and other credit accounts prior to purchase. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 9 | Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately administers contract agreements, which includes ensuring that: (1) contracts are properly signed by both parties who agree on the terms and conditions of the contract prior to when any services are rendered; and (2) ensuring that both parties agree to any contract modifications or amendments, and that those changes are adequately documented. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 10 | Ensure that Wiconi promptly discloses all real and apparent conflicts of interest in writing to the awarding agency under each of its federal awards, as well as the cognizant Federal audit agency, as mandated by the DOJ Grants Financial Guide. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 11 | Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately addresses conflicts of interest, both real and apparent, as they arise, which includes: (1) implementing a documented process to check for organizational conflicts of interest with potential contractors; (2) promptly reporting all potential conflicts of interest to the awarding agency and cognizant Federal audit agency, and/or proposed or actual actions regarding each irregularity; and (3) enhancing existing Wiconi policy to ensure that written standards of conduct covering conflict of interest and employee participation in selection, award, and administration of contracts is adequately followed. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 15 | Ensure that Wiconi implements policies and procedures to ensure that federal cash on hand is the minimum needed for disbursement to be made immediately or within 10 days, and, if the funds are not spent or disbursed within 10 days, Wiconi must return them to the awarding agency as required by the DOJ Grants Financial Guide. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |

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| GR-60-19-003 | Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota | 3/6/2019 | OVW | 8 | Ensure that Wiconi implements policies and procedures that contain an adequate segregation of duties for expenses incurred, which ensures that the official that prepares a transaction request, including a time and effort report, is different than the official that authorizes the payment. Specific to soliciting contract agreements, these policies and procedures should ensure that no one person is responsible for executing the entire procurement transaction alone, which includes identifying a needed project, creating a project solicitation, receiving and reviewing bids, awarding the contract, reviewing the contractor's work, and paying the contractor. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 12 | Ensure that Wiconi implements an effective system for adequately and reliably measuring program performance and accomplishments for all future awards for purposes other than shelter services. This includes award programs specific to children's mentoring and counseling. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 13 | Ensure that Wiconi implements policies and procedures to ensure that Wiconi maintains and verifies valid and auditable source documentation that supports performance measures reported in the semi-annual progress reports. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 14 | Ensure that Wiconi implements policies and procedures to ensure that Wiconi complies with award special conditions. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 16 | Ensure that Wiconi implements policies and procedures to ensure the proper accounting and classification of award funds by establishing a system to adequately record, monitor, and track funds, including matching costs, according to project category in order to: (1) maintain program accounts that enable separate identification and accounting for funds applied to each budget category included within the approved award and to prevent the commingling of funds; (2) consistently classify expenses using a clear and precise methodology; (3) ensure lump sum payments to credit accounts are broken down by individual expense, receipt, or invoice; and (4) properly report the correct amount of expenditures on its Federal Financial Reports (FFRs). | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 18 | Ensure that Wiconi enforces its existing policy and award terms and conditions related to employee work schedules and benefits, which includes ensuring that: (1) Wiconi employees are held accountable to approved work schedules; (2) any hours worked in excess of a regular 40 hour work week is authorized by the appropriate officials; (3) all regularly scheduled holidays are recognized either on the actual holiday, or one day before or after the holiday if the employee is scheduled to work; (4) incentive awards are given only to those that are eligible; (5) employees who receive fringe benefits are working at least 35 hours per week, as mandated by existing policy; and (6) the proper official authorizes timesheets and requests for annual and sick leave. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 17 | Ensure that all payroll expenditures at Wiconi are supported by a system of internal controls that provide reasonable assurance that all payroll charges are accurate, reliable, allowable, and properly allocated. This system should ensure that any alterations to payroll records are properly authorized and reflect the actual time worked. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 19 | Ensure that Wiconi implements policies and procedures to ensure that the accurate amount of annual and sick leave is allocated to each employee, which includes: (1) ensuring that employees only accrue leave based on their regularly scheduled hours; (2) ensuring that all scheduled leave is deducted from each respective employee's balance of leave hours; and (3) ensuring that any administrative leave taken due to an emergency or inclement weather is approved by the appropriate official. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 21 | Ensure that Wiconi completes required single audits in compliance with 2 C.F.R. 200, or the current Uniform Guidance. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended | | | | |
|--------------|--|-------------|--|------------------------|--|----------------------------------|---|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| | | | | | | | Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
| GR-60-19-003 | Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, | 3/6/2019 | OVW | 20 | Ensure that Wiconi revise its current policy related to holiday, bereavement, and wellness leave to only include leave that is reasonable and justified. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | 54 | Remedy \$11,205 in unallowable personnel and fringe benefit costs associated with employees that are not listed in the approved award budget. | FY 2021 | Not applicable. Implementation is in progress. | | 11,205 | | |
| | | | | 55 | Remedy \$30,619 in unallowable personnel and fringe benefit costs that were incorrectly allocated to the award. | FY 2021 | Not applicable. Implementation is in progress. | | | 30,619 | |
| | | | | 56 | Remedy \$27,168 in additional unallowable fringe benefit costs resulting from expenses that are not listed in the approved award budget and are double billed to the award ledger. | FY 2021 | Not applicable. Implementation is in progress. | | | 27,168 | |
| | | | | 57 | Remedy \$10,636 in unallowable consulting costs that were not listed in the approved award budget. | FY 2021 | Not applicable. Implementation is in progress. | | | 10,636 | |
| | | | | 58 | Remedy \$7,129 in unallowable consulting costs that were paid prior to when the consulting services were rendered (i.e. retainer fees). | FY 2021 | Not applicable. Implementation is in progress. | | | 7,129 | |
| | | | | 59 | Remedy \$727 in unallowable consulting costs resulting from costs that were erroneously charged to the award. | FY 2021 | Not applicable. Implementation is in progress. | | | 727 | |
| | | | | 60 | Remedy \$7,220 in unallowable equipment costs that were not listed in the approved award budget. | FY 2021 | Not applicable. Implementation is in progress. | | | 7,220 | |
| | | | | 61 | Remedy \$24,974 in unallowable other direct costs that were not listed in the approved award budget. | FY 2021 | Not applicable. Implementation is in progress. | | | 24,974 | |
| | | | | 62 | Remedy \$4,668 in unallowable other direct costs that are considered unreasonable or excessive. | FY 2021 | Not applicable. Implementation is in progress. | | | 4,668 | |
| | | | | 63 | Remedy \$6,376 in unallowable other direct costs that were charged to the incorrect award. | FY 2021 | Not applicable. Implementation is in progress. | | | 6,376 | |
| | | | | 64 | Remedy \$10,640 in unallowable other direct costs that were erroneously charged to the award. | FY 2021 | Not applicable. Implementation is in progress. | | | 10,640 | |
| | | | | 65 | Remedy \$1,753 in unallowable other direct costs that were double charged to the award. | FY 2021 | Not applicable. Implementation is in progress. | | | 1,753 | |
| | | | | 66 | Remedy \$4,061 in unallowable expenditures incurred after the award closeout date under Award Number 2013-CY-AX-K020. | FY 2021 | Not applicable. Implementation is in progress. | | | 4,061 | |
| | | | | 69 | Remedy \$24,796 in unsupported consulting costs. | FY 2021 | Not applicable. Implementation is in progress. | | | 24,796 | |
| 70 | Remedy \$34,833 in unsupported equipment expenditures. | FY 2021 | Not applicable. Implementation is in progress. | | | 34,833 | | | | | |
| 71 | Remedy \$99,645 in unsupported other direct costs. | FY 2021 | Not applicable. Implementation is in progress. | | | 99,645 | | | | | |
| 72 | Remedy \$54,880 in unsupported expenditures purchased using a credit account, which are a result of: (1) unauthorized purchases; (2) limited assurance that expenses are properly allocated to the appropriate award; (3) insufficient detail in the accounting records to properly track and monitor expenses; and (4) purchases for purposes unassociated with award activities. | FY 2021 | Not applicable. Implementation is in progress. | | | 54,880 | | | | | |
| 73 | Remedy \$255,474 in unsupported drawdowns under Award Number 2013 CY AX-K020 resulting from extensive mismanagement of award funds, as well as limited supportable progress towards the completion of award goals and objectives. | FY 2021 | Not applicable. Implementation is in progress. | | | 255,474 | | | | | |

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|--------------|--|-------------|--|------------------------|---|----------------------------------|--|--------------------------------|---|-----------------------------------|--|
| | | | | | | | | | | | |
| GR-60-19-003 | Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota | 3/6/2019 | OVW | 74 | Remedy \$712,224 in unsupported drawdowns resulting from: (1) extensive mismanagement of award funds; (2) limited supportable progress towards the completion of award goals and objectives; and (3) a duplication of DOJ funding for similar costs and award goals and objectives. This includes \$454,000 under Award Number 2013-TW-AX-0016, \$80,409 under Award Number 2014-KT-AX-0007, \$105,615 under Award Number 2015-WR-AX-0031, and \$72,200 under Award Number 2016-TW-AX-0024. | FY 2021 | Not applicable. Implementation is in progress. | - | 712,224 | - | - |
| | | | | 75 | Remedy and put to better use \$1,216,775 in award funds duplicative of existing DOJ funding for similar costs and award objectives, which includes the remaining \$244,591 in funds obligated against Award Number 2014-KT-AX-0007, the \$444,385 in funds obligated against Award Number 2015-WR-AX-0031, and \$527,800 in funds obligated against Award Number 2016-TW-AX-0024. | FY 2021 | Not applicable. Implementation is in progress. | - | - | 1,216,775 | |
| | | | | 76 | Remedy and put to better use the remaining \$89,013 in funds obligated against Award Number 2013-CY-AX-K020, which has expired but has not yet been closed. | FY 2021 | Not applicable. Implementation is in progress. | - | - | 89,013 | |
| | | | | 77 | Provide additional monitoring and oversight of Wiconi to ensure that the award goals and objectives are adequately met under Award Number 2016-WH-AX-0022. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 78 | Ensure that Wiconi implements policies and procedures to ensure that any donations or other sources of revenue related to its projects are adequately tracked and accounted for, which includes establishing and maintaining program accounts that enable separate identification and accounting for receipt and disposition of all funds. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 67 a | Remedy \$11,805 in unallowable excess drawdowns associated with award reimbursements not supported by expenditures under Award Number 2012-TW-AX-0016. | FY 2021 | Not applicable. Implementation is in progress. | - | - | 11,805 | |
| | | | | b | Remedy \$15,844 in unallowable excess drawdowns associated with award reimbursements not supported by expenditures under Award Number 2013-TW-AX-0016. | FY 2021 | Not applicable. Implementation is in progress. | - | - | 15,844 | |
| | | | | 68 a | Remedy \$1,214,404 in unsupported personnel and fringe benefit costs resulting from unreliable payroll records. | FY 2021 | Not applicable. Implementation is in progress. | - | - | 1,214,404 | |
| | | | | b | Remedy \$38,961 in unsupported personnel and fringe benefit costs resulting from costs with missing or invalid supporting documentation. | FY 2021 | Not applicable. Implementation is in progress. | - | - | 38,961 | |
| | | | | GR-60-19-004 | Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Southwest Center for Law and Policy, Tucson, Arizona | 3/25/2019 | OVW | 4 | Remedy the \$398 in unallowable costs related to noncompliance with award special conditions. | FY 2021 | Not applicable. Implementation is in progress. |
| 5 | Remedy the \$131,532 in unallowable questioned costs related to the \$62,089 in unallowable personnel costs, \$47,436 in unallowable contractor and consultant costs, and \$22,007 in unallowable other direct costs. | FY 2021 | Not applicable. Implementation is in progress. | | | | | - | - | 131,532 | |
| 6 | Remedy the \$296,379 in unsupported questioned costs related to the \$275,989 in unsupported contractor and consultant costs, \$6,010 in unsupported other direct costs, and \$14,380 in unsupported excess drawdowns. | FY 2021 | Not applicable. Implementation is in progress. | | | | | - | - | 296,379 | |
| GR-60-19-011 | Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Idaho Department of Health and Welfare, Boise, Idaho | 9/23/2019 | OJP | 7 | Remedy \$33,351 in unallowable subrecipient costs. | FY 2021 | Not applicable. Implementation is in progress. | - | 33,351 | - | - |
| | | | | 1 | Work with the Council to develop and implement a plan to identify additional victim needs throughout the state so that it can effectively implement its grant program and meet the needs of victims. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 9 | Remedy \$3,492 in unallowable administrative expenditures. | FY 2021 | Not applicable. Implementation is in progress. | 3,276 | 216 | - | |
| | | | | 8 | Remedy \$49,069 in unsupported subrecipient costs. | FY 2021 | Not applicable. Implementation is in progress. | - | 12,561 | 36,508 | |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|--------------|---|-------------|--|------------------------|---|----------------------------------|--|--------------------------------|---|-----------------------------------|--|
| GR-70-11-001 | Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York | 1/10/2011 | COPS | 2 | Remedy the \$9,076,609 in unallowable grant-funded contractor expenditures claimed by Nassau and the related drawdowns of grant funding. | FY 2021 | Not applicable. Implementation is in progress. | - | 9,076,609 | - | - |
| | | | | 3 | Remedy the \$1,278 in unsupported overtime expenditures. | FY 2021 | Not applicable. Implementation is in progress. | - | 1,278 | - | - |
| | | | | 6 | Remedy the \$1,531,142 in unallowable local match expenditures that are unrelated to the grant award. | FY 2021 | Not applicable. Implementation is in progress. | - | 1,531,142 | - | - |
| | | | | 7 | Continue monitoring the grant to ensure grant objectives are met. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 5 | Remedy the \$2,066,564 deficiency in the match requirement. | FY 2021 | Not applicable. Implementation is in progress. | - | 2,066,564 | - | - |
| | | | | 1 | Remedy the \$9,076,609 in unsupported grant-funded contractor expenditures claimed by Nassau and the related drawdowns of grant funding. | FY 2021 | Not applicable. Implementation is in progress. | - | 9,076,609 | - | - |
| | | | | 4 | Remedy the \$2,468,129 in excess drawdowns that are unrelated to the grant award. | FY 2021 | Not applicable. Implementation is in progress. | - | 2,468,129 | - | - |
| | | | | 9 | Ensure that equipment purchased with grant funding is identified and included in a property management system as required. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | GR-70-12-005 | Audit of the Office of Community Oriented Policing Services Grant Awarded to the City of Wilmington, Delaware | 5/11/2012 | COPS | 1 | Remedy the \$2,990,985 in expenditures that were unsupported as a result of deficiencies related to contract competition, equipment, and an electrical study. | FY 2021 | Not applicable. Implementation is in progress. |
| 4 | Ensure grant-funded equipment is properly recorded and reconciled to a physical inventory at least once every 2 years in accordance with the federal regulations. | FY 2021 | Not applicable. Implementation is in progress. | | | | | - | - | - | - |
| GR-70-12-007 | Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey | 7/10/2012 | COPS | 2 | Remedy the \$2,282,513 in expenditures for equipment not adequately supported or safeguarded by a property management system with periodic inventories. | FY 2021 | Not applicable. Implementation is in progress. | - | 2,282,513 | - | - |
| | | | | 3 | Ensure Newark implement and adhere to policies and procedures for submitting timely FSRs and accurate progress reports. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 1 a | Remedy unallowable expenditures resulting from project changes that were not approved by COPS and failure to achieve the voice communication objective of the grant (net project costs of \$3,539,432). | FY 2021 | Not applicable. Implementation is in progress. | - | 3,539,432 | - | - |
| | | | | b | Remedy unallowable expenditures resulting from purchase of equipment not competitively procured and not authorized for purchase under the New Jersey Cooperative Purchasing Program (net expenditures of \$2,777,569). | FY 2021 | Not applicable. Implementation is in progress. | - | 2,777,569 | - | - |
| | | | | c | Remedy unallowable expenditures resulting from purchase of a mobile communications command center vehicle procured in a manner that likely hindered an open and competitive bid process (\$626,221). | FY 2021 | Not applicable. Implementation is in progress. | - | 626,221 | - | - |
| | | | | 4 | Ensure that Newark implements and adheres to policies and procedures to adequately administer grant funding that address our concerns over the related internal controls. These include, but should not be limited to the following: adhering to procurement regulations, approving grant expenditures in accordance with applicable budgets, and safeguarding equipment. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open | |
|--------------|--|-------------|---------------|------------------------|--|--|---|--|-----------------------|-----------------------------------|--------------------------|--|
| GR-70-12-009 | Audit of the Office on Violence Against Women Technical Assistance Cooperative Agreements Administered by the Pennsylvania Coalition Against Rape, Enola, Pennsylvania | 9/4/2012 | OVW | 2 | Remedy \$298,980 in unsupported consultant costs. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OVW management reporting to OIG on 12/20/2020 that OVW had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | 298,980 | | | | |
| GR-70-16-005 | Audit of the Office of Justice Programs Grant Awarded to the Housing Authority of Plainfield, Plainfield, New Jersey | 3/29/2016 | OJP | 1 | Remedy \$244,233 in unsupported grant funds. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 6/17/2019. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | | 244,233 | | | |
| GR-70-17-002 | Audit of the Office on Violence Against Women Encourage Arrest Policies and Enforcement of Protection Orders Program Grants Awarded to Bucks County, Pennsylvania | 2/14/2017 | OVW | 6 | Remedy \$626,133 in unsupported personnel and fringe benefits of subrecipient expenditures. | FY 2021 | Not applicable. Implementation is in progress. | 248,446 | 377,687 | | | |
| | | | | | 8 | Remedy \$72,000 in unsupported consultant expenses. | FY 2021 | Not applicable. Implementation is in progress. | | 72,000 | | |
| GR-70-17-003 | Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York | 3/8/2017 | CRM | 3 | Remedy \$43,851 in unsupported overtime expenditures from FY 2011 through FY 2015 as identified. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 3/26/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | | 43,851 | | | |
| | | | | | 6 | Remedy \$110,548 of unsupported supplies and equipment purchased not properly procured under the Franklin County purchasing policy. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 3/26/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | | 110,548 | | |
| | | | | | 7 | Remedy \$45,061 in unsupported telecommunication's expenditures. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 3/26/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | | 45,061 | | |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

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|--------------|---|-------------|---------------|------------------------|---|--|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| GR-70-17-003 | Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York | 3/8/2017 | CRM | 1 | Remedy \$59,590 in unsupported drug buy expenditures from FY 2011 through FY 2015 as identified. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 3/26/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | 59,590 | - | - |
| | | | | 4 | Remedy \$73,329 of garage expenditures identified as unsupported. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 3/26/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | 73,329 | - | - |
| GR-70-17-005 | Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the National Organization of Sisters of Color Ending Sexual Assault Canton, Connecticut | 3/28/2017 | OVW | 2 | Ensure SCESA remedies \$2,339,435 in unsupported costs representing the full amount of the awards we audited. | FY 2021 | Not applicable. Implementation is in progress. | - | 2,339,435 | - | - |
| GR-70-17-009 | Audit of the Office of Justice Programs Bureau of Justice Assistance Cooperative Agreement Awarded to Margolis Healy & Associates, LLC Burlington, Vermont | 9/27/2017 | OJP | 1 | Remedy \$1,324,113 in unsupported expenditures resulting from costs associated with: personnel and fringe benefits (\$1,223,091), consultants (\$49,162), travel, equipment, and other direct costs (\$38,360), and subrecipients (\$13,500). | FY 2021 | Not applicable. Implementation is in progress. | 1,314,226 | 9,887 | - | - |
| GR-70-19-002 | Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Nueva Esperanza, Inc., Philadelphia, Pennsylvania | 2/12/2019 | OJP | 2 | Ensure Esperanza creates and implements written policy requiring time and effort reports for consulting services to ensure consultant invoices are supported in accordance with the DOJ Grants Financial Guide. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/16/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |
| | | | | 1 a | Remedy \$42,315 in unsupported consultant costs, which includes unsupported questioned costs totaling \$42,315 for consultant charges not supported with time and effort reports, as required. | FY 2021 | Not applicable. Implementation is in progress. | - | 42,315 | - | - |
| | | | | b | Remedy \$42,315 in unsupported consultant costs, which includes unsupported questioned costs totaling \$42,315 in charges for consultants who Esperanza could not support were paid reasonable rates, as required. | FY 2021 | Not applicable. Implementation is in progress. | - | 42,315 | - | - |
| GR-70-19-004 | Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York | 5/8/2019 | OJP | 2 | Ensure that IIB establishes and adheres to policies and procedures that will result in background checks being conducted on all award-related employees (including subgrantees, volunteers, and contractors) working directly with minors. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 3 | Remedy the \$753,766 in unsupported questioned costs, including claimed award-funded expenditures (\$426,272) and matching expenditures (\$327,494). | FY 2021 | Not applicable. Implementation is in progress. | - | 753,766 | - | - |
| | | | | 6 | Remedy the \$7,200 in unsupported allocation of supplies costs. | FY 2021 | Not applicable. Implementation is in progress. | - | 7,200 | - | - |
| | | | | 7 | Remedy the \$5,207 in unsupported allocated costs of in-person independent interpreter services. | FY 2021 | Not applicable. Implementation is in progress. | - | 5,207 | - | - |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|--------------|--|-------------|---------------|------------------------|---|--|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| GR-70-19-004 | Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, | 5/8/2019 | OJP | 5 | Remedy the \$37,564 in unsupported allocation of office occupancy costs. | FY 2021 | Not applicable. Implementation is in progress. | - | 37,564 | - | - |
| | | | | 1 | Ensure IIB adheres to policies and procedures that results in progress reports that can be efficiently verified and performance data being entered accurately into TIMS Online. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 13 | Remedy the reported amount of match expenditures, totaling \$416,667, and ensure that IIB establishes and implements policies and procedures that will adequately document the source, amount and timing of match expenditures. | FY 2021 | Not applicable. Implementation is in progress. | - | 416,667 | - | - |
| GR-70-19-005 | Audit of the Office of Justice Programs Cooperative Agreement Awarded to the Vera Institute of Justice, New York, New York | 6/12/2019 | OJP | 5 | Remedy \$23,377 in unsupported and unallowable travel costs. | FY 2021 | Not applicable. Implementation is in progress. | 9,943 | 13,434 | - | - |
| | | | | 12 | Remedy \$43,764 in unsupported consultant and contract fees billed to the award. | FY 2021 | Not applicable. Implementation is in progress. | - | 43,764 | - | - |
| | | | | 13 | Ensure Vera obtain, review, and maintain supporting documentation for actual contract expenses when advance payment is made to ensure compliance with regulations and award terms and conditions. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 14 | Remedy \$149,858 in unsupported contract costs. | FY 2021 | Not applicable. Implementation is in progress. | - | 149,858 | - | - |
| | | | | 17 | Remedy \$50,770 of unsupported subrecipient personnel and fringe benefit costs. | FY 2021 | Not applicable. Implementation is in progress. | - | 50,770 | - | - |
| GR-70-19-008 | Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Rhode Island Office of the General Treasurer, Providence, Rhode Island | 8/6/2019 | OJP | 3 | Work with RIOGT to determine if its state certifications for FY 2013-2017 were overstated, resulting in funds awarded in error, and, if so, to take the necessary steps to recover those funds. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 9 | Remedy \$12,218 in unsupported grant expenditures. | FY 2021 | Not applicable. Implementation is in progress. | - | 12,218 | - | - |
| | | | | 8 | Remedy \$3,717 in unallowable grant expenditures. | FY 2021 | Not applicable. Implementation is in progress. | - | 3,717 | - | - |
| GR-70-19-009 | Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine | 9/11/2019 | OJP | 2 | Ensure DHHS develops and implements policies and procedures to monitor compliance with the priority area funding requirement. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/17/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |
| | | | | 3 | Ensure DHHS develops and implements policies and procedures that ensure annual performance reports are complete and accurate. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/17/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|--------------|---|-------------|---------------|------------------------|---|--|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| GR-70-19-009 | Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine | 9/11/2019 | OJP | 6 | Ensure DHHS develops and implements policies and procedures so that contracts are awarded in compliance with applicable guidance. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/17/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |
| | | | | 7 | Ensure DHHS develops and implements policies and procedures to stay within the parameters of OJP imposed consultant fee thresholds or obtain the necessary prior written approvals from OJP. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/17/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |
| | | | | 1 | Ensure DHHS develops and implements a funding allocation strategy in accordance with the VOCA guidance. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/17/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |
| | | | | 4 | Ensure DHHS develops and implements policies and procedures that require reimbursement requests to show subrecipients' actual costs by funding source to ensure proper tracking and use of VOCA funds. It is also critical that DHHS ensure that its Coalitions, who serve as pass-through entities, adopt similar policies and procedures with direct service providers. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 9 | Ensure DHHS develops and implements policies and procedures to obtain and verify the time and effort reports that accompany consultant invoice payment requests. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/17/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open |
|--------------|---|-------------|---------------|------------------------|---|--|--|---|-----------------------|-----------------------------------|--------------------------|
| GR-70-19-009 | Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine | 9/11/2019 | OJP | 10 | Ensure DHHS develops and implements policies and procedures to timely reconcile its grant awards to ensure federal cash on hand is the minimum needed for disbursements or reimbursements made immediately or within 10 days, in accordance with the Financial Guide. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/17/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |
| | | | | | 11 | Remedy \$9,644 in unsupported matching costs submitted by subrecipients. | FY 2021 | Not applicable. Implementation is in progress. | - | 9,644 | - |
| | | | | | 15 | Ensure DHHS develops and implements necessary policies and procedures for a subrecipient risk assessment plan that includes subrecipient specific factors. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/17/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - |
| | | | | | 12 | Ensure DHHS develops and implements policies and procedures to ensure that subrecipient matching costs are monitored and accurately reported to OJP. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/17/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - |
| | | | | | 13 | Ensure DHHS develops and implements policies and procedures that will result in the submission of complete and accurate financial reports. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/17/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - |

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|--------------|--|-------------|---------------|------------------------|---|--|--|---|-----------------------|-----------------------------------|--------------------------|
| GR-70-19-009 | Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine | 9/11/2019 | OJP | 14 | Ensures DHHS develops and implements formal policies and procedures regarding subrecipient monitoring, including establishing a formal on-site visit schedule. Additionally, during site visits and desk reviews, ensure DHHS performs transaction testing of source documentation to ensure the validity VOCA-eligible expenditures. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/17/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |
| GR-70-19-010 | Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island | 9/27/2019 | OJP | 2 | Ensure CFSD implements and adheres to written policies and procedures for payroll that require grant-funded employees to document actual time worked using time and effort reports or periodic certifications that are approved by a supervisory official with firsthand knowledge of the employee's work. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/16/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - | - |
| | | | | | 3 | Remedy \$256,012 in unsupported personnel costs that were not adequately supported with time and effort reports or periodic certifications. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/16/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | 256,012 | - |
| | | | | | | Remedy \$113,654 in unsupported fringe benefit costs that were not adequately supported with time and effort reports or periodic certifications. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/16/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | 113,654 | - |
| | | | | | 6 | Ensure CFSD implements and adheres to policies and procedures that require consultant costs to be adequately supported with time and effort reports that demonstrate actual hours worked on grant activities. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/16/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | - | - | - |

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

| Report No. | Report Title | Issued Date | DOJ Component | Rec. No. in OIG Report | Recommendation | Timeline for Full Implementation | Explanation why No Final Action or Action Not Recommended Has Been Taken | Questioned Costs Closed by OIG | Questioned Costs Open | Funds to Better Use Closed by OIG | Funds to Better Use Open | | | | |
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| | | | | | | | | | | | | | | | |
| GR-70-19-010 | Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School | 9/27/2019 | OJP | 7 | Ensure CFSD implements and adheres to its recently developed written subrecipient monitoring policies and procedures. | FY 2021 | Not applicable. Implementation is in progress. | | - | | | | | | |
| | | | | | 5 a | Remedy \$1,434,081 in unsupported contractual costs that lacked an adequate justification of price demonstrating the costs were reasonable. | FY 2021 | Not applicable. Implementation is in progress. | 746,597 | 687,484 | | | | | |
| | | | | | b | Remedy \$166,334 in unsupported contractual costs that lacked adequate documentation demonstrating the procurement was conducted in compliance with RI State Procurement Regulations. | FY 2021 | Not applicable. Implementation is in progress. | | 166,334 | | | | | |
| | | | | | c | Remedy \$447,490 in unsupported consultant costs that were not adequately supported with time and effort reports. | FY 2021 | Not applicable. Implementation is in progress. | | 447,490 | | | | | |
| | | | | | 4 | Ensure CFSD implements and adheres to written procurement policies and procedures at the district level that are in compliance with RI State Procurement Regulations and federal criteria to ensure a fair and transparent procurement process that results in prices that are reasonable and supported. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/16/2020 that OJP had completed final action. | | | | | | | | |
| | | | | | 9 | Ensure CFSD updates its written policies and procedures for grant drawdowns to include effective controls to ensure any drawdowns taken in advance are detected and returned after 10 days if unspent. | FY 2021 | Not applicable. Implementation is in progress. | | | | | | | |
| | | | | | 10 | Ensure CFSD implements and adheres to written policies and procedures for financial reporting that require CFSD to periodically reconcile cumulative reported amounts with grant costs recorded in CFSD's accounting system and review its financial reports for accuracy. | FY 2021 | Not applicable. Implementation is in progress. | | | | | | | |
| | | | | | 8 | Ensure CFSD implements and adheres to written policies and procedures for budget management that require CFSD to periodically compare its grant costs to the grant budget to ensure all grant funds are spent within approved budget categories. | FY 2021 | Not applicable. Implementation is in progress. | | | | | | | |
| | | | | | GR-90-15-006 | Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California | 9/23/2015 | OJP | 2 d | Remedy \$24,785 in grant reimbursements from Grant Number 2010-IC-BX-K051, \$118,362 in grant reimbursements from Grant Number 2011-IP-BX-K001, and \$93,109 in grant reimbursements from Grant Number 2011-VF-GX-K020 that were paid for indirect costs without an approved indirect cost rate. | FY 2021 | Not applicable. Implementation is in progress. | | 236,256 | |
| | | | | | 3 a | Remedy \$216,460 in grant reimbursements from Grant Number 2011-IP-BX-K001 for payments to a consultant that were not within the requirements of the MOU or OJP Financial Guide. | FY 2021 | Not applicable. Implementation is in progress. | 74,503 | 141,957 | | | | | |
| b | Remedy \$18,900 in grant reimbursements from Grant Number 2010-IC-BX-K051 and \$74,508 from Grant Number 2011-VF-GX-K020 for payments to consultants without sufficient time and effort reports. | FY 2021 | Not applicable. Implementation is in progress. | 58,050 | 35,358 | | | | | | | | | | |
| c | Remedy \$369,418 in unsupported costs related to the following issues: \$9,865 in grant reimbursements from Grant Number 2010-IC-BX-K051, \$43,084 from Grant Number 2011-IP-BX-K001, and \$3,385 from Grant Number 2011-VF-GX-K020 for payments to consultants without an agreement | FY 2021 | Not applicable. Implementation is in progress. | | 56,334 | | | | | | | | | | |
| 4 | Ensure the NIJC has policies and procedures in place to ensure expenses are properly approved before payment; consultant agreements are in place and time and effort reports are submitted to support payments; signature pages are maintained for each training event where per diem is distributed; an indirect cost rate is approved before incurring indirect costs; and compliance with all grant special conditions. | FY 2021 | Not applicable. Implementation is in progress. | | | | | | | | | | | | |

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|--------------|---|-------------|---------------|------------------------|--|---|--|--|-----------------------|-----------------------------------|--------------------------|--|
| | | | | | | | | | | | | |
| GR-90-15-006 | Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California | 9/23/2015 | OJP | 9 | Ensure that the NIJC determines the amount of the total tuition and registration fees collected from the training events partially funded with grant funds that should be considered program income for Grant Number 2011-VF-GX-K020 and either uses the program income for grant purposes or returns generated income to OJP. | FY 2021 | Not applicable. Implementation is in progress. | | | | | |
| | | | | | 8 | Ensure that the NIJC implements a process to submit FFRs that accurately reflect expenditures for each reporting period. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| GR-90-16-005 | Audit of the Office of Justice Programs Human Trafficking and the Office on Violence Against Women Legal Assistance Awards to the Asian Pacific Islander Legal Outreach, San Francisco, California | 8/22/2016 | OVW | 4 | Ensure that APILO identifies actual costs paid to its subrecipients, provides adequate support for those expenditures and properly supports these invoices with supportable rates that represent costs of actual services. | FY 2021 | Not applicable. Implementation is in progress. | | | | | |
| GR-90-17-001 | Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon | 1/9/2017 | OVW | 3 | Remedy \$2,549 in inadequately supported questioned costs relating to grant expenditures | FY 2021 | Not applicable. Implementation is in progress. | 1,204 | 1,345 | | | |
| | | | | | 4 | Remedy \$21,311 for unallowable salary and associated fringe benefits. | FY 2021 | Not applicable. Implementation is in progress. | 3,256 | 18,055 | | |
| | | | | | 5 | Remedy \$24,149 in inadequately supported salary and fringe benefits. | FY 2021 | Not applicable. Implementation is in progress. | 353 | 23,796 | | |
| | | | | | 6 | Remedy \$1,085 in inadequately supported fringe benefits (health insurance premiums). | FY 2021 | Not applicable. Implementation is in progress. | | 1,085 | | |
| | | | | | 8 | Remedy \$284,785 in inadequately supported LGPD and CUPO costs. | FY 2021 | Not applicable. Implementation is in progress. | 195,847 | 88,938 | | |
| | | | | | 9 a | Remedy \$4,511 in unallowable Probation Officer costs. | FY 2021 | Not applicable. Implementation is in progress. | | 4,511 | | |
| | | | | | b | Remedy \$7,076 in unallowable counselor costs for payments more than the contracted rate. | FY 2021 | Not applicable. Implementation is in progress. | | 7,076 | | |
| | | | | | c | Remedy \$6,520 in unallowable counselor costs payments for no-shows and cancellations of scheduled victim counseling sessions. | FY 2021 | Not applicable. Implementation is in progress. | | 6,520 | | |
| GR-90-17-006 | Audit of Compliance with Standards Governing Combined DNA Index System Activities at the Los Angeles County Sheriff's Department Scientific Services Bureau Crime Laboratory, Los Angeles, California | 9/29/2017 | FBI | 3 | Ensure that the distribution of all keycards are properly documented and limited to personnel designated by laboratory management, including performing a review of all unknown keycards and deactivating duplicate keycards. | FY 2021 | Not applicable. Implementation is in progress. | | | | | |
| | | | | | 5 | Ensure that the LASD Laboratory has adequate physical security measures in place to protect against unauthorized personnel gaining access to any DNA records or data. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | | 1 | Ensure that it implements the required physical access controls to properly track and maintain its distribution of keycards to ensure that all former employee's keycards have been deactivated. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| | | | | | 4 | Ensure that the LASD Laboratory strengthen physical security over the CODIS server and client terminals against any unauthorized personnel gaining access to the computer equipment or to any of the stored data. | FY 2021 | Not applicable. Implementation is in progress. | | | | |
| GR-90-18-002 | Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Nevada Department of Health and Human Services, Carson City, Nevada | 3/23/2018 | OJP | 11 | Remedy \$1,870,566 in VOCA-related subrecipient unsupported questioned costs. | FY 2021 | Not applicable. Implementation is in progress. | 1,220,278 | 650,288 | | | |

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|--------------|---|-------------|---------------|------------------------|--|--|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| GR-90-18-002 | Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Nevada Department of Health and Human Services, Carson City, Nevada | 3/23/2018 | OJP | 15 | Remedy \$6,379 in unsupported questioned costs associated with match transactions. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/10/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | 1,501 | 4,878 | - | - |
| | | | | 13 | Remedy \$231,004 in unsupported questioned costs associated with administrative expenditures that were inadequately supported. | FY 2021 | Not applicable. Implementation is in progress. | 23,559 | 207,445 | - | |
| | | | | 20 | Remedy \$97,905 in unsupported subrecipient questioned costs. | Not applicable. Subsequent to the 12/31/2020 cutoff for this report but prior to its issuance, OIG closed the recommendation based on OJP management reporting to OIG on 12/10/2020 that OJP had completed final action. | Not applicable. OIG closed the recommendation. See comment at left. | 85,579 | 12,326 | - | |
| GR-90-19-002 | Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Hawaii Department of the Attorney General, Honolulu, Hawaii | 3/18/2019 | OJP | 3 | Remedy \$112,309 in Victims of Crime Act (VOCA)-related subrecipient expenditures that was inadequately supported or unsupported. | FY 2021 | Not applicable. Implementation is in progress. | 28,259 | 84,050 | - | - |
| GR-90-19-003 | Audit of the Office of Justice Programs' Victim Assistance Grants Subgranted by the Nevada Department of Health and Human Services to Washoe Legal Services, Reno, Nevada | 3/20/2019 | OJP | 2 | Ensure that Washoe establishes and maintains an adequate accounting process that separately and accurately tracks all grant-related activities, including all expenses and required matching contributions, and documents its processes and procedures to ensure compliance with federal guidelines, accurate calculation of subgrant expenditures, and continuity of operations in the event of staff turnover or absences. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 6 | Remedy \$128,988 in unsupported questioned costs reported as matching contributions. | FY 2021 | Not applicable. Implementation is in progress. | 127,220 | 1,768 | - | |
| | | | | 7 | Remedy \$4,890 in program income that Washoe received and inaccurately applied as a matching contribution. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | |
| | | | | 4 | Remedy \$8,449 in unsupported questioned salary and fringe benefits costs. | FY 2021 | Not applicable. Implementation is in progress. | 8,380 | 69 | - | |
| I2014003 | The Drug Enforcement Administration's Adjudication of Registrant Actions | 5/20/2014 | DEA | 2 | Establish policy and procedures, including timeliness guidelines for forwarding a case to the Office of the Administrator for final decision when a hearing is waived or terminated. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| MAM-09-06-17 | Management Advisory Memorandum: Recommendations for a Department of Justice Policy Establishing Standards for its Security Offices to Review Misconduct Investigations for Security Clearance Adjudications | 9/6/2017 | ODAG | 2 | Issue or clarify policies to require DOJ misconduct offices to provide such relevant misconduct-related materials to DOJ security offices or OPM to ensure timely and informed security clearance adjudications, whether those materials arise as a result of a misconduct investigation or are requested in connection with a security clearance adjudication. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |
| | | | | 1 | Issue or clarify policies to require DOJ security offices to routinely request relevant misconduct-related materials from relevant DOJ misconduct offices for consideration in connection with security clearance adjudications. Such policies should include a clear definition of relevant misconduct-related materials that ensures that security offices receive the necessary and appropriate information to make fully-informed decisions. | FY 2021 | Not applicable. Implementation is in progress. | - | - | - | - |

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|----------------|---|-------------|---------------|------------------------|--|---|--|--------------------------------|-----------------------|-----------------------------------|--------------------------|
| MAM-2017-09-25 | Management Advisory Memorandum: Referring Alleged Misconduct to the Federal Bureau of Investigation's Inspection Division and the Department of Justice's Office of the Inspector General | 9/25/2017 | FBI | 1 | Consider immediate actions to ensure the appropriate reporting of allegations of employee misconduct to the INSD and the OIG as required by FBI and Department policies and federal regulations. | The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2020. | Not applicable. Final action was in progress when the OIG placed the recommendation on hold. | - | - | - | - |