

UNITED STATES DEPARTMENT  
OF  
**JUSTICE**



**ANNUAL REPORT TO CONGRESS  
ON OUTSTANDING  
GOVERNMENT ACCOUNTABILITY OFFICE  
AND INSPECTOR GENERAL  
RECOMMENDATIONS**

**February 2020**

## Background

In accordance with the Good Accounting Obligation in Government Act or the GAO–IG Act, the Department of Justice (Department or DOJ) provides the following report. The report describes the Department’s actions on outstanding public recommendations of the Government Accountability Office (GAO) and the Department’s Office of the Inspector General (OIG) as of December 31, 2019. The first section of the report provides information on the status of implementing GAO public recommendations designated by the GAO as *Open*. The second section provides information on the status of GAO public recommendations designated by the GAO as *Closed, Unimplemented*. The third and final section of the report provides information on the status of implementing OIG recommendations for which the Department has not completed final action. In accordance with the Act, all three sections provide the required reporting elements for recommendations published not less than 1 year before the date on which the annual budget justification is submitted.<sup>1</sup>

The GAO–IG Act also requires the Department to include a statement describing the status of implementing public recommendations open less than 1 year. For the GAO and OIG recommendations meeting this parameter, as of December 31, 2019, the Department was in the process of implementing the recommendations, awaiting closure of the recommendations by the GAO or OIG, or awaiting discussions with the GAO or OIG on further action to be implemented, if any, to close the recommendations.

The GAO–IG Act requires the Department to disclose discrepancies between its report and reports issued by the GAO and OIG. The Department is not aware of any discrepancy between this report and public reports issued by the GAO relating to public recommendations designated by the GAO as *Open* or *Closed, Unimplemented*. Further, the Department is not aware of any discrepancy between this report and semiannual reports submitted by the OIG under Section 5 of the Inspector General Act of 1978 (5 U.S.C. App.).

## DOJ Report on Outstanding GAO and OIG Recommendations

### 1. GAO Recommendations

#### a. Implementation Status of GAO Public Recommendations Designated by the GAO as Open

The reporting details are provided in Appendix 1. As required by the GAO–IG Act, the details include the implementation status of each public recommendation, to include a timeline for full implementation, as applicable; e.g., for several recommendations, the Department believes it has completed final action and is awaiting GAO concurrence and closure of the recommendations.

#### b. Implementation Status of GAO Public Recommendations Designated by the GAO as Closed, Unimplemented

The reporting details are provided in Appendix 2. For this requirement, the Department reported on recommendations designated by the GAO as Closed, Unimplemented for reports issued during the last five years, i.e., reports issued by the GAO in calendar years 2015 through 2019. For those reports, the GAO designated only two recommendations from one report as Closed, Unimplemented. As required by the GAO–IG Act, the reporting details include the Department’s justification for the decision not to implement the recommendations.

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<sup>1</sup> The reporting details in Appendices 1 through 3 provide information on public recommendations in GAO and OIG reports issued on or before December 31, 2018.

## **2. OIG Recommendations**

### **a. Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed**

The reporting details are provided in Appendix 3. As required by the GAO-IG Act, the details include the implementation status of each public recommendation, to include a timeline for implementation, as applicable; e.g., for several recommendations, the Department believes it has completed final action and is awaiting OIG concurrence and closure of the recommendations.

This section of the report also provides the status of final action for recommendations with questioned costs and recommendations that funds be put to better use (FBU). The reporting details show that, even though recommendations may still be open, the Department has completed final action on, and the OIG has closed, more than \$11.8 million of questioned costs. The details also show that, Department-wide, there are only five open FBU recommendations totaling \$12,754,692.<sup>2</sup>

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<sup>2</sup> For purposes of this report, a recommendation that includes funds to better use means the OIG believes funds could be used more efficiently if management took actions to implement and complete the recommendation.

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Timeline for Full Implementation	Justification for Decision Not to Implement	
GAO-14-65	INFORMATION TECHNOLOGY: Additional OMB and Agency Actions Are Needed to Achieve Portfolio Savings	11/6/2013	JMD	31	To improve the department's implementation of PortfolioStat, the Attorney General should direct the CIO to reflect 100 percent of information technology investments in the department's enterprise architecture.	FY 2020	Not applicable. Implementation is in progress.	
GAO-15-112	WHISTLEBLOWER PROTECTION: Additional Actions Needed to Improve DOJ's Handling of FBI Retaliation Complaints	2/23/2015	ODAG	1	To better ensure that FBI whistleblowers have access to recourse under DOJ's regulations should the individuals experience retaliation, and to minimize the possibility of discouraging future potential whistleblowers, the Attorney General should clarify in all current relevant DOJ guidance and communications, including FBI guidance and communications, to whom FBI employees may make protected disclosures and, further, explicitly state that employees will not have access to recourse if they experience retaliation for reporting alleged wrongdoing to someone not designated in DOJ's regulations.	FY 2020	Not applicable. Implementation is in progress.	
				3	To better ensure that DOJ is fulfilling its commitment to improving efficiency in handling these complaints, Office of Attorney Recruitment and Management (OARM) and Office of the Deputy Attorney General (ODAG) should provide parties with an estimated time frame for returning each decision, including whether the complaint meets threshold regulatory requirements, merits, and appeals. If the time frame shifts, OARM and ODAG should timely communicate a revised estimate to the parties.	FY 2020	Not applicable. Implementation is in progress.	
				5	To better ensure that DOJ is fulfilling its commitment to improving efficiency in handling these complaints, DOJ Office of Professional Responsibility (DOJ-OPR), Office of the Inspector General, OARM, and ODAG should jointly assess the impact of ongoing and planned efforts to reduce the duration of FBI whistleblower retaliation complaints throughout the entire investigation, adjudication, and appeal process to ensure that these changes are in fact shortening total complaint length, without sacrificing quality.	FY 2020	Not applicable. Implementation is in progress.	
				OARM	6	To better ensure that DOJ is fulfilling its commitment to improving efficiency in handling these complaints, DOJ Office of Professional Responsibility (DOJ-OPR), Office of the Inspector General, OARM, and ODAG should jointly assess the impact of ongoing and planned efforts to reduce the duration of FBI whistleblower retaliation complaints throughout the entire investigation, adjudication, and appeal process to ensure that these changes are in fact shortening total complaint length, without sacrificing quality.	FY 2020	Not applicable. Implementation is in progress.
				OPR	7	To better ensure that DOJ is fulfilling its commitment to improving efficiency in handling these complaints, DOJ Office of Professional Responsibility (DOJ-OPR), Office of the Inspector General, OARM, and ODAG should jointly assess the impact of ongoing and planned efforts to reduce the duration of FBI whistleblower retaliation complaints throughout the entire investigation, adjudication, and appeal process to ensure that these changes are in fact shortening total complaint length, without sacrificing quality.	OPR management reported to GAO on 9/24/2019 that OPR had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
				OIG	8	To better ensure that DOJ is fulfilling its commitment to improving efficiency in handling these complaints, DOJ Office of Professional Responsibility (DOJ-OPR), Office of the Inspector General, OARM, and ODAG should jointly assess the impact of ongoing and planned efforts to reduce the duration of FBI whistleblower retaliation complaints throughout the entire investigation, adjudication, and appeal process to ensure that these changes are in fact shortening total complaint length, without sacrificing quality.	FY 2020	Not applicable. Implementation is in progress.

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Timeline for Full Implementation	Justification for Decision Not to Implement
GAO-15-288	CRITICAL TECHNOLOGIES: Agency Initiatives Address Some Weaknesses, but Additional Interagency Collaboration Is Needed	2/10/2015	ODAG	4	To ensure a consistent and more collaborative approach to the protection of critical technologies, the Secretaries of Commerce, Defense, Homeland Security, State, and the Treasury; as well as the Attorney General of the United States, who have lead and stakeholder responsibilities for the eight programs within the critical technologies portfolio, should take steps to promote and strengthen collaboration mechanisms among their respective programs while ongoing initiatives are implemented and assessed. These steps need not be onerous; for example, they could include conducting an annual meeting to discuss their programs, including the technologies they are protecting, their programs' intent, any new developments or changes planned for their programs, as well as defining consistent critical technologies terminology and sharing important updates.	FY 2020	Not applicable. Implementation is in progress.
GAO-15-431	TELECOMMUNICATIONS: Agencies Need Better Controls to Achieve Significant Savings on Mobile Devices and Services	5/21/2015	JMD	17	To help the department effectively manage spending on mobile devices and services, the Attorney General should ensure procedures to monitor and control spending are established department-wide. Specifically, ensure that (1) procedures include assessing devices for zero, under, and over usage; (2) personnel with authority and responsibility for performing the procedures are identified; and (3) the specific steps to be taken to perform the process are documented.	FY 2020	Not applicable. Implementation is in progress.
GAO-15-432	ENERGY SAVINGS PERFORMANCE CONTRACTS: Additional Actions Needed to Improve Federal Oversight	6/17/2015	BOP	4	To help ensure that agencies have sufficient information on the effects of changing circumstances on the performance of their ESPC portfolios, the Secretaries of Defense, Energy, and Veterans Affairs; the Attorney General; and the Administrator of the General Services Administration should establish a process to systematically evaluate their ESPC projects--including baseline assumptions about facilities' energy use, utility prices, and interest rates--to determine how their ESPC portfolios are performing and the extent to which they are achieving expected savings. Agencies could consider conducting such evaluations either after a certain number of years, or in response to events, such as changes in utility prices or market interest rates, or appropriations becoming available that could be used for modifications or terminations.	FY 2020	Not applicable. Implementation is in progress.
GAO-15-471	PRESCRIPTION DRUGS: More DEA Information about Registrants' Controlled Substances Roles Could Improve Their Understanding and Help Ensure Access	7/27/2015	DEA	2	In order to strengthen DEA's communication with and guidance for registrants and associations representing registrants, as well as supporting the Office of Diversion Control's mission of preventing diversion while ensuring an adequate and uninterrupted supply of controlled substances for legitimate medical needs, the Deputy Assistant Administrator for the Office of Diversion Control should solicit input from distributors, or associations representing distributors, and develop additional guidance for distributors regarding their roles and responsibilities for suspicious orders monitoring and reporting.	FY 2020	Not applicable. Implementation is in progress.
				3	In order to strengthen DEA's communication with and guidance for registrants and associations representing registrants, as well as supporting the Office of Diversion Control's mission of preventing diversion while ensuring an adequate and uninterrupted supply of controlled substances for legitimate medical needs, the Deputy Assistant Administrator for the Office of Diversion Control should solicit input from pharmacists, or associations representing pharmacies and pharmacists, about updates and additions needed to existing guidance for pharmacists, and revise or issue guidance accordingly.	FY 2020	Not applicable. Implementation is in progress.

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Timeline for Full Implementation	Justification for Decision Not to Implement
GAO-15-48	DEPARTMENT OF JUSTICE: Alternative Sources of Funding Are a Key Source of Budgetary Resources and Could Be Better Managed	2/19/2015	FBI	1	To improve transparency and ensure the effective use of automation fees for the CJIS fingerprint checks fees, the Director of the Federal Bureau of Investigation should develop a policy to analyze the unobligated balances coming from the automation portion of the fee to inform program needs, including improving methods for anticipating automation collections, and establishing a range of appropriate carryover amounts to support program needs.	FBI management reported to GAO on 10/1/2019 that the FBI had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
GAO-16-267	FACE RECOGNITION TECHNOLOGY: FBI Should Better Ensure Privacy and Accuracy	5/16/2016	OPCL	2	To improve transparency and better ensure that face recognition capabilities are being used in accordance with privacy protection laws and policy requirements, the Attorney General should assess the SORN development process to determine why a SORN was not published that addressed the collection and maintenance of photos accessed and used through NGI for the FBI's face recognition capabilities prior to using NGI-IPS, and implement corrective actions to ensure SORNs are published before systems become operational.	FY 2020	Not applicable. Implementation is in progress.
			FBI	5	To better ensure that face recognition systems are sufficiently accurate, the Director of the Federal Bureau of Investigation should conduct an operational review of NGI-IPS at least annually that includes an assessment of the accuracy of face recognition searches to determine if it is meeting federal, state, and local law enforcement needs and take actions, as necessary, to improve the system.	FY 2020	Not applicable. Implementation is in progress.
GAO-16-310	CONTROLLED SUBSTANCES: DEA Should Take Additional Actions to Reduce Risks in Monitoring the Continued Eligibility of Its Registrants	5/26/2016	DEA	1	To help ensure that practitioners who may be ineligible do not possess a controlled substance registration and that practitioners who pose an increased risk of illicit diversion are identified, the Acting Administrator of DEA should take additional actions to strengthen verification controls. Specifically, the Acting Administrator of DEA should develop a legislative proposal requesting authority to require SSNs for all individuals, regardless of whether they hold an individual or business registration.	DEA continues to disagree with GAO's recommendation and awaits further discussion regarding actions to be implemented, if any, to close the recommendation.	DEA awaits further discussion with GAO. See comment at left.
				2	To help ensure that practitioners who may be ineligible do not possess a controlled substance registration and that practitioners who pose an increased risk of illicit diversion are identified, the Acting Administrator of DEA should take additional actions to strengthen verification controls. Specifically, the Acting Administrator of DEA should develop policies and procedures to validate SSNs and apply the policies and procedures to all new and existing SSNs in the CSA2; such an approach could involve collaborating with SSA to assess the feasibility of checking registrants' SSNs against the Enumeration Verification System.	DEA continues to disagree with GAO's recommendation and awaits further discussion regarding actions to be implemented, if any, to close the recommendation.	DEA awaits further discussion with GAO. See comment at left.
				4	To help ensure that practitioners who may be ineligible do not possess a controlled substance registration and that practitioners who pose an increased risk of illicit diversion are identified, the Acting Administrator of DEA should take additional actions to strengthen verification controls. Specifically, the Acting Administrator of DEA should identify and implement a cost-effective approach to monitor state licensure and disciplinary actions taken against its registrants; such an approach could include using data sources that contain this information, such as the National Practitioner Data Bank or the Federation of State Medical Boards.	DEA continues to disagree with GAO's recommendation and awaits further discussion regarding actions to be implemented, if any, to close the recommendation.	DEA awaits further discussion with GAO. See comment at left.

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GAO-16-310	CONTROLLED SUBSTANCES: DEA Should Take Additional Actions to Reduce Risks in Monitoring the Continued Eligibility of Its Registrants	5/26/2016	DEA	5	To help ensure that practitioners who may be ineligible do not possess a controlled substance registration and that practitioners who pose an increased risk of illicit diversion are identified, the Acting Administrator of DEA should take additional actions to strengthen verification controls. Specifically, the Acting Administrator of DEA should assess the cost and feasibility of developing procedures for monitoring registrants' criminal backgrounds, such as conducting matches against federal law-enforcement databases, and document decisions about the approach chosen.	DEA continues to disagree with GAO's recommendation and awaits further discussion regarding actions to be implemented, if any, to close the recommendation.	DEA awaits further discussion with GAO. See comment at left.
GAO-16-323	DATA CENTER CONSOLIDATION: Agencies Making Progress, but Planned Savings Goals Need to Be Established	3/3/2016	JMD	21	The Department should take action to improve progress in the data center optimization areas that we reported as not meeting OMB's established targets, including addressing any identified challenges.	JMD management reported to GAO on 10/16/2019 that JMD had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
GAO-16-345	K-12 EDUCATION: Better Use of Information Could Help Agencies Identify Disparities and Address Racial Discrimination	5/17/2016	CRT	2	The Attorney General of the United States should direct the Department of Justice's Civil Rights Division to systematically track key summary information across its portfolio of open desegregation cases and use this data to inform its monitoring of these cases. Such information could include, for example, dates significant actions were taken or reports received.	CRT management reported to GAO on 12/5/2019 that CRT had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
GAO-16-510	MANAGING FOR RESULTS: Agencies Need to Fully Identify and Report Major Management Challenges and Actions to Resolve them in their Agency Performance Plans	6/15/2016	JMD	8	To improve the public reporting of major management challenges and to ensure performance information is useful, transparent, and complete, the Attorney General should describe the Department of Justice's major management challenges and include performance goals, performance measures, milestones, planned actions and an agency official responsible for resolving each of its major management challenges as part of the Department of Justice's agency performance plan.	FY 2020	Not applicable. Implementation is in progress.
GAO-16-516	FEDERAL PRISON SYSTEM: Justice Has Used Alternatives to Incarceration, But Could Better Measure Program Outcomes	6/23/2016	EOUSA	2	To help determine if pretrial diversion programs and practices are effectively contributing to the achievement of department goals and enhance DOJ's ability to better manage and encourage the use of such programs and practices, the Attorney General should identify, obtain, and track data on the outcomes and costs of pretrial diversion programs.	EOUSA management reported to GAO on 12/30/2019 that EOUSA had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
				3	To help determine if pretrial diversion programs and practices are effectively contributing to the achievement of department goals and enhance DOJ's ability to better manage and encourage the use of such programs and practices, the Attorney General should develop performance measures by which to help assess program outcomes.	EOUSA management reported to GAO on 12/30/2019 that EOUSA had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
				BOP	5	To determine how the use of RRCs and home confinement contribute to its goal of helping inmates successfully reenter society, and to better enable BOP to adjust its policies and procedures for the optimal use of these alternatives, as necessary and within statutory requirements, the Director of BOP should develop performance measures by which to help assess program outcomes.	FY 2020

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GAO-16-546	SEXUAL VIOLENCE DATA: Actions Needed to Improve Clarity and Address Differences Across Federal Data Collection Efforts	7/19/2016	OJP	3	To enhance the clarity and transparency of sexual violence data that is reported to the public, the Secretary of Education should direct the Assistant Secretary for the Office of Postsecondary Education, the Secretary of Health and Human Services should direct the Director of Centers for Disease Control and Prevention, and the Attorney General should direct the Director of the Bureau of Justice Statistics to make information on the acts of sexual violence and contextual factors that are included in their measurements of sexual violence publicly available. This effort could entail revising their definitions of key terms used to describe sexual violence so that the definitions match the measurements of sexual violence.	FY 2020	Not applicable. Implementation is in progress.
GAO-16-552	FIREARMS DATA: ATF Did Not Always Comply with the Appropriations Act Restriction and Should Better Adhere to Its Policies	6/30/2016	ATF	3	In order to help ensure that ATF adheres to its policies and facilitates industry compliance with requirements, the Deputy Director of ATF should align the MS deletion policy, MS system design, and the timeliness of deletion practices to improve ATF's compliance with the policy.	FY 2020	Not applicable. Implementation is in progress.
GAO-16-717	COMBATING WILDLIFE TRAFFICKING: Agencies Are Taking a Range of Actions, but the Task Force Lacks Performance Targets for Assessing Progress	9/22/2016	ENRD	2	To provide a basis for comparing actual results with intended results that can generate more meaningful performance information, the Secretaries of the Interior and State and the Attorney General of the United States should jointly work with the Task Force to develop performance targets related to the National Strategy for Combating Wildlife Trafficking Implementation Plan. *Multi-component input.	FY 2021	Not applicable. Implementation is in progress.
GAO-17-300	COUNTERING VIOLENT EXTREMISM: Actions Needed to Define Strategy and Assess Progress of Federal Efforts	4/6/2017	ODAG	2	To help identify what domestic CVE efforts are to achieve and the extent to which investments in CVE result in measurable success, the Secretary of Homeland Security and the Attorney General--as heads of the two lead agencies responsible for coordinating CVE efforts--should direct the CVE Task Force to develop a cohesive strategy that includes measurable outcomes for CVE activities.	FY 2020	Not applicable. Implementation is in progress.
				4	To help identify what domestic CVE efforts are to achieve and the extent to which investments in CVE result in measurable success, the Secretary of Homeland Security and the Attorney General--as heads of the two lead agencies responsible for coordinating CVE efforts--should direct the CVE Task Force to establish and implement a process to assess overall progress in CVE, including its effectiveness.	FY 2020	Not applicable. Implementation is in progress.
GAO-17-379	BUREAU OF PRISONS: Better Planning and Evaluation Needed to Understand and Control Rising Inmate Health Care Costs	6/29/2017	BOP	1	To better understand the available opportunities for collecting inmate health care utilization data, BOP should conduct a cost-effectiveness analysis of potential solutions, and take steps toward implementation of the most effective solution.	FY 2020	Not applicable. Implementation is in progress.
				2	To better understand the available opportunities for controlling health care costs, BOP should implement its guidance to conduct "spend analyses" of BOP's health care spending, using data sources already available.	FY 2021	Not applicable. Implementation is in progress.
				3	To determine the actual or likely effectiveness of its ongoing or planned health care cost control initiatives, BOP should evaluate the extent to which its initiatives achieve their cost control aim.	FY 2021	Not applicable. Implementation is in progress.
				4	To enhance its strategic planning for and implementation of health care cost control efforts, BOP should incorporate elements of a sound planning approach and (1) establish a means of measuring progress toward and effectiveness of its activities for its current strategic objectives and goals related to controlling health care costs; and (2) identify the resources and investments necessary for implementation of its planned health care cost control initiatives.	FY 2020	Not applicable. Implementation is in progress.



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GAO-17-379	BUREAU OF PRISONS: Better Planning and Evaluation Needed to Understand and Control Rising Inmate Health Care Costs	6/29/2017	BOP	5	To improve the reliability and utility of its Federal Medical Center mission analyses, BOP should document the analyses and findings that underlie its recommendations.	FY 2021	Not applicable. Implementation is in progress.
GAO-17-4	STUDENT LOANS: Oversight of Servicemembers' Interest Rate Cap Could be Strengthened	11/15/2016	CRT	2	To ensure that all eligible servicemembers with student loans receive the SCRA interest rate cap, the Attorney General should direct the Department of Justice to consider modifying its proposed changes to SCRA to require use of the automatic eligibility check for private student loans.	DOJ issued a public view letter on 9/21/2017 supporting S. 646 of SCRA to help all servicemember student loan borrowers, but the Bill did not pass. CRT will request closure of the recommendation.	Not applicable. DOJ implemented actions deemed necessary and will await GAO closure of the recommendation.
				5	To better ensure that servicemembers with private student loans benefit from the SCRA interest rate cap, the Director of the Consumer Financial Protection Bureau and the Attorney General of the Department of Justice should coordinate with each other, and with the four federal financial regulators, as appropriate, to determine the best way to ensure routine oversight of SCRA compliance for all nonbank private student loan lenders and servicers. If CFPB and DOJ determine that additional statutory authority is needed to facilitate such oversight, CFPB and DOJ should develop a legislative proposal for Congress.	CRT continues to disagree with GAO's recommendation. CRT management requested closure on 10/13/2016.	CRT continues to maintain that statutory authority does not exist to implement GAO's recommendation. Further, it is unnecessary to seek additional statutory authority because DOJ already coordinates extensively with the Consumer Financial Protection Bureau and financial regulators concerning SCRA compliance for nonbank private student loan lenders and servicers. Awaiting GAO closure of the recommendation.
GAO-17-438	IMMIGRATION COURTS: Actions Needed to Reduce Case Backlog and Address Long-Standing Management and Operational Challenges	6/1/2017	EOIR	1	To better address current and future staffing needs, the Director of EOIR should develop and implement a strategic workforce plan that addresses, among other areas, key principles of effective strategic workforce planning, including (1) determining critical skills and competencies needed to achieve current and future programmatic results; (2) developing strategies that are tailored to address gaps in number, deployment, and alignment of human capital approaches for enabling and sustaining the contributions of all critical skills and competencies; and (3) monitoring and evaluation of the agency's progress toward its human capital goals and the contribution that human capital results have made toward achieving programmatic results.	FY 2021	Not applicable. Implementation is in progress.
				2	To better address EOIR's immigration judge staffing needs, the Director of EOIR should: (1) assess the immigration judge hiring process to identify opportunities for efficiency; (2) use the assessment results to develop a hiring strategy that targets short- and long-term human capital needs; and (3) implement any corrective actions related to the hiring process resulting from this assessment.	FY 2021	Not applicable. Implementation is in progress.

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GAO-17-438	IMMIGRATION COURTS: Actions Needed to Reduce Case Backlog and Address Long-Standing Management and Operational Challenges	6/1/2017	EOIR	4	To help ensure that EOIR meets its cost and schedule expectations for ECAS, the EOIR Director should document and implement an oversight plan that is consistent with best practices for overseeing IT projects, including (1) establishing how the oversight body is to monitor program performance and progress toward expected cost, schedule, and benefits; (2) ensuring that corrective actions are identified and assigned to the appropriate parties at the first sign of cost, schedule, or performance slippages; and (3) ensuring that corrective actions are tracked until the desired outcomes are achieved.	FY 2021	Not applicable. Implementation is in progress.
				5	To provide further assurance that EOIR's use of video teleconference (VTC) in immigration hearings is outcome-neutral, the Director of EOIR should collect more complete and reliable data on the number and type of hearings it conducts through VTC.	FY 2021	Not applicable. Implementation is in progress.
				7	To provide further assurance that EOIR's use of VTC in immigration hearings is outcome-neutral, the Director of EOIR should use these and other data to assess any effects of VTC on immigration hearings and, as appropriate, address any issues identified through such an assessment.	FY 2021	Not applicable. Implementation is in progress.
				9	To better assess court performance and use data to identify potential management challenges, the Director of EOIR should establish and monitor comprehensive case completion goals, including a goal for completing non-detained cases not currently captured by performance measures, and goals for cases it considers a priority.	FY 2021	Not applicable. Implementation is in progress.
				11	To better assess court performance and use data to identify potential management challenges, the Director of EOIR should update policies and procedures to ensure the timely and accurate recording of Notices to Appear.	FY 2021	Not applicable. Implementation is in progress.
GAO-17-448	DATA CENTER OPTIMIZATION: Agencies Needed to Address Challenges and Improve Progress to Achieve Cost Savings Goal	8/15/2017	JMD	9	The Attorney General should take action to, within existing OMB reporting mechanisms, complete plans describing how the agency will achieve OMB's requirement to implement automated monitoring tools at all agency-owned data centers by the end of fiscal year 2018.	FY 2020	Not applicable. Implementation is in progress.
GAO-17-72	ASYLUM: Variation Exists in Outcomes of Applications Across Immigration Courts and Judges	11/14/2016	EOIR	1	To better assess whether the LOP and LOPC are having a measurable impact in meeting their program objectives, the Director of EOIR should develop and implement a system of performance measures, including establishing a baseline, to regularly evaluate the effectiveness of LOP and LOPC and assess whether the programs are achieving their stated goals.	FY 2020	Not applicable. Implementation is in progress.
GAO-17-738	FEDERAL CONTRACTING: Additional Management Attention and Action Needed to Close Contracts and Reduce Audit Backlog	11/27/2017	JMD	4	To enhance management attention to closing out contracts, the Attorney General should direct the Senior Procurement Executive to ensure the development of a means to track data on the number and type of contracts eligible for closeout and where the contracts are in the closeout process, as well as a means to assess--at the agency or component level--progress by establishing goals and performance measures for closing contracts.	FY 2020	Not applicable. Implementation is in progress.
GAO-18-203	FEDERAL CRIMINAL RESTITUTION: Most Debt is Outstanding and Oversight of Collections Could Be Improved	2/2/2018	EOUSA	4	To improve oversight of the collection of restitution, the Attorney General should develop and implement performance measures and goals for each USAO related to the collection of restitution, and measure progress towards meeting those goals.	FY 2021	Not applicable. Implementation is in progress.
			JMD	5	To improve oversight of the collection of restitution, the Attorney General should, in cases where forfeited assets were not used to compensate victims, gather information on reasons why forfeited assets were not used for victims. If warranted based on this information, take action to increase the use of forfeited assets to compensate eligible victims.	FY 2020	Not applicable. Implementation is in progress.

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GAO-18-205	ILLICIT OPIOIDS: While Greater Attention Given to Combating Synthetic Opioids, Agencies Need to Better Assess their Efforts	3/29/2018	OCDETF	4	The Executive Director of Organized Crime Drug Enforcement Task Forces should work with the National Heroin Initiative Coordinator to establish outcome-oriented performance measures for the goals set out for National Heroin Initiative.	FY 2020	Not applicable. Implementation is in progress.
			ODAG	5	The Attorney General should, in consultation with its relevant components such as the Drug Enforcement Administration (DEA) and Executive Office for United States Attorneys (EOUSA), establish goals and outcome oriented performance measures for its Strategy to Combat the Opioid Epidemic.	FY 2020	Not applicable. Implementation is in progress.
			DEA	6	The DEA Administrator should establish goals and outcome-oriented performance measures for the enforcement and diversion control activities within the 360 Strategy and establish outcome-oriented performance measures for the community engagement activities within the 360 Strategy.	FY 2020	Not applicable. Implementation is in progress.
GAO-18-233	EMERGENCY MANAGEMENT: Federal Agencies Could Improve Dissemination of Resources to Colleges	1/23/2018	ODAG	3	The Attorney General, in collaboration with other agencies through the planned interagency working group or another mechanism, should identify further opportunities to more effectively publicize resources to reach additional colleges.	FY 2020	Not applicable. Implementation is in progress.
GAO-18-365	FREEDOM OF INFORMATION ACT: Agencies Are Implementing Requirements but Additional Actions Are Needed	6/25/2018	OIP	11	The Attorney General of the United States should take steps to develop and document a plan that fully addresses best practices with regards to reduction of backlogged FOIA requests.	FY 2020	Not applicable. Implementation is in progress.
GAO-18-4	VOTERS WITH DISABILITIES: Observations on Polling Place Accessibility and Related Federal Guidance	10/3/2017	CRT	1	The Attorney General should study the implementation of federal accessibility requirements in the context of early in-person voting and make any changes to existing guidance that are determined to be necessary as a result of the study.	FY 2021	Not applicable. Implementation is in progress.
GAO-18-429	RENTAL HOUSING ASSISTANCE: Actions Needed to Improve Oversight of Criminal History Policies and Implementation of the Fugitive Felon Initiative	8/9/2018	FBI	6	The Director of the FBI should, in collaboration with the HUD OIG, determine what information on fugitive apprehensions that occur as the result of the Fugitive Felon Initiative would be most useful and consistently share such information with the HUD OIG.	FY 2020	Not applicable. Implementation is in progress.
				7	The Director of the FBI should, in collaboration with the HUD OIG, update the Fugitive Felon Initiative MOU to reflect the agencies' current activities and responsibilities.	FY 2020	Not applicable. Implementation is in progress.
GAO-18-537	NATIVE AMERICAN CULTURAL PROPERTY: Additional Agency Actions Needed to Assist Tribes with Repatriating Items from Overseas Auctions	8/6/2018	OTJ	3	The Attorney General should direct Justice's members of the interagency working group for protection of Native American cultural property to implement selected leading collaboration practices, such as taking steps to agree on outcomes and objectives, clarify roles and responsibilities, and document these decisions.	FY 2021	Not applicable. Implementation is in progress.
				7	The Attorney General should direct Justice's members of the interagency working group for protection of Native American cultural property to identify and externally communicate to tribes points of contact within the agency that are responsible for responding to tribes' requests for assistance with repatriating cultural items from overseas auctions.	FY 2021	Not applicable. Implementation is in progress.
				11	The Attorney General should direct Justice's members of the interagency working group for protection of Native American cultural property to collaborate with the interagency working group members from other agencies to assess, in consultation with Indian tribes, whether and how amending the U.S. legal framework governing the export, theft, and trafficking of Native American cultural items would facilitate the repatriation of these items from auctions overseas and report its findings to Congress.	OTJ continues to disagree with GAO's recommendation and awaits further discussion regarding actions to be implemented, if any, to close the recommendation.	OTJ awaits further discussion with GAO. See comment at left.

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Timeline for Full Implementation	Justification for Decision Not to Implement
GAO-18-8	U.S. MARSHALS SERVICE: Additional Actions Needed to Improve Oversight of Merit Promotion Process and Address Employee Perceptions of Favoritism	10/17/2017	USMS	1	The Director of the USMS should develop specific documented guidance-- both for the current and new processes--to enhance raters' ability to consistently interpret and apply experience-based benchmarks for GS-14 and GS-15 positions and competency-based benchmarks for GS-13 positions when evaluating candidate qualifications.	FY 2021	Not applicable. Implementation is in progress.
				2	The Director of the USMS should develop and implement a mechanism to provide specific feedback to employees on the results of the promotion process, including their readiness for promotion.	FY 2021	Not applicable. Implementation is in progress.
GAO-18-93	FEDERAL CHIEF INFORMATION OFFICERS: Critical Actions Needed to Address Shortcomings and Challenges in Implementing Responsibilities	8/2/2018	JMD	13	The Attorney General should ensure that the department's IT management policies address the role of the CIO for key responsibilities in the five areas we identified.	JMD management reported to GAO on 10/29/2019 that JMD had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
GAO-19-49	INFORMATION TECHNOLOGY: Departments Need to Improve Chief Information Officers' Review and Approval of IT Budgets	11/13/2018	JMD	31	The Attorney General should ensure that the Office of the CIO and other offices, as appropriate, address gaps in the department's FITARA plans by developing and implementing policies and procedures that include the CIO as a member of governance boards that inform decisions regarding all IT resources, including component-level boards.	JMD management reported to GAO on 11/13/2019 that JMD had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
				32	The Attorney General should ensure that the Office of the CIO and other offices, as appropriate, address gaps in the department's FITARA plans by developing and implementing policies and procedures that document the steps the CIO is to take to ensure whether the IT portfolio includes appropriate estimates of all IT resources included in the budget request.	JMD management reported to GAO on 11/13/2019 that JMD had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
				33	The Attorney General should ensure that the Office of the CIO and other offices, as appropriate, establish quality assurance processes--such as data quality checks, reviews of estimation methods, linkages between the IT portfolio and procurement system data, and linkages between the IT portfolio and financial system data--for ensuring the annual IT budget is informed by complete and reliable information on anticipated government labor, contract, and other relevant IT expenditures.	JMD management reported to GAO on 11/13/2019 that JMD had completed final action.	Not applicable. Awaiting GAO closure of the recommendation.
			FBI	34	The FBI Director should direct the Office of the CIO and other offices, as appropriate, to take steps to ensure that the actions taken to comply with OMB's common baseline for implementing FITARA on individual investments are adequately documented.	FY 2020	Not applicable. Implementation is in progress.
GAO-19-9	ILLEGAL MARIJUANA: Opportunities Exist to Improve Oversight of State and Local Eradication Efforts	11/14/2018	DEA	1	The DEA Administrator should develop and implement a plan with specific actions and time frames to ensure that regional contractors are implementing DEA's requirement for collecting documentation supporting participating agencies' DCE/SP program expenditures in the intended manner.	FY 2020	Not applicable. Implementation is in progress.
				2	The DEA Administrator should clarify DCE/SP guidance on the eradication and suppression activities that participating agencies are required to report, and communicate it to participating agencies and DEA officials responsible for implementing DCE/SP.	FY 2020	Not applicable. Implementation is in progress.
				3	The DEA Administrator should clearly document all DCE/SP program goals.	FY 2020	Not applicable. Implementation is in progress.
				4	The DEA Administrator should develop DCE/SP performance measures with baselines, targets, and linkage to program goals.	FY 2020	Not applicable. Implementation is in progress.

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in GAO Report	Recommendation	Justification for Decision Not to Implement	GAO Designation
GAO-16-1	State Marijuana Legalization: DOJ Should Document Its Approach to Monitoring the Effects of Legalization	12/30/2015	OAG	1	The Attorney General should direct ODAG to document a plan specifying DOJ's process for monitoring the effects of marijuana legalization under state law, in accordance with DOJ's 2013 marijuana enforcement policy guidance, to include the identification of the various data ODAG will use and their potential limitations for monitoring the effects of state marijuana legalization, and how ODAG will use the information sources in its monitoring efforts to help inform decisions on whether state systems are effectively protecting federal marijuana enforcement priorities.	On January 4, 2018, in a memorandum for all United States Attorneys, the Attorney General rescinded the Department of Justice's 2013 marijuana enforcement policy guidance.	Closed, Unimplemented
				2	The Attorney General should direct ODAG to use existing mechanisms to share DOJ's monitoring plan with appropriate officials from DOJ components responsible for providing information DOJ reports using regarding the effects of state legalization to ODAG, obtain feedback, and incorporate the feedback into its plan.	On January 4, 2018, in a memorandum for all United States Attorneys, the Attorney General rescinded the Department of Justice's 2013 marijuana enforcement policy guidance.	Closed, Unimplemented

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13-40	Audit of the Department of Justice's Implementation of and Compliance with Certain Classification Requirements	9/27/2013	JMD	2	Review all DOJ security classification guides and work with Security Programs Managers and OCA officials to identify and reduce redundancies to ensure that instructions are clear, precise, consistent, and provide derivative classifiers with sufficient information to make accurate classification decisions.	JMD management reported to OIG on 12/9/2019 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
15-01	A Review of ATF's Investigation of Jean Baptiste Kingery	10/30/2014	ODAG	1	The Office of the Deputy Attorney General, ATF leadership, and the Attorney General's Advisory Committee engage with the leadership at the Department of Homeland Security, ICE, and CBP in an effort to identify and develop opportunities to improve these important and highly consequential relationships.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 3/31/2016.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
15-04	The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components	3/25/2015	ODAG	5	The Office of the Deputy Attorney General (ODAG) should ensure that the Department's zero tolerance policy on sexual harassment is enforced in the law enforcement components and that the components' tables of offenses and penalties are complimentary and consistent with respect to sexual harassment.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				7	All four law enforcement components, in coordination with ODAG, should acquire and implement technology and establish procedures to effectively preserve text messages and images for a reasonable period of time, and components should make this information available to misconduct investigators and, as appropriate, for discovery purposes.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				8	All four law enforcement components, in coordination with ODAG, should take concrete steps to acquire and implement technology to be able to, as appropriate in the circumstances, proactively monitor text message and image data for potential misconduct.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
15-05	Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons	5/5/2015	BOP	5	Study the feasibility of creating units, institutions, or other structures specifically for aging inmates in those institutions with high concentrations of aging inmates.	BOP management reported to OIG on 8/29/2019 that BOP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				6	Systematically identify programming needs of aging inmates and develop programs and activities to meet those needs.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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15-05	Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons	5/5/2015	BOP	7	Develop sections in release preparation courses that address the post-incarceration medical care and retirement needs of aging inmates.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-
15-3	Review of the Drug Enforcement Administration's Use of Cold Consent Encounters at Mass Transportation Facilities	1/28/2015	DEA	1	We recommend that the DEA consider how to determine if cold consent encounters are being conducted in an impartial manner, including reinstating the collection of racial and other demographic data and how it could be used to make that assessment.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				2	We recommend that the DEA develop a way to track cold consent encounters and their results and use the information collected to gain a better understanding of whether and under what circumstances they are an effective use of law enforcement resources.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				4	We recommend that the DEA ensure appropriate coordination of training, policies, and operations for conducting cold consent encounters and searches, including assessing which policies should apply to cold consent searches at transportation facilities and ensuring that interdiction TFG members know when and how to apply them.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
16-02	A Review of the Management and Operations of the FBI Recreation Association of New York	5/10/2016	FBI	1	The FBI should temporarily shut down the stores operated by the FBIRA-NY until they are in compliance with New York State law and FBI Policy.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/27/2016.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				2	The FBI should revise and update CPD 0465D, including prohibiting FBIRA Board Members from using personal financial instruments or accounts in connection with FBIRAs, clearly delineating permissible and impermissible uses of FBIRA resources, and requiring accountability for RA funds.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/27/2016.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-

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16-02	A Review of the Management and Operations of the FBI Recreation Association of New York	5/10/2016	FBI	3	The FBI should provide appropriate training and guidance to FBIRA directors and FBI Field Division managers.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/27/2016.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
16-04	A Review of the FBI's Use of Section 215 Orders for Business Records in 2012-2014	9/29/2016	FBI	1	The OIG recommend that the FBI and the Department continue to pursue ways to make the business records process more efficient, particularly for applications related to cyber cases.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2016.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
	The Federal Bureau of Prisons' Reimbursement Rates for Outside Medical Care	6/8/2016	BOP	3	Improve the collection and analysis of utilization data for inmate medical care to better understand the services that inmates need and the impact it has on the BOP's medical spending.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
16-05	Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts	6/28/2016	BOP	3	Develop uniform guidelines and criteria for conducting random staff pat searches across all institutions that require a minimum frequency and duration for search events to ensure that appropriate numbers of staff on each shift are searched with appropriate frequency.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-
				4	Define what quantities, if any, of tobacco and related tobacco products should be authorized for staff to bring into institutions for personal use.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-
				5	Restrict the size and content of personal property that staff may bring into BOP institutions.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-
				6	Establish procedures whereby all post-declaration items discovered during staff screening procedures are documented, along with the identity of the staff member involved, as well as more explicit guidelines for when the items should be confiscated and when such instances or a series of them should be referred for investigation and corrective action.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-
16-06	A Review of ATF's Undercover Storefront Operations	9/8/2016	ODAG	10	The Department should promptly design and implement a plan that ensures that its law enforcement and detention components comply with the Rehabilitation Act of 1973.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-



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16-07	Review of the Federal Bureau of Prisons' Release Preparation Program	8/30/2016	BOP	1	Establish a standardized list of courses, covering at least the Release Preparation Program's core categories, as designated by the BOP, to enhance the consistency of Release Preparation Program curricula across BOP institutions.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-
				2	Consider implementing the use of validated assessment tools to assess specific inmate programming needs.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				3	Use evaluation forms to collect feedback from inmates about the Release Preparation Program courses they attend to facilitate improvement.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-
				4	Develop and implement quality control for Release Preparation Program courses across all institutions.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-
				5	Explore the use of incentives and other methods to increase inmate participation and completion rates for the Institution Release Preparation Programs.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-
				7	Establish a mechanism to assess the extent that, through the Release Preparation Program, inmates gain relevant skills and knowledge to prepare them for successful reentry to society.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-
16-08	Audit of the Drug Enforcement Administration's Controls Over Seized and Collected Drugs	2/17/2016	DEA	1 a	Reinforce, through official communication and training, that special agents: a. document the gross weight of the exhibit on the DEA-6.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				b	Completely fill out the Temporary Drug Ledger for each exhibit placed in temporary drug storage.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				c	Complete the DEA-7 within the required timeframe.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				d	Provide the appropriate memorandum documenting approval of the reasons for which exhibits are held for more than 3 business days in temporary storage.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				e	Maintain both portions of the receipt.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				8	Develop a method to ensure the laboratories are notified of drug exhibits in transit to the laboratories.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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16-20	Audit of the Federal Bureau of Investigation's Cyber Threat Prioritization	7/20/2016	FBI	1	Utilize an algorithmic, data driven, and objective methodology in the scoping and prioritization of cyber threat sets, including: <ul style="list-style-type: none"> <li>Document policies and procedures and provide training for the use of the methodology, including who should enter the data and how the data should be used in prioritizing cyber threat sets.</li> <li>Ensure that the results of the threat ranking tool are updated automatically through integration with Sentinel and updated manually at least every 30 days so that emerging threat sets can be identified and mitigated in a timely manner.</li> </ul>	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				2	Develop and implement a record keeping system that tracks agent time utilization by threat set.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
16-26	Follow-Up Audit of the Department of Justice's Implementation of and Compliance with Certain Classification Requirements	9/12/2016	JMD	1	Coordinate with the DEA's Office of National Security Intelligence to ensure its classification practices do not result in over-or under-classification.	JMD management reported to OIG on 12/9/2019 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				3	Publish the updated Mandatory Declassification Review process in the Federal Register to ensure compliance with EO 13526.	JMD management reported to OIG on 12/9/2019 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
17-02	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	5/24/2017	DEA	1	Establish procedures that will require sufficient training and de-confliction between DEA personnel and host nation counterparts on their respective deadly force policies before commencing future counternarcotics operations outside the United States.	DEA management reported to OIG on 6/14/2019 that DEA had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				2	Establish protocols that will ensure that, in joint operations abroad in which critical incidents are possible, appropriate and sufficient mechanisms are in place in the event of a critical incident to support the law enforcement personnel on the ground (including the availability of additional forces and airlift support), provide for the processing of the crime scene without prolonged delay, and allow for any and all search and rescue missions that may become necessary.	DEA management reported to OIG on 6/14/2019 that DEA had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-

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17-02	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	5/24/2017	DEA	3	DEA's post-shooting procedures should be revised to ensure DEA investigates all shootings during joint operations abroad in which initial reporting or available information is that DEA personnel either may have discharged their weapons or instructed other individuals to fire their weapons, was in a position to discharge their weapons or instruct other individuals to fire, or it is determined that DEA nevertheless played a leadership role in the operation.	DEA management reported to OIG on 6/14/2019 that DEA had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				4	Because DEA's post-shooting incident procedures do not provide delegation guidelines for foreign incidents or, in the case of domestic incidents, state whether the investigation will be investigated directly by IN or delegated to the field when the incident involves significant injuries, death, or other significant liabilities, DEA's procedures should be revised to clarify the circumstances under which shooting incidents are to be investigated directly by the Office of Inspections and the circumstances under which investigations will be delegated to the field.	DEA management reported to OIG on 6/14/2019 that DEA had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				5	Revise DEA's post-shooting incident procedures to include a requirement that will ensure that, when delegated to the field, the supervisory agent assigned to conduct the investigation will be someone outside the supervisory chain or program of the shooter and relevant witnesses.	DEA management reported to OIG on 6/14/2019 that DEA had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-

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17-02	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	5/24/2017	DEA	6 a	Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to, specific requirements for the inspection of all weapons of DEA personnel and task force officers to ensure that all such weapons fired during the shooting are identified and that all weapons not fired are identified. All DEA personnel and task force officers should understand that weapons checks must be done as soon as it is practical to do so and procedures should specify how such weapons checks must be conducted, including whether a standard load procedure or other mechanism is required to ensure that missing rounds will be identified;	DEA management reported to OIG on 6/14/2019 that DEA had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-	
					b	Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to, specific guidance to the supervisory special agent or inspector assigned to investigate the incident regarding the appropriate steps that should be taken to investigate the incident in addition to the collection of relevant documents.	DEA management reported to OIG on 6/14/2019 that DEA had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
					c	Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to, specific requirements for the conduct of interviews and preparation and collection of witness statements.	DEA management reported to OIG on 6/14/2019 that DEA had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-

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17-02	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	5/24/2017	DEA	8	In connection with future counternarcotics operations outside the United States, DEA should work with the relevant U.S. Embassy and host nation partners to develop a specific protocol, in advance of the operation, that will determine which entity or entities will investigate a shooting or other critical incident that occurs during the operation; the scope and requirements of such an investigation; what information will be shared between and among the relevant entities for use in such investigation and when that will occur; and the time-frame and procedures for sharing of the results of the investigation. Such protocols should ensure timely access to relevant information by the Chief of Mission, as well as whatever entity or entities is or are involved in the investigation of any shooting or other critical incident, and include a procedure to identify and resolve conflicting evidence or investigative gaps when more than one such entity is involved in the investigation. To the	DEA management reported to OIG on 6/14/2019 that DEA had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
			ODAG	7	The Deputy Attorney General should determine whether revisions to the post-shooting incident procedures should be made across the Department's law enforcement components to address the issue of shooting incidents outside the United States by a foreign LEO working on a joint law enforcement operation with a DOJ component. We also recommend that the Deputy Attorney General consider whether revisions to the components' post-shooting incident procedures should be made to ensure that the requirements are appropriate and consistent across the Department's law enforcement components.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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17-03	A Report of Investigation of Certain Allegations Referred by the Office of Special Counsel Concerning the Juvenile Justice and Delinquency Prevention Act Formula Grant Program	7/25/2017	OJJDP	1	The OJP Office of the General Counsel (OGC) should consider issuing guidance clarifying its interpretation of the Valid Court Order (VCO) exception to the Deinstitutionalization of Status Offenders (DSO) Core Requirement of the Juvenile Justice and Delinquency Prevention Act (JJDP Act). In particular, we recommend that OGC consider addressing competing interpretations of the plain meaning of the statute, clarifying its interpretations of the terms "offense" and "charge" and how the meanings of those terms might impact OJJDP's position on pending legislation, and addressing the significance of particular facts, state laws, and due process protections for juveniles.	OJP management reported to OIG on 10/24/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				2	OGC should consider issuing guidance clarifying the circumstances under which juveniles may be confined in unoccupied adult jails consistent with the Jail Removal core requirement.	OJP management reported to OIG on 10/24/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				3	OJJDP should expeditiously notify all states and other interested parties that the VCO non-offender regulation has been determined to be ultra vires.	OJP management reported to OIG on 10/24/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
17-05	Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness	7/11/2017	BOP	1	Establish in policy the circumstances that warrant the placement of inmates in single-cell confinement while maintaining institutional and inmate safety and security and ensuring appropriate, meaningful human contact and out-of-cell opportunities to mitigate mental health concerns.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				2	Define and establish in policy extended placement in measureable terms.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				3	Track all inmates in single-cell confinement and monitor, as appropriate, the cumulative amount of time that inmates with mental illness spend in restrictive housing, including single-cell confinement.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				4	Identify all forms of restrictive housing utilized throughout its institutions and ensure that all local policies are updated to reflect standards for all inmates in restrictive housing consistent with established nationwide policies.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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17-05	Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness	7/11/2017	BOP	5	Evaluate and limit as appropriate the consecutive amount of time that inmates with serious mental illness may spend in restrictive housing.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				13	Provide additional mental health training to correctional staff who are responsible for monitoring the behavior of inmates in restrictive housing.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				15	Conduct a comprehensive review of U.S. Penitentiary Lewisburg's Special Management Unit that addresses the staffing, treatment, conditions of confinement, and performance metrics of the program.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
17-08	Audit of the Federal Bureau of Prisons' Contract with CoreCivic, Inc. to Operate the Adams County Correctional Center in Natchez, Mississippi	12/19/2016	BOP	3	Evaluate the extent to which employee qualification levels and turnover rates affect safety and security concerns, and whether its contractual terms should be modified to address those concerns.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
17-10	Audit of the Office of Justice Programs' Tribal Justice Systems Infrastructure Program	1/18/2017	OJP	3 a	We recommend that OJP remedy \$10,720,232 in unallowable costs awarded to the Nisqually Tribe to fund a correctional facility that was not funded or used in conformity with the statutory authority of the TJSIP, and that was inappropriately built with the intention of being a profit-generating facility.	FY 2020	Not applicable. Implementation is in progress.	-	10,720,232	-	-
				b	We recommend that OJP remedy the \$842,880 in unallowable tribal payments for services where a COI existed, including \$106,277 paid by Tribe Number 10, \$124,697 paid by Tribe Number 9, \$59,326 paid by Tribe Number 8, \$30,998 paid by Tribe Number 7, \$136,494 paid by Tribe Number 11, \$285,608 paid by Tribe Number 12, and \$99,480 paid by Tribe Number 13.	FY 2020	Not applicable. Implementation is in progress.	718,183	124,697	-	-
17-17	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Management and Oversight of Confidential Informants	3/27/2017	ATF	1 a	Complete the development of and implement a recordkeeping system sufficient to maintain, in a single location, complete and reliable CI information. To accomplish this, ATF should work with field division CI Coordinators to ensure that any data that has been migrated from the legacy National CI Registry System to CIMRRS is complete, accurate, and standardized.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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17-17	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Management and Oversight of Confidential Informants	3/27/2017	ATF	1 d	Complete the development of and implement a recordkeeping system sufficient to maintain, in a single location, complete and reliable CI information. To accomplish this, ATF should implement a method to accurately and completely track all payment information for individual CIs, including at the transaction level as well as annual and lifetime payment amounts.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
				4	Improve the monitoring of foreign national CIs to ensure the legal status of active CIs does not lapse and, as appropriate, coordinate with DHS when the legal status of foreign national CIs has expired. In addition, we recommend that ATF determine whether any current or former CIs with expired sponsorships are in the United States and if so, coordinate with DHS on the status of these individuals.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
17-21	Review of Domestic Sharing of Counterterrorism Information	3/30/2017	ODAG	10	DOJ OIG recommends that DOJ develop a comprehensive internal counterterrorism information sharing strategic plan based on a review of the President's strategic plan and in consultation with relevant partners.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
				11	DOJ OIG recommends that DOJ implement a council, led by a senior Department official, for the internal coordination of DOJ information sharing strategy and investments, and ensure that relevant components designate senior-level officials responsible for monitoring their component's efforts and communicating their efforts to DOJ as requested.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
				FBI	17	DOJ OIG recommends that FBI direct FBI field divisions to identify and invite key stakeholders to TRP sessions.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				18	DOJ OIG recommends that FBI determine the agencies with which it should share its counterterrorism-related TRP results and implement a process to ensure the TRP results are appropriately shared with those agencies on a systemic and regular basis.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
17-31	Audit of the Office of Juvenile Justice and Delinquency Prevention Title II Part B Formula Grant Program Related to Allegations of the OJJDP's Inappropriate Conduct	7/24/2017	OJP	3	Finalize its OJJDP Guidance Manual-Audit of Compliance Monitoring Systems that was under development as of May 2017.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	



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17-35	Audit of the Federal Bureau of Investigation's Insider Threat Program	9/22/2017	FBI	1	Track, summarize, and annually report INTP performance metrics as required.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				2	Ensure that leads and referrals concerning insider threats are handled and monitored in a systematic way, including making sure that leads go to the appropriate point of contact at each internal FBI component.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				3	Pursue technological solutions to mitigate the need for, or reduce the risk of, stand-alone systems.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				4	Conduct a comprehensive inventory of classified networks, systems, applications, and other information technology assets and identify a component responsible for maintaining the inventory.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				5	Ensure user activity monitoring (UAM) coverage over all classified systems and networks and identify a component to maintain an accurate inventory of all information technology assets that have user activity monitoring coverage.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
18-01	Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014	11/7/2017	ALLDOJ	2	Ensure all applicable components are aware of and are following the reporting timelines for the Federal Procurement Data System as required by the Federal Acquisition Regulations for procurement awards, and the newly established Financial Assistance Broker System for financial assistance awards.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				3	Ensure all accounting entry corrections are addressed prior to submission of file B.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				6	Review all validation warnings generated by the DATA Act broker system prior to submission and Senior Accountable Official certification, to ensure that the data submitted is accurate, and in compliance with the DAIMS instructions.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				7	Continue its efforts to implement the Unified Financial Management System (UFMS) in order to submit supported and accurate data to beta.USASpending.gov and to be in compliance with OMB Memorandum M-15-12.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
	Review of the Department's Tribal Law Enforcement Efforts Pursuant to the Tribal Law and Order Act of 2010	12/14/2017	ODAG	1	Update the 2010 policy memoranda to U.S. Attorneys and heads of components to incorporate Tribal Law and Order Act mandates.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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18-01	Review of the Department's Tribal Law Enforcement Efforts Pursuant to the Tribal Law and Order Act of 2010	12/14/2017	FBI	10 b	Track all training provided to the Bureau of Indian Affairs and tribal law enforcement, including ad hoc training that Special Agents provide, and develop procedures to incorporate this information in planning future training.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-				
				13 b	Analyze available data to help to identify resource, program, or potential training and law enforcement needs.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-				
				14 b	Provide training to all staff responsible for Indian country data collection to ensure that data is captured uniformly.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-				
18-03	A Special Inquiry Regarding the Accuracy of FBI Statements Concerning its Capabilities to Exploit an iPhone Seized During the San Bernardino Terror Attack Investigation	3/27/2018	FBI	1	The OIG recommend that the FBI take the necessary steps to finalize the reorganization and any other actions appropriate to ensure the full coordination between the units that work on computer and mobile devices.	FBI management reported to OIG on 6/3/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-				
				Review of Gender Equity in the Department's Law Enforcement Components	6/25/2018	ATF	1 a	Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
							2 a	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
							3 a	Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
							4 a	Identify and take steps to address barriers to advancement for women within the component and among different job types.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
							5 a	Develop and implement methods to improve the objectivity and transparency of the merit promotion process.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
							6 a	Develop and implement methods to address perceptions of stigmatization and retaliation associated with the Equal Employment Opportunity complaint process.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
							DEA	1 b	Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
								2 b	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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18-03	Review of Gender Equity in the Department's Law Enforcement Components	6/25/2018	DEA	3 b	Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				4 b	Identify and take steps to address barriers to advancement for women within the component and among different job types.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				5 b	Develop and implement methods to improve the objectivity and transparency of the merit promotion process.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				6 b	Develop and implement methods to address perceptions of stigmatization and retaliation associated with the Equal Employment Opportunity complaint process.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
			USMS	1 d	Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				2 d	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				3 d	Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				4 d	Identify and take steps to address barriers to advancement for women within the component and among different job types.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				5 d	Develop and implement methods to improve the objectivity and transparency of the merit promotion process.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				6 d	Develop and implement methods to address perceptions of stigmatization and retaliation associated with the Equal Employment Opportunity complaint process.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
			FBI	1 c	Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce.	FBI management reported to OIG on 10/10/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				2 c	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.	FBI management reported to OIG on 10/10/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				3 c	Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies.	FBI management reported to OIG on 10/10/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-

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18-03	Review of Gender Equity in the Department's Law Enforcement Components	6/25/2018	FBI	4 c	Identify and take steps to address barriers to advancement for women within the component and among different job types.	FBI management reported to OIG on 10/10/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				5 c	Develop and implement methods to improve the objectivity and transparency of the merit promotion process.	FBI management reported to OIG on 10/10/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				6 c	Develop and implement methods to address perceptions of stigmatization and retaliation associated with the Equal Employment Opportunity complaint process.	FBI management reported to OIG on 10/10/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
18-04	A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election	6/14/2018	ODAG	1 a	The OIG recommend that the Department consider developing practice guidance that would assist investigators and prosecutors in identifying the general risks with and alternatives to permitting a witness to attend a voluntary interview of another witness, in particular when the witness is serving as counsel for the other witness.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				2	The OIG recommend that the Department consider making explicit that, except in situations where the law requires or permits disclosure, an investigating agency cannot publicly announce its recommended charging decision prior to consulting with the Attorney General, Deputy Attorney General, U.S. Attorney, or his or her designee, and cannot proceed without the approval of one of these officials.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				3 a	The OIG recommend that the Department consider adopting a policy addressing the appropriateness of Department employees discussing the conduct of uncharged individuals in public statements.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				4	The OIG recommend that the Department consider providing guidance to agents and prosecutors concerning the taking of overt investigative steps, indictments, public announcements, or other actions that could impact an election.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				5	The OIG recommend that the Office of the Deputy Attorney General take steps to improve the retention and monitoring of text messages Department-wide	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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18-04	A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election	6/14/2018	ODAG	9	The OIG recommend that Department ethics officials include the review of campaign donations for possible conflict issues when Department employees or their spouses run for public office.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
			FBI	1 b	The OIG recommend that the FBI consider developing practice guidance that would assist investigators and prosecutors in identifying the general risks with and alternatives to permitting a witness to attend a voluntary interview of another witness, in particular when the witness is serving as counsel for the other witness.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				3 b	The OIG recommend that the FBI consider adopting a policy addressing the appropriateness of Department employees discussing the conduct of uncharged individuals in public statements.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				6	The OIG recommend the FBI add a warning banner to all of the FBI's mobile phones and devices in order to further notify users that they have no reasonable expectation of privacy.	FBI management reported to OIG on 11/1/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				7	The OIG recommend that the FBI consider (a) assessing whether it has provided adequate training to employees about the proper use of text messages and instant messages, including any related discovery obligations, and (b) providing additional guidance about the allowable uses of FBI devices for any non-governmental purpose, including guidance about the use of FBI devices for political conversations.	FBI management reported to OIG on 11/1/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				8	The OIG recommend that the FBI consider whether (a) it is appropriately educating employees about both its media contact policy and the Department's ethics rules pertaining to the acceptance of gifts, and (b) its disciplinary provisions and penalties are sufficient to deter such improper conduct.	FBI management reported to OIG on 11/1/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
18-05	Review of the Federal Bureau of Prisons' Management of Its Female Inmate Population	9/18/2018	BOP	2	Determine the appropriate level of staffing that should be allocated to the Women and Special Populations Branch based on an analysis of its broad mission and responsibilities.	FY 2023	Not applicable. Implementation is in progress.	-	-	-	-

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18-05	Review of the Federal Bureau of Prisons' Management of Its Female Inmate Population	9/18/2018	BOP	3	Ensure that all officials who enter into National Executive Staff positions have taken appropriate, current training specific to the unique needs of female inmates and trauma-informed correctional care.	FY 2023	Not applicable. Implementation is in progress.	-	-	-	-				
				4	Identify ways to expand the staffing of the Resolve program.	FY 2023	Not applicable. Implementation is in progress.	-	-	-	-				
				5	Improve the communication of its pregnancy program availability and eligibility criteria to relevant staff and pregnant inmates to ensure consistent understanding across BOP institutions.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-				
				7	Clarify guidance on the distribution of feminine hygiene products to ensure sufficient access to the amount of products inmates need free of charge.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-				
				8	Improve the availability of female staff at locations in female institutions where inmate searches are common, through the establishment of gender-specific posts or other methods.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-				
				9	Establish policy that determines how long sentenced inmates can be confined in a detention center, or ensures that the conditions of confinement and inmate programming at a detention center more closely approximate those of a non-detention center when sentenced inmates are housed there.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-				
				10	Explore options to procure female Special Housing Unit space closer to Federal Correctional Institution Danbury.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-				
				18-08	Audit of the Drug Enforcement Administration's Regional Linguist Services Contract Awarded to Conduit Language Specialists, Inc.	1/10/2018	DEA	a	We recommend that the DEA remedy \$2,493,784 in unallowable costs paid to linguists without valid language proficiency testing results.	FY 2020	Not applicable. Implementation is in progress.	-	2,493,784	-	-
								b	We recommend that DEA remedy \$505,717 in unallowable costs paid for linguists and a Regional Program Manager without valid background investigations.	FY 2020	Not applicable. Implementation is in progress.	-	505,717	-	-
								c	We recommend that DEA remedy \$661,885 in unallowable costs paid for linguists without signed non-disclosure agreements on file prior to working under the contract.	FY 2020	Not applicable. Implementation is in progress.	-	661,885	-	-
d	We recommend that the DEA remedy \$924 in travel costs for incorrect mileage, mileage and per diem rates, and costs not associated with official business travel.	FY 2020	Not applicable. Implementation is in progress.					-	924	-	-				

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18-08	Audit of the Drug Enforcement Administration's Regional Linguist Services Contract Awarded to Conduit Language Specialists, Inc.	1/10/2018	DEA	2 e	We recommend that DEA remedy the \$33,421 in unreasonable costs the DEA paid to another linguist contractor for Arabic linguists due to the Contracting Officer's inadequate review of contractor rates and failure to ensure the rates were fair and reasonable.	FY 2020	Not applicable. Implementation is in progress.	-	33,421	-	-	
18-14	Audit of the Department of Justice's Efforts to Address Patterns or Practices of Police Misconduct and Provide Technical Assistance on Accountability Reform to Police Departments	2/13/2018	CRS	12	Work with the COPS Office, OJP, and CRT to develop procedures to facilitate other DOJ component non-litigation community outreach efforts, where appropriate, and revise its guidance to its conciliators accordingly.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
				ODAG	14	Clarify the circumstances in which a component is responsible for designating an incident to be "high-profile" under the March 2016 guidance.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
					15	Develop procedures detailing the circumstances when notification and coordination with the relevant U.S. Attorney's Office is appropriate in jurisdictions where technical assistance will be provided by the OJP, COPS Office, or CRS.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
18-18	Audit of the Department of Justice Grant Award Closeout Process	3/12/2018	COPS	24	We recommend that COPS review and put to better use, as appropriate, the remaining \$2,357,016 in funds obligated against awards that have expired, but have not been closed.	FY 2020	Not applicable. Implementation is in progress.	-	-	2,335,196	21,820	
					28	We recommend that COPS remedy \$33,544 in unallowable personnel-related questioned costs associated with COPS Office Award Number 2010-UM-WX-0245.	FY 2020	Not applicable. Implementation is in progress.	-	33,544	-	-
				OVW	39	We recommend that OVW review and put to better use, as appropriate, the remaining \$12,682,709 in funds obligated against awards that have expired, but have not been closed.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	12,682,709
					41	We recommend that OVW develop and implement policies and procedures to ensure that recipients with significant issues identified during the closeout process be shared between the three awarding agencies.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
					48	We recommend that OVW remedy \$4,579 in unallowable travel-related questioned costs associated with OVW Award Number 2013-TA-AX-K016.	FY 2020	Not applicable. Implementation is in progress.	-	4,579	-	-
					49	We recommend that OVW remedy \$7,603 in unallowable supplies, indirect, and other questioned costs associated with OVW Award Number 2011-WH-AX-0017.	FY 2020	Not applicable. Implementation is in progress.	-	7,603	-	-

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18-18	Audit of the Department of Justice Grant Award Closeout Process	3/12/2018	OVW	56	We recommend that OVW remedy \$22,895 in unallowable indirect questioned costs which exceeded the approved amount OVW approved budget associated with OVW Award Number 2012-TW-AX-0024.	FY 2020	Not applicable. Implementation is in progress.	-	22,895	-	-
18-21	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Controls over Weapons, Munitions, and Explosives	3/30/2018	ATF	8	We recommend that ATF ensure the Special Response Teams fully implement its recent policy requiring them to track all less-lethal munitions on an Ammunition Control Record.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				9	We recommend that ATF establish guidelines for physically securing seized weapons and ammunition that are temporarily stored outside of the evidence vault during times when the vault custodians are unavailable.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				10	We recommend that ATF ensure that seized ammunition is tracked in N-Force Vault and secured in the evidence vault until it is shipped to the disposal facility.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
18-30	Audit of the Federal Bureau of Prisons' Residential Reentry Center Contracts Awarded to Reynolds & Associates, Inc., Washington, D.C.	9/12/2018	BOP	1	Develop enhanced RRC price analysis procedures to require that: (1) contracting officials document all relevant supporting price analysis information, including an explanation for why other RRC prices were valid for comparison; and (2) its RRCB Central Office includes sufficient information to support the IGEs used in price analysis.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				3	Ensure the Baltimore RRM field office enhances its efforts to track repetitive deficiencies identified over the course of the RRC contract.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				15	Require Reynolds to report complete employment information (including employment start and end dates, first pay dates, pay period frequency, and subsistence waivers or reductions) as part of the overall subsistence payment support provided as part of its monthly invoice.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
18-31	Audit of the Federal Bureau of Prisons' Contract Awarded to Sealaska Constructors, LLC, to Build Facilities at Federal Correctional Institution Danbury, in Danbury, Connecticut	9/18/2018	BOP	1	We recommend that BOP develop a facility design standard for Federal Satellite Low (FSL) facilities and pre-conversion procedures that assess whether a minimum security facility can feasibly sustain an FSL conversion.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				16	Ensure Reynolds adequately collects and documents resident subsistence payments.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-



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18-31	Audit of the Federal Bureau of Prisons' Contract Awarded to Sealaska Constructors, LLC, to Build Facilities at Federal Correctional Institution Danbury, in Danbury, Connecticut	9/18/2018	BOP	2	We recommend that BOP establish policies and procedures to ensure that future construction projects address the needs of the different BOP stakeholders during the pre-construction planning process, and that the process is adequately documented.	BOP management reported to OIG on 9/26/2019 that BOP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				4	We recommend that BOP establish policies and procedures to ensure that interim performance assessment reports for its construction contracts are entered into the Contractor Performance Assessment Reporting System.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				5	We recommend that BOP consult the Justice Management Division to determine the best approach to ensure compliance with FAR 15.404-4 and take appropriate action, to include establishing or adopting from another agency a structured approach and examining profit for contract actions requiring cost analysis, as applicable.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				6	We recommend that BOP reassess the profit threshold contained in its Technical Design Guidelines to ensure it provides proper motivation for optimum contract performance and avoids use of historical figures and predetermined percentages, pursuant to guidance in FAR 15.404-4.	BOP management reported to OIG on 9/26/2019 that BOP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				7	We recommend that BOP update its Technical Design Guidelines or similar specifications to exclude bond and insurance costs from contractor calculations of overhead to reflect the FAR and current BOP practice.	BOP management reported to OIG on 9/26/2019 that BOP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				8	We recommend that BOP establish policies and procedures to ensure that the required subcontract amounts are included in invoices for future contracts.	BOP management reported to OIG on 9/26/2019 that BOP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
18-32	Audit of the Federal Bureau of Prisons' Contracts Awarded to Pacific Forensic Psychology Associates, Inc., San Diego, California	9/21/2018	BOP	1	Develop measurable performance metrics for its CTS program, and consider performing an evaluation of the CTS program to identify its effectiveness and outcomes.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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18-32	Audit of the Federal Bureau of Prisons' Contracts Awarded to Pacific Forensic Psychology Associates, Inc., San Diego, California	9/21/2018	BOP	2	Determine the number of ongoing CTS contracts that are utilizing interns and psychological assistants to provide direct clinical services to its inmates and, if BOP determines additional contractors are utilizing interns and psychological assistants, to mitigate the concerns it has with interns and psychological assistants providing direct clinical services to BOP inmates.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				3	Implement procedures to ensure it provides adequate inmate treatment summaries, including past criminal offenses that could pose a safety risk to the public if not properly mitigated by the contractor.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				4	Ensure that its contractor monitoring includes: (a) determining if the contractor's facility is operating within the same space or close proximity to a business that caters to children; and if so, (b) ensuring that the contractor has procedures in place to mitigate such risks.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				5	Implement procedures to ensure that its market research for CTS results in accurate and relevant IGEs.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				6	Implement a factor in its future technical evaluations to determine whether a potential contractor's facility is operating within the same space or close proximity to a business that caters to children, and if so, ensure that the potential contractor has procedures in place to mitigate such risks.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				7	Ensure that realistic estimated quantities are used in its CTS solicitations and resulting contracts and that it properly maintains documentation to support the justification for such quantities in its contract file.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				8	Develop and implement a strategic plan for transitioning to an electronic system that would allow for electronic submission of contractor invoices and clinical documents.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				9	Establish safety procedures for the receiving and handling of BOP sex offender inmates.	BOP management reported to OIG on 8/15/2019 that BOP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-

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18-32	Audit of the Federal Bureau of Prisons' Contracts Awarded to Pacific Forensic Psychology Associates, Inc., San Diego, California	9/21/2018	BOP	10	Establish internal controls, including procedures to ensure the timely initiation of CTS services, adequate completion of all treatments plans and monthly progress reports, and the submission of termination reports in a timely manner to the BOP.	BOP management reported to OIG on 8/15/2019 that BOP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				11	Implement procedures to ensure that all contract employees have received BOP approval prior to working with federal inmates.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				12	Remedy \$3,429 in unallowable costs for services performed by unapproved contract employees.	BOP management reported to OIG on 8/15/2019 that BOP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	3,429	-	-
				13	Implement a formal policy and procedures for retaining records.	BOP management reported to OIG on 8/15/2019 that BOP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				14	Remedy \$15,362 in unsupported costs for the services performed by contract employees.	FY 2020	Not applicable. Implementation is in progress.	-	15,362	-	-
				15	Remedy \$583 in unallowable costs for treatment services not provided.	BOP management reported to OIG on 8/15/2019 that BOP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	583	-	-
				16	Remedy \$2,794 in unsupported costs for treatment services not supported.	FY 2020	Not applicable. Implementation is in progress.	-	2,794	-	-
18-33	Audit of the United States Marshals Service's Controls over Weapons, Munitions, and Explosives	9/24/2018	USMS	4	We recommend that USMS implement an oversight procedure to ensure that districts and divisions are complying with the USMS's ammunition tracking requirements.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
19-01	Audit of the Justice Management Division Task Orders Awarded to CACI, Inc.—Commercial	10/31/2018	JMD	10	Remedy \$11,225 in travel costs related to three task orders because CACI failed to obtain pre-approval from the COR, billed local travel, or failed to comply with its own internal policies and procedures.	JMD management reported to OIG on 10/3/2019 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	11,225	-	-
				12	Remedy \$480,157 in subcontract costs billed to Task Order 36 that did not comply with approved subcontractor agreements and FAR 52.244-2.	JMD management reported to OIG on 12/19/2019 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	480,157	-	-
				15	Remedy \$17,531 in labor costs for the Law Clerk I labor billed to Task Order 36 related to the overpaid labor rate.	JMD management reported to OIG on 10/3/2019 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	17,531	-	-

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19-01	Audit of the Justice Management Division Task Orders Awarded to CACI, Inc.—Commercial	10/31/2018	JMD	17	Remedy \$1,468 (\$1,010 for Task Order 43 and \$458 for Task Order 67) in questioned travel costs for lodging amounts that exceeded per diem amounts and items such as first class airfare, per diem paid for a day trip, and an unsupported cost included on an employee's hotel bill.	JMD management reported to OIG on 10/3/2019 that JMD had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	1,468	-	-
	Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013	12/18/2018	OJP	1	In collaboration with the Office of the Deputy Attorney General (ODAG), maintain and regularly update a list of federal agencies with law enforcement authority and reach out to those agencies that have not provided reports pursuant to the Death in Custody Reporting Act of 2013.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				3 b	Work together with the Federal Bureau of Investigation (FBI) to identify and implement death in custody data collection best practices and reduce duplicative data collection efforts.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				4	Conduct a study on data collected under the Death in Custody Reporting Act of 2013 as described in the statute and submit a report on the study to Congress as soon as practicable.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
			FBI	3 a	Work together with the Office of Justice Programs (OJP) to identify and implement death in custody data collection best practices and reduce duplicative data collection efforts.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
19-02	Audit of the U.S. Department of Justice Annual Financial Statements Fiscal Year 2018	12/17/2018	ALLDOJ	4	Enhance the DOJ's level of supervisory review over journal entries, with an emphasis on a more robust review of underlying data and the general ledger accounts affected by the journal entry.	FY 2020	The OIG has indicated it will not close this recommendation until it completes the FY 2020 Department of Justice Annual Financial Statement Audit in November 2020.	-	-	-	-
19-05	Audit of the Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statements Fiscal Year 2018	12/19/2018	JMD	1	Implement more effective procedures over review of the Annual Financial Statements to supplement higher level management reviews over the financial statements and crosswalk to financial statements.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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2016-001875	Procedural Reform Recommendation for the U.S. Marshals Service	1/25/2018	USMS	1	The OIG recommends that the USMS take steps to ensure that its contractors are aware of the whistleblower protections that federal law provides for employees for Federal contractors, and that its contractors take appropriate actions to conform their internal policies to comply with federal law. Specifically, the USMS should ensure that its contractors, subcontractors, and grantees inform their employees in writing of the rights and remedies provided by Section 4712, as required by the law. The OIG further recommends that the USMS examine its contracts to ensure that the contracts include no terms that are inconsistent with federal whistleblower protections.	USMS management reported to OIG on 12/19/2019 that USMS had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
2016-008873	Procedural Reform Recommendation for the Federal Bureau of Prisons	12/20/2017	BOP	1	The OIG recommends that BOP move immediately to require all CMS contractors to submit electronic claims, ensure those claims are properly analyzed and maintained by BOP's adjudication vendor, and enforce existing contract language that requires the adjudication vendor to perform fraud analytics and report any indicators of fraud to the BOP.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-
				2	The BOP should also ensure that the adjudication vendor is able to reproduce on demand all necessary data elements used to adjudicate the claims (e.g., DRG, all procedure codes, and drug information). The universe of claims data should be available to BOP on a national scale in a format that allows for thorough analysis and oversight regardless of institution.	FY 2021	Not applicable. Implementation is in progress.	-	-	-	-
E2003009	A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung	3/31/2006	FBI	1	The FBI should continue its FBI Headquarters-managed asset validation review process and provide sufficient resources for the Analytical Unit to devote to these reviews.	FBI management reported to OIG on 10/9/2015 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				2	The FBI should require that any analytical products relating to the asset, together with red flags, derogatory reporting, anomalies, and other counterintelligence concerns be documented in a subsection of the asset's file.	FBI management reported to OIG on 10/9/2015 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-

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E2003009	A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung	3/31/2006	FBI	3	The FBI should require the field SSA, the ASAC, and the FBI Headquarters SSA responsible for each asset to signify that they have reviewed the entries in this subsection as part of the routine file review or of semi-annual or annual asset re-evaluations. If anomalies exist, the SSA should note what action has been taken with respect to them, or explain why no action is necessary, and the ASAC's agreement should be noted.	FBI management reported to OIG on 10/9/2015 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				6	The FBI should require agents to record in the asset file any documents passed and all matters discussed with the asset, as well as each person who was present for the meeting.	FBI management reported to OIG on 10/9/2015 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				7	The FBI should require alternate case agents to meet with the source on a regular basis, together with the case agent.	FBI management reported to OIG on 10/9/2015 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				8	The FBI should limit the number of years any Special Agent can continue as an asset's handler. Exceptions should be allowed for good cause only.	FBI management reported to OIG on 10/9/2015 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
E2006006	A Review of the FBI's Investigations of Certain Domestic Advocacy Groups	9/20/2010	FBI	2	Establish Procedures to Track Source of Facts Provided to the Public and Congress.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 3/31/2016.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				3	Require Identification of Federal Crime as Part of Documenting Predication	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				4	Consider Revising Attorney General's Guidelines and DIOG to Reinstate Prohibition on Retention of Irrelevant First Amendment Material from Public Events	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-

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E2006006	A Review of the FBI's Investigations of Certain Domestic Advocacy Groups	9/20/2010	FBI	5	Clarify When First Amendment Cases Should Be Classified as "Acts of Terrorism" Matters	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 9/30/2018.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
E2006010	Report on the President's Surveillance Program	7/10/2009	FBI	1	The OIG recommends that the Department assess its discovery obligations regarding Stellar Wind-derived information in international terrorism prosecutions.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 3/31/2014.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				2	The OIG recommends the Department carefully consider whether it must re-examine past cases to see whether potentially discoverable but undisclosed Rule 16 or Brady material was collected by the NSA under the program, and take appropriate steps to ensure that it has complied with its discovery obligations in such cases.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 3/31/2014.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
				5	The OIG recommends that the Department, in coordination with the NSA, implement a procedure to identify Stellar Wind-derived information that may be associated with international terrorism cases currently pending or likely to be brought in the future and evaluate whether such information should be disclosed in light of the government's discovery obligations under Rule 16 and Brady.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 3/31/2014.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
E2007003	A Review of the FBI's Use of National Security Letters: Assessment of Corrective Actions and Examination of NSL Usage in 2006	3/17/2008	FBI	2	Implement measures to verify the accuracy of data entry into the new NSL data system by including periodic reviews of a sample of NSLs in the database to ensure that the training provided on data entry to the support staff of the FBI OGC National Security Law Branch (NSLB), other Headquarters divisions, and field personnel is successfully applied in practice and has reduced or eliminated data entry errors.	FBI management reported to OIG on 6/8/2018 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-

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E2007006	An Investigation of Allegations of Politicized Hiring by Monica Goodling and Other Staff in the Office of the Attorney General	7/28/2008	OAG	1	The OIG recommends that the Department clarify its policies regarding the use of political or ideological affiliations to select career attorney candidates for temporary details within the Department.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 3/31/2016.	Final action was in progress when the OIG placed the recommendation on hold.	-	-	-	-
E2010002	A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations	8/14/2014	FBI	5	The FBI should reconsider whether Section 1681f of the FCRA prohibits a consumer reporting agency from voluntarily providing the FBI with an NSL target's date of birth, social security number, or telephone number in response to a FCRA NSL under Section 1681u, and provide additional guidance as appropriate.	FBI management reported to OIG on 12/3/2018 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				8	The FBI and the Department should revive their efforts to bring about a legislative amendment to Section 2709 by submitting another proposal that defines the phrase "toll billing records."	FBI management reported to OIG on 8/26/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				9	The FBI should take steps to ensure that it does not request or obtain "associated" records without a separate determination and certification of relevance to an authorized national security investigation.	FBI management reported to OIG on 6/8/2018 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				10	The FBI should consider implementing a policy that would require agents, in consultation with FBI OGC attorneys, to carefully balance the privacy interests of the individuals against the potential for future investigative value before permitting the uploading into FBI databases of NSL return data received after a case has closed or after the authority for the investigation has expired.	FBI management reported to OIG on 9/18/2018 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
E2011004	A Review of ATF's Operation Fast and Furious and Related Matters	9/19/2012	FBI	4	The Department should review the policies and procedures of its other law enforcement components to ensure that they are sufficient to address the concerns we have identified in the conduct of Operations Wide Receiver and Fast and Furious, particular regarding oversight of sensitive and major cases, the authorization and oversight of "otherwise illegal activity," and the use of information in situations where the law enforcement component also has a regulatory function.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-



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E2013002	Information Handling and Sharing Prior to the April 15, 2013 Boston Marathon Bombings	4/10/2014	FBI	1	The OIG recommend that the FBI and DHS clarify the circumstances under which JTTF personnel may change the display status of a TECS record, particularly in closed cases.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
GR-30-19-001	Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grant Awarded to the AARP Foundation, Washington, D.C.	11/26/2018	OJP	2	Work with the AARP Foundation to ensure that submitted DCTAT data reconciles with data collected and exported from FIS.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				4	Remedy \$46,181 in unallowable indirect costs that exceeded the approved grant budget.	FY 2020	Not applicable. Implementation is in progress.	-	46,181	-	-
				5	Remedy \$1,826 in unallowable rent charged to the grant by a subgrantee.	FY 2020	Not applicable. Implementation is in progress.	-	1,826	-	-
				6	Ensure that the AARP Foundation develops written policies and procedures to guide the making of drawdown requests and the compiling of accurate financial reports.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
GR-40-15-006	Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico	9/21/2015	OJP	1 e	Remedy \$2,193,304 in excess grant funds drawn down from Grant Number 2009-SU-B9-0053.	FY 2020	Not applicable. Implementation is in progress.	2,152,964	40,340	-	-
				2	Remedy \$887,752 in grant funds expended for contracts that were not competitively awarded and not approved in advance by OJP as sole-source procurements.	FY 2020	Not applicable. Implementation is in progress.	174,990	712,762	-	-
				4 a	Remedy \$259,730 in grant expenditures not supported by adequate documentation from Grant Number 2008-DJ-BX-0050.	FY 2020	Not applicable. Implementation is in progress.	-	259,730	-	-
				c	Remedy \$405,412 in grant expenditures not supported by adequate documentation from Grant Number 2009-DJ-BX-1102.	FY 2020	Not applicable. Implementation is in progress.	86,404	319,008	-	-
				d	Remedy \$1,095,102 in grant expenditures not supported by adequate documentation from Grant Number 2009-SU-B9-0053.	FY 2020	Not applicable. Implementation is in progress.	1,057,597	37,505	-	-
				f	Remedy \$2,461 in grant expenditures not supported by adequate documentation from Grant Number 2009-VC-GX-0045.	FY 2020	Not applicable. Implementation is in progress.	-	2,461	-	-
				6	Ensure the PRDOJ takes steps to address the turnover of grant management staff at the External Resources Division by obtaining a plan to address the turnover and monitoring the implementation of the plan.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
12	Ensure the PRDOJ performs a needs assessment for its VOCA Victim Assistance program to determine the types of services needed and the geographical areas in need of services.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-				

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GR-40-15-006	Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico	9/21/2015	OJP	19	Ensure the PRDOJ implements procedures for validating information provided by sub-recipients and contractors regarding their project goals and accomplishments and provides adequate training to the staff members tasked with monitoring the sub-recipients.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
GR-40-16-004	Audit of Contracts Awarded by the Boys and Girls Clubs of America, Inc. Using Office of Justice Programs Grant Funds	9/21/2016	OJP	1	Remedy the \$505,148 in unsupported costs due to the absence of justification in the selection of contracts on a sole source basis.	FY 2020	Not applicable. Implementation is in progress.	-	505,148	-	-
				2	Remedy the \$2,457,784 in unsupported costs due to inadequate justification in the selection of contractors on a sole source basis.	FY 2020	Not applicable. Implementation is in progress.	-	2,457,784	-	-
				9 a	Remedy the \$66,287 in unsupported costs regarding vendors hired by FirstPic, Inc. to complete contract service tasks in which the Boys and Girls Clubs identified FirstPic, Inc. as a sole source provider for those tasks.	FY 2020	Not applicable. Implementation is in progress.	-	66,287	-	-
				b	Remedy the \$4,630 in unsupported costs regarding the remaining other direct costs in which FirstPic, Inc. did not provide sufficient support for the costs billed and paid.	FY 2020	Not applicable. Implementation is in progress.	-	4,630	-	-
GR-40-17-005	Audit of Victim Assistance Formula Grants Awarded by the Office for Victims of Crime to the State of North Carolina's Department of Public Safety Governor's Crime Commission, Raleigh, North Carolina	8/21/2017	OJP	6	Remedy \$106,536 in unsupported subrecipient expenditures.	FY 2020	Not applicable. Implementation is in progress.	79,901	26,635	-	-
GR-50-15-001	Audit of the Office on Violence Against Women Transitional Housing Grant Awarded to the Crisis Center for South Suburbia, Tinley Park, Illinois	10/28/2014	OVW	8	Remedy the \$3,691 in unallowable rent expenditures.	FY 2020	Not applicable. Implementation is in progress.	-	3,691	-	-
				10	Remedy the \$4,476 in unallowable salary costs for the Transitional Housing Specialist and the Program Manager.	FY 2020	Not applicable. Implementation is in progress.	-	4,476	-	-
GR-50-16-003	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to ASISTA, Des Moines, Iowa	2/24/2016	OVW	1	Ensure that ASISTA officially reports the program income to OVW and uses the \$105,440 in identified program income in accordance with award requirements.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				3	Require ASISTA to remedy \$52,764 in misallocated salary expense to the legal training cooperative agreement and ensure salaries are paid on actual time spent and not on estimates or budgets for the project.	FY 2020	Not applicable. Implementation is in progress.	-	52,764	-	-

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GR-50-16-003	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to ASISTA, Des Moines, Iowa	2/24/2016	OVW	5	Require ASISTA to establish a process to ensure that it allocates general expenditures as accurately as possible between all sources of income and expenses and remedy the related undetermined questioned costs for general operating costs that were not properly allocated to all revenue sources.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				8	Require ASISTA to remedy the \$7,772 in consultant expenses paid to an ASISTA board member.	FY 2020	Not applicable. Implementation is in progress.	-	7,772	-	-
GR-50-17-005	Audit of the Office of Juvenile Justice and Delinquency Prevention National Mentoring Programs Grants Awarded to the National Council of Young Men's Christian Associations of the USA, Chicago, Illinois	9/20/2017	OJP	1	Coordinate with Y-USA to address the potential conflict of interest with having YMCA of San Francisco as both a contractor and subrecipient.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				2	Remedy the \$1,588,614 in unallowable advances to grant subrecipients.	FY 2020	Not applicable. Implementation is in progress.	58,192	1,530,422	-	-
				4	Ensure Y-USA implements procedures to monitor budget expenditures by category to determine if transfers have exceeded the 10 percent threshold.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				6	Ensure that Y-USA establishes procedures to ensure that subrecipients comply with Single Audit Act requirements and take appropriate action on relevant findings in subrecipient audit reports.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				7	Remedy the \$72,479 in unsupported subrecipient expenditures charged to the grant.	FY 2020	Not applicable. Implementation is in progress.	1,007	71,472	-	-
				8	Remedy the \$1,965 in unsupported contractor expenses charged to the grant.	FY 2020	Not applicable. Implementation is in progress.	465	1,500	-	-
				11	Ensure Y-USA complies with the special condition requiring appropriate copyright language in all of its contracts and agreements with subrecipients.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				12	Require Y-USA to ensure that subrecipients that have not reported background check expenditures are following Y-USA policies for ensuring that mentors receive appropriate background checks.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
GR-50-18-004	Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri	3/23/2018	OJP	5	Ensure that the Missouri state administering agency has submitted SARs for all of its previously awarded VOCA subgrants.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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GR-50-18-004	Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Missouri Department of Public Safety,	3/23/2018	OJP	7	Ensure that the Missouri state administering agency has a compliant method for allocating annual leave payouts.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				8	Ensure that the Missouri state administering agency prioritizes, as needed, the monitoring of subrecipients that may not have received a desk audit or on-site visit.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
GR-50-18-005	Audit of the Office of Justice Programs Office for Victims of Crime Victim Compensation Formula Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin	8/28/2018	OJP	2	Ensure the WI DOJ revises its claims review process to include a secondary review and approval prior to the authorization of a payment.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
GR-60-14-001	Audit of the Office on Violence Against Women Grants and Cooperative Agreement Awarded to the New Mexico Coalition of Sexual Assault Programs, Inc., Albuquerque, New Mexico	10/21/2013	OVW	9 a	Remedy the \$69,769 in unsupported other direct costs.	FY 2020	Not applicable. Implementation is in progress.	-	69,769	-	-
				b	Remedy the \$46,253 in unallowable other direct costs.	FY 2020	Not applicable. Implementation is in progress.	-	46,253	-	-
GR-60-14-004	Audit of the Office on Violence Against Women Grants Awarded to Our Sister's Keeper Coalition, Durango, Colorado	3/4/2014	OVW	4	Remedy the \$16,514 in drawdowns in excess of expenditures.	FY 2020	Not applicable. Implementation is in progress.	-	16,514	-	-
				6	Remedy the \$64,292 in unsupported personnel expenditures.	FY 2020	Not applicable. Implementation is in progress.	-	64,292	-	-
				7	Remedy the \$12,632 in unallowable personnel expenditures.	FY 2020	Not applicable. Implementation is in progress.	-	12,632	-	-
				8	Remedy the \$92,914 in unsupported direct cost expenditures.	FY 2020	Not applicable. Implementation is in progress.	-	92,914	-	-
				9	Remedy the \$23,046 in unallowable direct cost expenditures.	FY 2020	Not applicable. Implementation is in progress.	-	23,046	-	-
GR-60-14-011	Audit of the OVW Rural Domestic Violence, Sexual Assault, and Stalking Assistance Program Grant Awarded to the Crisis Center For Domestic Abuse and Sexual Assault, Fremont, Nebraska	5/22/2014	OVW	1	Remedy the \$163,028 in unsupported personnel costs.	FY 2020	Not applicable. Implementation is in progress.	-	163,028	-	-
				5	Remedy the \$10,273 in reimbursements for unsupported subgrantee personnel costs.	FY 2020	Not applicable. Implementation is in progress.	-	10,273	-	-
GR-60-15-002	Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho	12/15/2014	OVW	1	Remedy the \$166,469 in excess drawdowns.	FY 2020	Not applicable. Implementation is in progress.	-	166,469	-	-
				2	Remedy the \$29,583 in unsupported costs due to missing files or inadequate documentation.	FY 2020	Not applicable. Implementation is in progress.	-	29,583	-	-
				3	Remedy the \$1,096 in unallowable costs related to IRS fines.	FY 2020	Not applicable. Implementation is in progress.	-	1,096	-	-

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GR-60-15-002	Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho	12/15/2014	OVW	4	Remedy the \$6,758 in unallowable unbudgeted personnel costs.	FY 2020	Not applicable. Implementation is in progress.	-	6,758	-	-
GR-60-15-007	Audit of the Office on Violence Against Women Grant Awarded to the Denver Center for Crime Victims, Denver, Colorado	2/18/2015	OVW	4 b	Remedy the \$37,754 in unsupported fringe benefit costs.	FY 2020	Not applicable. Implementation is in progress.	-	37,754	-	-
				c	Remedy the \$1,582 in unsupported other direct costs.	FY 2020	Not applicable. Implementation is in progress.	-	1,582	-	-
				d	Remedy the \$52,777 in unsupported contractual costs.	FY 2020	Not applicable. Implementation is in progress.	-	52,777	-	-
				e	Remedy the \$70,091 in unsupported TI Center costs.	FY 2020	Not applicable. Implementation is in progress.	-	70,091	-	-
				5 a	Remedy the \$9,756 in unallowable salary costs.	FY 2020	Not applicable. Implementation is in progress.	-	9,756	-	-
				e	Remedy the \$12,753 in unallowable TI Center costs.	FY 2020	Not applicable. Implementation is in progress.	-	12,753	-	-
GR-60-15-008	Audit of the Office on Violence Against Women Grants Awarded to the Utah Domestic Violence Advisory Council, Salt Lake City, Utah	4/20/2015	OVW	4 b	We recommend that OVW remedy the \$12,065 in drawdowns not supported by the UDVAC accounting records.	FY 2020	Not applicable. Implementation is in progress.	-	12,065	-	-
				5 a	We recommend that OVW remedy the Unallowable contractor charges totaling \$78,881 charged to Grant Number 2011-WR-AX-0008.	FY 2020	Not applicable. Implementation is in progress.	-	78,881	-	-
				b	We recommend that OVW remedy unallowable personnel and audit expenses totaling \$3,628 charged to Grant Number 2012-DW-AX-0029.	FY 2020	Not applicable. Implementation is in progress.	-	3,628	-	-
				c	We recommend that OVW remedy drawdowns totaling \$12,065 that were made for expenses incurred after the end of the project period.	FY 2020	Not applicable. Implementation is in progress.	-	12,065	-	-
				7	We recommend that OVW coordinate with the UDVAC to retroactively apply methodology to determine the amount of program income generated by each award, and to require that the UDVAC provide documentation to support that the applicable program income was spent in accordance with the terms and conditions of the OVW Financial Grants Management Guide. If UDVAC officials are unable to demonstrate that the program income was used in an allowable manner, then the associated income should be refunded to the OVW as appropriate.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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GR-60-15-015	Audit of the Office of Justice Programs Correctional Systems and Correctional Alternatives on Tribal Lands Program Grants Awarded to the Navajo Division of Public Safety, Window Rock, Arizona	9/28/2015	OJP	2	We recommend that OJP remedy the \$656,921 in unsupported questioned costs for Grant Number 2009-ST-B9-0089.	FY 2020	Not applicable. Implementation is in progress.	338,742	318,179	-	-
				3	We recommend that OJP remedy the \$2,554,924 in unallowable questioned costs for Grant Numbers 2009-ST-B9-0089 and 2009-ST-B9-0100.	FY 2020	Not applicable. Implementation is in progress.	648,332	1,906,592	-	-
				9	We recommend that OJP remedy \$32,034,623 in unallowable expenditures associated with excessive building sizes for Grant Numbers 2009-ST-B9-0089 and 2009-ST-B9-0100.	FY 2020	Not applicable. Implementation is in progress.	-	32,034,623	-	-
GR-60-16-002	Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana	11/9/2015	OVW	2 a	We recommended that OVW remedy the \$3,975,716 in drawdowns claimed based on unreliable accounting records.	FY 2020	Not applicable. Implementation is in progress.	-	3,975,716	-	-
				b	We recommended that OVW remedy the \$872,246 in grant reimbursements expended on personnel costs that are not supported by detailed time and attendance records.	FY 2020	Not applicable. Implementation is in progress.	-	872,246	-	-
				c	We recommend that OVW remedy the \$45,840 in grant reimbursements claimed for costs which are unsupported by grant documentation.	FY 2020	Not applicable. Implementation is in progress.	-	45,840	-	-
				d	We recommend that OVW remedy the \$199,427 in grant reimbursements claimed for expenditures for which supporting documentation has been destroyed.	FY 2020	Not applicable. Implementation is in progress.	-	199,427	-	-
				3	We recommend that OVW remedy the \$44,311 in grant reimbursements claimed for personnel costs not in the OVW-approved budgets.	FY 2020	Not applicable. Implementation is in progress.	-	44,311	-	-
				4	We recommend that OVW remedy the \$30,353 in grant reimbursements claimed for costs that were unallowable under the terms and conditions of the awards.	FY 2020	Not applicable. Implementation is in progress.	-	30,353	-	-
				5	We recommend that OVW remedy the \$29,675 in grant reimbursements claimed for rent the DCDV paid to itself for a building it owns.	FY 2020	Not applicable. Implementation is in progress.	-	29,675	-	-
GR-60-16-005	Audit of the Office of Justice Programs Multi-Purpose Grants Awarded to the Lower Brule Sioux Tribe, Lower Brule, South Dakota	3/22/2016	OJP	2 a	We recommend that OJP remedy the \$50,875 in unallowable expenditures which were not budgeted.	FY 2020	Not applicable. Implementation is in progress.	49,731	1,144	-	-

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GR-60-16-005	Audit of the Office of Justice Programs Multi-Purpose Grants Awarded to the Lower Brule Sioux Tribe, Lower Brule, South Dakota	3/22/2016	OJP	2 b	We recommend that OJP remedy the \$656 in unallowable personnel fringe benefits that were not budgeted.	FY 2020	Not applicable. Implementation is in progress.	514	142	-	-
				c	We recommend that OJP remedy the \$150,331 in unallowable personnel salaries that were not budgeted.	FY 2020	Not applicable. Implementation is in progress.	12,297	138,034	-	-
				d	We recommend that OJP remedy the \$33,397 in unallowable indirect costs that exceeded the approved budget.	FY 2020	Not applicable. Implementation is in progress.	9,705	23,692	-	-
				f	We recommend that OJP remedy the \$549,324 in unallowable costs that were incurred prior to the approval by the Office of the Chief Financial Officer through a Grant Adjustment Notice.	FY 2020	Not applicable. Implementation is in progress.	501,100	48,224	-	-
				g	We recommend that OJP remedy the \$427,429 in unallowable draws that were incurred prior to the approval by the Office of the Chief Financial Officer through a Grant Adjustment Notice.	FY 2020	Not applicable. Implementation is in progress.	393,469	33,960	-	-
				h	We recommend that OJP remedy the \$159,272 in unallowable transfers that exceeded the limits of the 10 percent rule.	FY 2020	Not applicable. Implementation is in progress.	48,703	110,569	-	-
GR-60-16-006	Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota	4/6/2016	OVW	5 c	We recommend that the OVW remedy the \$33,840 in unallowable unbudgeted general liability and health insurance costs.	FY 2020	Not applicable. Implementation is in progress.	-	33,840	-	-
				d	We recommend that the OVW remedy the \$677 in unallowable grant expenditures overpaid to consultants.	FY 2020	Not applicable. Implementation is in progress.	-	677	-	-
				e	We recommend that the OVW remedy the \$133 in unallowable grant expenditures overpaid for travel.	FY 2020	Not applicable. Implementation is in progress.	-	133	-	-
				f	We recommend that OVW remedy the \$422 in unallowable grant expenditures incurred after the grant end date for Grant Number 2008-IW-AX-0005.	FY 2020	Not applicable. Implementation is in progress.	-	422	-	-
				h	We recommend that OVW remedy the \$1,761 in unallowable unbudgeted audit preparation costs.	FY 2020	Not applicable. Implementation is in progress.	-	1,761	-	-
				i	We recommend that the OVW remedy the \$2,649 in unallowable grant expenditures incorrectly charged to Grant Number 2014-IW-AX-0006.	FY 2020	Not applicable. Implementation is in progress.	-	2,649	-	-
				j	We recommend that OVW remedy the \$7,294 in funds drawn down in excess of grant expenditures for Grant Number 2008-IW-AX-0005.	FY 2020	Not applicable. Implementation is in progress.	-	7,294	-	-

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GR-60-16-006	Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte,	4/6/2016	OVW	6 c	We recommend that OVW remedy the \$84,383 for grant expenditures with insufficient documentation incurred while under the fiscal agent.	FY 2020	Not applicable. Implementation is in progress.	-	84,383	-	-
				d	We recommend that OVW remedy the \$11,461 in grant expenditures for consultant expenses with insufficient documentation.	FY 2020	Not applicable. Implementation is in progress.	-	11,461	-	-
				e	We recommend that OVW remedy the \$20,260 for grant expenditures incurred for travel with insufficient documentation.	FY 2020	Not applicable. Implementation is in progress.	-	20,260	-	-
				g	We recommend that OVW remedy the \$1,831 in grant expenditures for computer equipment with insufficient documentation	FY 2020	Not applicable. Implementation is in progress.	-	1,831	-	-
				h	We recommend that OVW remedy the \$4,968 in grant expenditures for audit preparation with insufficient documentation.	FY 2020	Not applicable. Implementation is in progress.	-	4,968	-	-
GR-60-17-008	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	5/10/2017	OJP	6	We recommend that OJP ensure that FBIC completes all planned objectives for Grant Number 2012-IC-BX-0007.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				7 a	We recommend that OJP assess the FBIC's ability to complete the program goals prior to the end of the award and ensure program sustainability for Grant Number 2014-CZ-BX-0013.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				b	We recommend that OJP assess the FBIC's ability to complete the program goals for Grant Number 2015-AC-BX-0011 prior to the end of the award.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				8 a	We recommend that OJP remedy the \$3,100 in unsupported personnel and fringe costs.	FY 2020	Not applicable. Implementation is in progress.	-	3,100	-	-
				b	We recommend that OJP remedy the \$14,163 in unallowable contractual prosecution services.	FY 2020	Not applicable. Implementation is in progress.	-	14,163	-	-
				c	We recommend that OJP remedy the \$12,421 in unallowable other direct costs.	FY 2020	Not applicable. Implementation is in progress.	-	12,421	-	-
				d	We recommend that OJP remedy the \$5,232 in unallowable indirect costs.	FY 2020	Not applicable. Implementation is in progress.	-	5,232	-	-
				9 a	We recommend that OJP remedy the \$6,092 in unsupported personnel and fringe costs.	FY 2020	Not applicable. Implementation is in progress.	-	6,092	-	-
				b	We recommend that OJP remedy the \$49,583 in unsupported contractual prosecution services.	FY 2020	Not applicable. Implementation is in progress.	-	49,583	-	-
				c	We recommend that OJP remedy the \$2,161 in unsupported other direct costs.	FY 2020	Not applicable. Implementation is in progress.	-	2,161	-	-
				d	We recommend that OJP remedy the \$30,042 in unsupported excess drawdowns.	FY 2020	Not applicable. Implementation is in progress.	-	30,042	-	-



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GR-60-17-008	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	5/10/2017	OJP	10	We recommend that OJP ensure that the FBIC has procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program and those specified in the solicitation and award documents.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
				11	We recommend that OJP ensure that FBIC has procedures to ensure compliance with all special conditions.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
				12	We recommend that OJP ensure that FBIC has a process to ensure grant funds are only paid to recipients that are eligible to receive federal funding.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
				13	We recommend that OJP ensure that FBIC has a process to ensure employee time allocated to multiple grants is properly supported.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
				14	We recommend that OJP ensure that FBIC has policy and procedures to monitor and document contractor performance as to ensure that contractors adhere to the terms of the contract.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
				15	We recommend that OJP ensure that FBIC has a process to ensure that federal cash on hand is the minimum needed for disbursements to made immediately or within 10 days and, if the funds are not spent or disbursed within 10 days, the FBIC must return them to the awarding agency.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
				OVW	2 a	We recommend that OVW remedy the \$60,174 in unallowable contractual prosecution services.	FY 2020	Not applicable. Implementation is in progress.	40,174	20,000	-	-
					b	We recommend that OVW remedy the \$906 in unallowable other direct costs related to travel.	FY 2020	Not applicable. Implementation is in progress.	-	906	-	-
					3 c	We recommend that OVW remedy the \$25,000 in contractual prosecution service transactions that were not adequately documented.	FY 2020	Not applicable. Implementation is in progress.	-	25,000	-	-
					d	We recommend that OVW remedy the \$2,694 in unsupported other direct costs related to supplies.	FY 2020	Not applicable. Implementation is in progress.	-	2,694	-	-
					4 b	We recommend that OVW remedy the \$1,897 in prohibited indirect costs questioned as funds to better use.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	1,897
					c	We recommend that OVW remedy the \$44,277 in unobligated award funds questioned as funds to better use.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	44,277

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GR-60-17-008	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	5/10/2017	OVW	4 d	We recommend that OVW remedy the \$3,989 in unreimbursed award expenditures questioned as funds to better use.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	3,989
				10	We recommend that OVW ensure the FBIC has procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program and those specified in the solicitation and award documents.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				11	We recommend that OVW ensure that FBIC has procedures to ensure compliance with all special conditions.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				12	We recommend that OVW ensure that FBIC has a process to ensure grant funds are only paid to recipients that are eligible to receive federal funding.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				13	We recommend that OVW ensure that FBIC has a process to ensure employee time allocated to multiple grants is properly supported.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				14	We recommend that OVW ensure that FBIC has policy and procedures to monitor and document contractor performance as to ensure that contractors adhere to the terms of the contract.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				15	We recommend that OVW ensure that FBIC has a process to ensure that federal cash on hand is the minimum needed for disbursements to be made immediately or within 10 days and, if the funds are not spent or disbursed within 10 days, the FBIC must return them to the awarding agency.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
GR-60-18-007	Audit of the Office on Violence Against Women Grants Awarded to the Nebraska Domestic Violence Sexual Assault Coalition, dba Nebraska Coalition to End Sexual and Domestic Violence, Lincoln, Nebraska	9/21/2018	OVW	3	We recommend that OVW remedy the remaining \$13,211 of the original \$13,620 in unallowable questioned costs related to the \$2,446 in unallowable over allocated and misallocated personnel costs, \$4,547 in unallowable contractor and consultant costs, and \$6,627 in unallowable other costs.	FY 2020	Not applicable. Implementation is in progress.	-	13,211	-	-

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GR-60-18-008	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office of Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	9/26/2018	COPS	1	We recommended that the COPS Office remedy \$633,667 under Grant Number 2012-HH-WX-0012 and \$318,068 under Grant Number 2014-HH-WX-0014 in grant drawdowns during periods where there were indications of supplanting.	FY 2020	Not applicable. Implementation is in progress.	-	951,735	-	-
				2 a	We recommend that the COPS Office remedy \$50,739 in unallowable personnel and fringe related to overtime and pay rates in excess of the entry-level rates under Grant Number 2012-HH-WX-0012.	FY 2020	Not applicable. Implementation is in progress.	-	50,739	-	-
				b	We recommend that the COPS Office remedy \$20,680 in unallowable personnel and associated fringe related to overtime and pay rates in excess of entry-level rates under Grant Number 2014-HH-WX-0014.	FY 2020	Not applicable. Implementation is in progress.	-	20,680	-	-
				3	We recommend that the COPS Office remedy \$2,399 in unallowable personnel and associated fringe related to officers that were not part of the approved budget under Grant Number 2012-HH-WX-0012.	FY 2020	Not applicable. Implementation is in progress.	-	2,399	-	-
				4 a	We recommend that the COPS Office remedy \$6,115 in unsupported personnel and associated fringe under Grant Number 2012-HH-WX-0012.	FY 2020	Not applicable. Implementation is in progress.	-	6,115	-	-
				b	We recommend that the COPS Office remedy \$2,661 in unsupported personnel and associated fringe under Grant Number 2014-HH-WX-0014.	FY 2020	Not applicable. Implementation is in progress.	-	2,661	-	-
				5	We recommend that the COPS Office remedy \$42,490 in unallowable supply costs that were not in budget, scope, or both under Grant Number 2014-HE-WX-0015.	FY 2020	Not applicable. Implementation is in progress.	-	42,490	-	-
				11	We recommend that the COPS Office coordinate with the Blackfeet Tribe to: (1) obtain an electronic timekeeping system; (2) update the payroll system to properly account for Tribal Holiday hours, overtime, and approved pay rates; (3) train law enforcement supervisors on proper authorization techniques; and (4) train Compliance Monitors to properly allocate unallowable overtime to the appropriate funding source.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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GR-60-18-008	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	9/26/2018	COPS	12	We recommend that COPS Office coordinate with the Blackfeet Tribe to submit budget modifications to account for the updated indirect cost rates under Grant Numbers 2012-HH-WX-0012 and 2014-HH-WX-0014.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				47	We recommend that the COPS Office coordinate with the Blackfeet Tribe to develop and implement policies and procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program, including those specified in the program solicitation and in the program award documentation.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				48	We recommend that the COPS Office coordinate with the Blackfeet Tribe to develop and implement procedures to ensure compliance with all award special conditions.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				49	We recommend that the COPS Office coordinate with the Blackfeet Tribe to update financial policies to ensure Compliance Monitors review compliance with grant terms and conditions and that the appropriate Blackfeet Tribe Compliance Monitors and program officials complete DOJ approved financial grant administration training.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				50	We recommend that the COPS Office coordinate with the Blackfeet Tribe to develop and implement policy and procedures regarding the retention of pertinent grant records for at least 3 years following the awarding agency officially closing the grant.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				51	We recommend that the COPS Office coordinate with the Blackfeet Tribe to develop and implement a process to tag accountable property with an inventory tag number, update inventory records to document required information, and to perform an inventory of accountable property under the awards.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				52	We recommend that the COPS Office ensure the Blackfeet Tribe implements an after-the-fact travel voucher policy and procedure to verify and account for travel advances.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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GR-60-18-008	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe,	9/26/2018	COPS	53	We recommend that the COPS Office ensure the Blackfeet Tribe develops policy to address deficiencies with budget management.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
				54	We recommend that the COPS Office coordinate with the Blackfeet Tribe to develop and implement policies and procedures for determining grant budgets for DOJ grants.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
				55	We recommend that the COPS Office coordinate with the Blackfeet Tribe to develop and implement drawdown policies and procedures that address the adherence to award special conditions and the recording of grant drawdowns in the accounting system in a timely manner.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
				56	We recommend that the COPS Office coordinate with the Blackfeet Tribe to develop and implement procedures regarding quarterly financial reporting that include the disclosure of indirect cost expense.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-	
				OJP	13 a	We recommend that OJP remedy \$23,934 in unsupported grant expenditures regarding the lack of support for program accomplishments and activities that did not support program goals and objectives that occurred before certain Project Coordinators started under the Adult and Juvenile Court Programs under Grant Number 2014-AX-BX-0022 from February 2016 through November 13, 2016.	FY 2020	Not applicable. Implementation is in progress.	-	23,934	-	-
					b	We recommend that OJP remedy \$168,415 in unsupported grant expenditures regarding the lack of support for program accomplishments and activities that did not support program goals and objectives that occurred before certain Project Coordinators started under the Adult and Juvenile Court Programs under Grant Number 2014-TY-FX-0008, from February 2015 through October 2, 2016.	FY 2020	Not applicable. Implementation is in progress.	-	168,415	-	-
					14	We recommend that OJP remedy \$180,348 in unsupported grant expenditures under Grant Number 2014-XV-BX-K031 regarding lack of support for program accomplishments from November 2014 through July 2016.	FY 2020	Not applicable. Implementation is in progress.	-	180,348	-	-

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GR-60-18-008	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	9/26/2018	OJP	15 a	We recommend that OJP remedy \$148,787 in unallowable grant expenditures that occurred before related special conditions were removed under Grant Number 2014-TY-FX-0008.	FY 2020	Not applicable. Implementation is in progress.	-	148,787	-	-
				b	We recommend that OJP remedy \$26,057 in unallowable grant expenditures that occurred before the related special conditions were removed under Grant Number 2014-XV-BX-K031.	FY 2020	Not applicable. Implementation is in progress.	-	26,057	-	-
				16 a	We recommend that OJP remedy \$5,883 in unsupported personnel and associated fringe costs related to the lack of time and effort reports under Grant Number 2014-AC-BX-0022.	FY 2020	Not applicable. Implementation is in progress.	-	5,883	-	-
				b	We recommend that OJP remedy \$6,764 in unsupported personnel and associated fringe costs related to the lack of time and effort reports under Grant Number 2014-TY-FX-0008.	FY 2020	Not applicable. Implementation is in progress.	-	6,764	-	-
				c	We recommend that OJP remedy \$1,642 in unsupported personnel and associated fringe costs related to the lack of time and effort report under Grant Number 2014-XV-BX-K031.	FY 2020	Not applicable. Implementation is in progress.	-	1,642	-	-
				17	We recommend that OJP remedy \$1,808 in unsupported personnel and fringe costs under Grant Number 2014-XV-BX-K031.	FY 2020	Not applicable. Implementation is in progress.	-	1,808	-	-
				18 a	We recommend that OJP remedy \$7,072 in unallowable travel costs under Grant Number 2014-AC-BX-0022.	FY 2020	Not applicable. Implementation is in progress.	-	7,072	-	-
				b	We recommend that OJP remedy \$7,124 in unallowable travel costs under Grant Number 2014-TY-FX-0008.	FY 2020	Not applicable. Implementation is in progress.	-	7,124	-	-
				c	We recommend that OJP remedy \$4,262 in unallowable travel costs under Grant Number 2014-XV-BX-K031.	FY 2020	Not applicable. Implementation is in progress.	-	4,262	-	-
				19 a	We recommend that OJP remedy \$2,964 in unsupported travel costs under Grant Number 2014-AC-BX-0022.	FY 2020	Not applicable. Implementation is in progress.	-	2,964	-	-
				b	We recommend that OJP remedy \$2,880 in unsupported travel costs under Grant Number 2014-TY-FX-0008.	FY 2020	Not applicable. Implementation is in progress.	-	2,880	-	-
				c	We recommend that OJP remedy \$490 in unsupported travel costs under Grant Number 2014-XV-BX-K031.	FY 2020	Not applicable. Implementation is in progress.	-	490	-	-

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GR-60-18-008	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe,	9/26/2018	OJP	20	We recommend that OJP remedy \$3,050 in unallowable equipment costs that were not in budget, scope, or both under Grant Number 2014-TY-FX-0008.	FY 2020	Not applicable. Implementation is in progress.	-	3,050	-	-
				21 a	We recommend that OJP remedy \$1,199 in unallowable supply costs under Grant Number 2014-AC-BX-0022.	FY 2020	Not applicable. Implementation is in progress.	-	1,199	-	-
				b	We recommend that OJP remedy \$21,143 in unallowable supply costs under Grant Number 2014-TY-FX-0008.	FY 2020	Not applicable. Implementation is in progress.	-	21,143	-	-
				c	We recommend that OJP remedy \$9,278 in unallowable supply costs under Grant Number 2014-XV-BX-K031.	FY 2020	Not applicable. Implementation is in progress.	-	9,278	-	-
				22 a	We recommend that OJP remedy \$375 in unsupported supply costs under Grant Number 2014-TY-FX-0008.	FY 2020	Not applicable. Implementation is in progress.	-	375	-	-
				b	We recommend that OJP remedy \$652 in unsupported supply costs under Grant Number 2014-XV-BX-K031.	FY 2020	Not applicable. Implementation is in progress.	-	652	-	-
				23 a	We recommend that OJP remedy \$11,190 in unallowable contract and consultant costs under Grant Number 2014-TY-FX-0008.	FY 2020	Not applicable. Implementation is in progress.	-	11,190	-	-
				b	We recommend that OJP remedy \$4,172 in unallowable contractor and consultant costs under Grant Number 2014-XV-BX-K031.	FY 2020	Not applicable. Implementation is in progress.	-	4,172	-	-
				24 a	We recommend that OJP remedy \$5,365 in unsupported contractor and consultant costs under Grant Number 2014-TY-FX-0008.	FY 2020	Not applicable. Implementation is in progress.	-	5,365	-	-
				b	We recommend that OJP remedy \$1,625 in unsupported contractor and consultant costs under Grant Number 2014-XV-BX-K031.	FY 2020	Not applicable. Implementation is in progress.	-	1,625	-	-
				25 a	We recommend that OJP remedy \$362 in unallowable other direct costs that were not in budget, scope, or both under Grant Number 2014-AC-BX-0022.	FY 2020	Not applicable. Implementation is in progress.	-	362	-	-
				b	We recommend that OJP remedy \$2,233 in unallowable other direct costs that were not in budget, scope, or both under Grant Number 2014-TY-FX-0008.	FY 2020	Not applicable. Implementation is in progress.	-	2,233	-	-
				c	We recommend that OJP remedy \$3,632 in unallowable other direct costs that were not in budget, scope, or both under Grant Number 2014-XV-BX-K031.	FY 2020	Not applicable. Implementation is in progress.	-	3,632	-	-
				26 a	We recommend that OJP remedy \$100 in unsupported other direct costs under Grant Number 2014-TY-FX-0008.	FY 2020	Not applicable. Implementation is in progress.	-	100	-	-

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GR-60-18-008	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants	9/26/2018	OJP	26 b	We recommend that OJP remedy \$588 in unsupported other direct costs under Grant Number 2014-XV-BX-K031.	FY 2020	Not applicable. Implementation is in progress.	-	588	-	-
				27	We recommend that OJP remedy \$30,541 in unallowable cost categories that were not part of the approved budget.	FY 2020	Not applicable. Implementation is in progress.	-	30,541	-	-
				28	We recommend that OJP remedy \$2,648 in unsupported excess drawdowns under Grant Number 2014-XV-BX-K031.	FY 2020	Not applicable. Implementation is in progress.	-	2,648	-	-
				29	We recommend that OJP coordinate with the Blackfeet Tribe to provide additional oversight and monitoring of the Blackfeet Tribe to ensure that the awards' goals and objectives are adequately achieved prior to the end of the awards for Grant Numbers 2014-AC-BX-0022 and 2014-TY-FX-0008.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				30	We recommend that OJP ensure grant activities for the Vision 21 Program, under Grant Number 2014-XV-BX-K031, are completed in a timely manner.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				31	We recommend that OJP coordinate with the Blackfeet Tribe to correct and resubmit the two progress reports under Grant Number 2014-XV-BX-K031: January through June 2017, and July through December 2017.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				32	We recommend that OJP coordinate with the Blackfeet Tribe to establish a process to document employee time and effort when working on multiple grant programs and/or cost activities.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				47	We recommend that OJP coordinate with the Blackfeet Tribe to develop and implement policies and procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program, including those specified in the program solicitation and in the program award documentation.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				48	We recommend that OJP coordinate with the Blackfeet Tribe to develop and implement procedures to ensure compliance with all award special conditions.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-



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GR-60-18-008	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	9/26/2018	OJP	49	We recommend that OJP coordinate with the Blackfeet Tribe to update financial policies to ensure Compliance Monitors review compliance with grant terms and conditions and that the appropriate Blackfeet Tribe Compliance Monitors and program officials complete DOJ approved financial grant administration training.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				50	We recommend that OJP coordinate with the Blackfeet Tribe to develop and implement policy and procedures regarding the retention of pertinent grant records for at least 3 years following the awarding agency officially closing the grant.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				51	We recommend that OJP coordinate with the Blackfeet Tribe to develop and implement a process to tag accountable property with an inventory tag number, update inventory records to document required information, and to perform an inventory of accountable property under the awards.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				52	We recommend that OJP ensure the Blackfeet Tribe implements an after-the-fact travel voucher policy and procedure to verify and account for travel advances.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				53	We recommend that OJP ensure the Blackfeet Tribe develops policy to address deficiencies with budget management.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				54	We recommend that OJP coordinate with the Blackfeet Tribe to develop and implement policies and procedures for determining grant budgets for DOJ grants.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				55	We recommend that OJP coordinate with the Blackfeet Tribe to develop and implement drawdown policies and procedures that address the adherence to award special conditions and the recording of grant drawdowns in the accounting system in a timely manner.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				56	We recommend that OJP coordinate with the Blackfeet Tribe to develop and implement procedures regarding quarterly financial reporting that include the disclosure of indirect cost expense.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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GR-60-18-008	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	9/26/2018	OVW	33	We recommend that OVW remedy \$268,283 in unallowable grant expenditures that occurred before the grant budget was approved and the related special condition removed under Grant Number 2012-TW-AX-0025.	FY 2020	Not applicable. Implementation is in progress.	-	268,283	-	-
				35	We recommend that OVW remedy \$386 in unallowable travel costs under Grant Number 2012-TW-AX-0025.	FY 2020	Not applicable. Implementation is in progress.	322	64	-	-
				40	We recommend that OVW remedy \$3,510 in unsupported consultant costs under Grant Number 2012-TW-AX-0025	FY 2020	Not applicable. Implementation is in progress.	-	3,510	-	-
				41	We recommend that OVW remedy \$6,537 in unallowable other direct costs under Grant Number 2012-TW-AX-0025.	FY 2020	Not applicable. Implementation is in progress.	-	6,537	-	-
				42	We recommend that OVW remedy \$8,634 in unsupported other direct costs under Grant Number 2012-TW-AX-0025.	FY 2020	Not applicable. Implementation is in progress.	7,508	1,126	-	-
				44	We recommend that OVW coordinate with the Blackfeet Tribe to ensure all program goals are completed under Grant Number 2012-TW-AX-0025.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				47	We recommend that OVW coordinate with the Blackfeet Tribe to develop and implement policies and procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program, including those specified in the program solicitation and in the program award documentation.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				48	We recommend that OVW coordinate with the Blackfeet Tribe to develop and implement procedures to ensure compliance with all award special conditions.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				49	We recommend that OVW coordinate with the Blackfeet Tribe to update financial policies to ensure Compliance Monitors review compliance with grant terms and conditions and that the appropriate Blackfeet Tribe Compliance Monitors and program officials complete DOJ approved financial grant administration training.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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GR-60-18-008	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	9/26/2018	OVW	50	We recommend that OVW coordinate with the Blackfeet Tribe to develop and implement policy and procedures regarding the retention of pertinent grant records for at least 3 years following the awarding agency officially closing the grant.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				51	We recommend that OVW coordinate with the Blackfeet Tribe to develop and implement a process to tag accountable property with an inventory tag number, update inventory records to document required information, and to perform an inventory of accountable property under the awards.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				52	We recommend that OVW ensure the Blackfeet Tribe implements an after-the-fact travel voucher policy and procedure to verify and account for travel advances.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				53	We recommend that OVW ensure the Blackfeet Tribe develops policy to address deficiencies with budget management.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				54	We recommend that OVW coordinate with the Blackfeet Tribe to develop and implement policies and procedures for determining grant budgets for DOJ grants.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				55	We recommend that OVW coordinate with the Blackfeet Tribe to develop and implement drawdown policies and procedures that address the adherence to award special conditions and the recording of grant drawdowns in the accounting system in a timely manner.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				56	We recommend that OVW coordinate with the Blackfeet Tribe to develop and implement procedures regarding quarterly financial reporting that include the disclosure of indirect cost expense.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
GR-70-11-001	Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York	1/10/2011	COPS	1	Remedy the \$9,076,609 in unsupported grant-funded contractor expenditures claimed by Nassau and the related drawdowns of grant funding.	FY 2020	Not applicable. Implementation is in progress.	-	9,076,609	-	-
				2	Remedy the \$9,076,609 in unallowable grant-funded contractor expenditures claimed by Nassau and the related drawdowns of grant funding.	FY 2020	Not applicable. Implementation is in progress.	-	9,076,609	-	-
				3	Remedy the \$1,278 in unsupported overtime expenditures.	FY 2020	Not applicable. Implementation is in progress.	-	1,278	-	-

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GR-70-11-001	Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola,	1/10/2011	COPS	4	Remedy the \$2,468,129 in excess drawdowns that are unrelated to the grant award.	FY 2020	Not applicable. Implementation is in progress.	-	2,468,129	-	-
				5	Remedy the \$2,066,564 deficiency in the match requirement.	FY 2020	Not applicable. Implementation is in progress.	-	2,066,564	-	-
				6	Remedy the \$1,531,142 in unallowable local match expenditures that are unrelated to the grant award.	FY 2020	Not applicable. Implementation is in progress.	-	1,531,142	-	-
				7	Continue monitoring the grant to ensure grant objectives are met.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				9	Ensure that equipment purchased with grant funding is identified and included in a property management system as required.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
GR-70-12-005	Audit of the Office of Community Oriented Policing Services Grant Awarded to the City of Wilmington, Delaware	5/11/2012	COPS	1	Remedy the \$2,990,985 in expenditures that were unsupported as a result of deficiencies related to contract competition, equipment, and an electrical study.	FY 2020	Not applicable. Implementation is in progress.	-	2,990,985	-	-
				4	Ensure grant-funded equipment is properly recorded and reconciled to a physical inventory at least once every 2 years in accordance with the federal regulations.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
GR-70-12-007	Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey	7/10/2012	COPS	1 a	Remedy unallowable expenditures resulting from project changes that were not approved by COPS and failure to achieve the voice communication objective of the grant (net project costs of \$3,539,432).	FY 2020	Not applicable. Implementation is in progress.	-	3,539,432	-	-
				b	Remedy unallowable expenditures resulting from purchase of equipment not competitively procured and not authorized for purchase under the New Jersey Cooperative Purchasing Program (net expenditures of \$2,777,569).	FY 2020	Not applicable. Implementation is in progress.	-	2,777,569	-	-
				c	Remedy unallowable expenditures resulting from purchase of a mobile communications command center vehicle procured in a manner that likely hindered an open and competitive bid process (\$626,221).	FY 2020	Not applicable. Implementation is in progress.	-	626,221	-	-
				2	Remedy the \$2,282,513 in expenditures for equipment not adequately supported or safeguarded by a property management system with periodic inventories.	FY 2020	Not applicable. Implementation is in progress.	-	2,282,513	-	-
				3	Ensure Newark implement and adhere to policies and procedures for submitting timely FSRs and accurate progress reports.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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GR-70-12-007	Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey	7/10/2012	COPS	4	Ensure that Newark implements and adheres to policies and procedures to adequately administer grant funding that address our concerns over the related internal controls. These include, but should not be limited to the following: adhering to procurement regulations, approving grant expenditures in accordance with applicable budgets, and safeguarding equipment.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
GR-70-12-009	Audit of the Office on Violence Against Women Technical Assistance Cooperative Agreements Administered by the Pennsylvania Coalition Against Rape, Enola, Pennsylvania	9/4/2012	OVW	2	Remedy \$298,980 in unsupported consultant costs.	FY 2020	Not applicable. Implementation is in progress.	245,582	53,398	-	-
GR-70-15-007	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine	9/30/2015	OJP	1 a	Remedy unsupported expenditures resulting from: a. costs associated with consultant expenditures due to lack of time and effort reports (expenditures of \$157,351) and insufficient time and effort reports (expenditures of \$32,130),	FY 2020	Not applicable. Implementation is in progress.	-	189,481	-	-
				b	Remedy unsupported expenditures resulting from: b. costs associated with personnel and fringe benefit expenditures that were not properly supported (expenditures of \$46,061; \$11,372 from the BJA Tribal Courts Assistance Program grant, \$17,023 from the FY 2009 Tribal Youth Program grant, and \$17,666 from the FY 2011 Tribal Youth Program grant).	FY 2020	Not applicable. Implementation is in progress.	8,242	37,819	-	-
				2 a	Remedy unallowable expenditures resulting from costs associated with consultant expenditures where consultant did not enter into an agreement or contract with Penobscot Nation (expenditures of \$157,351) related to the Tribal Youth Program grants and a fringe benefit expenditure that was misclassified and was not approved in the BJA Tribal Courts Assistance Program grant (expenditure of \$2,444),	FY 2020	Not applicable. Implementation is in progress.	2,444	157,351	-	-

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GR-70-15-007	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine	9/30/2015	OJP	2 b	Remedy unallowable expenditures resulting from costs associated with personnel that was not properly supported or approved by OJP (expenditures of \$20,598) related to the FY 2009 Tribal Youth Program, and personnel that was not properly supported or approved by OJP (expenditures of \$18,134) related to the BJA Tribal Courts Assistance Program,	FY 2020	Not applicable. Implementation is in progress.	18,134	20,598	-	-	
				c	Remedy unallowable expenditures resulting from costs associated with travel expenditures that were not approved in compliance with the Penobscot Indian Nation Travel Policies and grant award terms and conditions (expenditures of \$28,102),	FY 2020	Not applicable. Implementation is in progress.	6,730	21,372	-	-	
				d	Remedy unallowable expenditures resulting from costs associated with other costs that was not approved in the grant budget (\$5,120), and	FY 2020	Not applicable. Implementation is in progress.	-	5,120	-	-	
				OVW	4	Remedy the \$1,538 in unsupported expenditures resulting from costs associated with an equipment expenditure that was not properly supported.	OVW management reported to OIG on 11/11/2019 that OVW had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	1,538	-	-
				5 a	Remedy unallowable expenditures resulting from costs associated with a consultant that was not approved in the award budget (expenditures of \$10,476) related to the OVW Grants to Indian Tribal Governments grant.	FY 2020	Not applicable. Implementation is in progress.	-	10,476	-	-	
				b	Remedy unallowable expenditures resulting from costs associated with personnel and fringe benefit expenditures related to the OVW Grants to Tribal Governments grant that were not approved by OVW (expenditures of \$35,284).	FY 2020	Not applicable. Implementation is in progress.	-	35,284	-	-	
				c	Remedy unallowable expenditures resulting from costs associated with travel expenditures that were not approved in compliance with the Penobscot Indian Nation Travel Policies and grant award terms and conditions (expenditures of \$1,160).	FY 2020	Not applicable. Implementation is in progress.	-	1,160	-	-	
GR-70-16-005	Audit of the Office of Justice Programs Grant Awarded to the Housing Authority of Plainfield, Plainfield, New Jersey	3/29/2016	OJP	1	Remedy \$244,233 in unsupported grant funds.	The timeline for closing this recommendation is dependent on the OIG. It designated this recommendation as "On Hold/Pending with the OIG" on 6/11/2019.	Final action was in progress when the OIG placed the recommendation on hold.	-	244,233	-	-	

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GR-70-17-001	Audit of the Office of Juvenile Justice and Delinquency Prevention Multi-State Mentoring Initiative Grants Awarded to Amachi, Inc., Philadelphia, Pennsylvania	12/27/2016	OJP	1 b	Remedy \$120,166 in unallowable contract and consultant expenditures that were not procured competitively.	FY 2020	Not applicable. Implementation is in progress.	88,483	31,683	-	-
				d	Remedy \$3,500 in unallowable consultant expenditures that exceeded the maximum allowable consultant rate without prior approval from OJJDP.	FY 2020	Not applicable. Implementation is in progress.	1,750	1,750	-	-
				e	Remedy \$18,860 in unsupported consultant expenditures.	FY 2020	Not applicable. Implementation is in progress.	10,960	7,900	-	-
				g	Remedy \$1,948,194 in subrecipient expenditures that included unsupported personnel, fringe benefits, indirect costs, and second-tier subawards.	FY 2020	Not applicable. Implementation is in progress.	-	1,948,194	-	-
GR-70-17-002	Audit of the Office on Violence Against Women Encourage Arrest Policies and Enforcement of Protection Orders Program Grants Awarded to Bucks County, Pennsylvania	2/14/2017	OVW	6	Remedy \$626,133 in unsupported personnel and fringe benefits of subrecipient expenditures.	FY 2020	Not applicable. Implementation is in progress.	-	626,133	-	-
				8	Remedy \$72,000 in unsupported consultant expenses.	FY 2020	Not applicable. Implementation is in progress.	-	72,000	-	-
GR-70-17-003	Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York	3/8/2017	CRM	1	Remedy \$59,590 in unsupported drug buy expenditures from FY 2011 through FY 2015 as identified.	CRM management reported to OIG on 6/28/2019 that CRM had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	59,590	-	-
				3	Remedy \$43,851 in unsupported overtime expenditures from FY 2011 through FY 2015 as identified.	CRM management reported to OIG on 6/28/2019 that CRM had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	43,851	-	-
				4	Remedy \$73,329 of garage expenditures identified as unsupported.	CRM management reported to OIG on 6/28/2019 that CRM had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	73,329	-	-
				6	Remedy \$110,548 of unsupported supplies and equipment purchased not properly procured under the Franklin County purchasing policy.	CRM management reported to OIG on 6/28/2019 that CRM had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	110,548	-	-
				7	Remedy \$45,061 in unsupported telecommunication's expenditures.	CRM management reported to OIG on 6/28/2019 that CRM had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	45,061	-	-
GR-70-17-005	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the National Organization of Sisters of Color Ending Sexual Assault Canton, Connecticut	3/28/2017	OVW	1	Ensure that SCESA implements a financial system that corrects significant internal control deficiencies and produces consistent, accurate, reliable, and verifiable reporting.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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GR-70-17-005	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the National Organization of Sisters of Color Ending Sexual Assault	3/28/2017	OVW	2	Ensure SCESA remedies \$2,339,435 in unsupported costs representing the full amount of the awards we audited.	FY 2020	Not applicable. Implementation is in progress.	-	2,339,435	-	-
				3	Ensure SCESA develops written policies and procedures to ensure personnel and fringe benefit expenditures are accurately charged to the awards and include timesheets that show evidence of supervisory approval.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				4	Ensure SCESA develops adequate policies and procedures to ensure only allowable costs are charged to awards, and that award expenditures are supported by adequate and verifiable documentation.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				5	Ensure SCESA develops policies and procedures that ensure it completes its Single Audit Report as required, and in a timely manner, so that award funds totaling \$1,208,853 are not unduly placed at risk.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				6	Ensure the Board takes a more active oversight role in monitoring and oversight of the organization's overall financial status, programmatic performance, and ongoing awards, holding the Executive Director accountable for results, and developing and implementing appropriate policies and procedures to guide the operation and administration of the organization.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				GR-70-17-009	Audit of the Office of Justice Programs Bureau of Justice Assistance Cooperative Agreement Awarded to Margolis Healy & Associates, LLC Burlington, Vermont	9/27/2017	OJP	1	Remedy \$1,324,113 in unsupported expenditures resulting from costs associated with: personnel and fringe benefits (\$1,223,091), consultants (\$49,162), travel, equipment, and other direct costs (\$38,360), and subrecipients (\$13,500).	FY 2020	Not applicable. Implementation is in progress.
2	Remedy \$11,988 in unallowable expenditures resulting from other (\$3,399) and professional fees (\$7,449) that exceeded the maximum allowable consultant rate and an expenditure totaling \$1,140 that was not approved in the budget.	FY 2020	Not applicable. Implementation is in progress.					-	11,988	-	-
3	Remedy \$24,636 in unsupported drawdowns that was not recorded in MHA's accounting records.	FY 2020	Not applicable. Implementation is in progress.					-	24,636	-	-



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GR-70-18-002	Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey	1/24/2018	OJP	1	Ensure Hudson implement and adhere to written policies and procedures for grant administration, including accounting and recordkeeping, to ensure compliance with applicable laws, regulations, and award terms and conditions.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				2	Ensure Hudson implement and adhere to policies and procedures to ensure program performance is monitored and records are maintained to demonstrate accomplishments towards achieving award goals and objectives.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				3	Ensure Hudson implement and adhere to written policies and procedures to ensure progress reports are accurate and reported data is valid and reliable.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				5	Ensure Hudson implement and adhere to written payroll policies and procedures to ensure personnel expenditures are supported by timesheets or periodic certifications.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				6	Remedy \$231,584 in unsupported contract expenditures.	FY 2020	Not applicable. Implementation is in progress.	-	231,584	-	-
				7	Ensure Hudson revise its written purchasing procedures to ensure grant funded expenditures are made in compliance with applicable laws, regulations, and the DOJ Grants Financial Guide	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				8	Remedy \$2,709,835 in unsupported match expenditures.	FY 2020	Not applicable. Implementation is in progress.	2,584,349	125,486	-	-
				9	Ensure Hudson implement and adhere to written grant administration policies and procedures to ensure records clearly show the source and timing of all match contributions, as required by the DOJ Grants Financial Guide	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				10	Ensure Hudson implement and adhere to written budget management and control policies and procedures to ensure required grant adjustment notices are submitted and, when applicable, awards are managed on a total program cost basis	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				11	Ensure Hudson develops and implements written policies and procedures for drawdown requests to ensure federal cash on hand is kept at or near zero	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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GR-70-18-002	Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant	1/24/2018	OJP	12	Remedy \$258,798 in unsupported drawdowns.	FY 2020	Not applicable. Implementation is in progress.	-	258,798	-	-
				13	Ensure Hudson implement and adhere to written policies and procedure to ensure FFRs are prepared accurately and time.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
GR-70-18-005	Audit of the Office of Juvenile Justice and Delinquency Prevention Community-Based Violence Prevention Program Award to Syracuse, New York	2/14/2018	OJP	1	Ensure Syracuse implements policies and procedures for monitoring program performance and accomplishments.	OJP management reported to OIG on 11/18/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				2	Ensure Syracuse implements and adheres to policies and procedures that will result in accurate and reliable progress reporting.	OJP management reported to OIG on 11/18/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				3	Ensure Syracuse revises, as necessary, progress and DCTAT Reports previously submitted to ensure that the information contained in the reports accurately reflects activities related to the implementation of its Model.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				4	Ensure Syracuse implements and adheres to written policies and procedures to ensure that award-related receipts and expenditures are appropriately accounted and stated within its financial management system.	OJP management reported to OIG on 11/18/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				6	Ensure Syracuse implements and adheres to written policies and procedures that will improve the accuracy of its process for requesting funding.	OJP management reported to OIG on 11/18/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				7	Ensure Syracuse implements and adheres to written policies and procedures for submitting accurate and timely FFRs.	OJP management reported to OIG on 11/18/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				8	Ensure Syracuse implements and adheres to policies that safeguard youth, including completing criminal background screening for any award-related individuals having direct and substantial contact with minor children.	OJP management reported to OIG on 11/18/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				GR-70-18-007	Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Formula Grants Awarded to the Vermont Center for Crime Victim Services, Waterbury, Vermont	3/26/2018	OJP	3	Remedy \$3,413 in unallowable consultant expenditures charged by VCCVS.	FY 2020	Not applicable. Implementation is in progress.
5	Remedy the \$13,966 in unsupported matching costs associated with Subrecipients A and B.	FY 2020	Not applicable. Implementation is in progress.					5,190	8,776	-	-

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GR-70-18-007	Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Formula Grants Awarded to the Vermont Center for Crime Victim Services, Waterbury, Vermont	3/26/2018	OJP	8	Remedy \$21,624 in unsupported subrecipient personnel expenditures and fringe benefit charges associated with Subrecipient A.	FY 2020	Not applicable. Implementation is in progress.	-	21,624	-	-
GR-70-18-014	Audit of the Bureau of Justice Assistance Grant Awarded to the City of Philadelphia, Pennsylvania, for the 2016 Democratic National Convention	9/26/2018	OJP	1	Ensures Philadelphia implements and adheres to written policies and procedures for grant administration, including drawdowns, federal financial reports, and subrecipients, to ensure compliance with the applicable laws, regulations, and award terms and conditions.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				2	Remedy \$1,039,496 in unallowable personnel costs for the Philadelphia Fire Department.	FY 2020	Not applicable. Implementation is in progress.	-	1,039,496	-	-
				3	Remedy \$9,530 in unallowable duplicate personnel costs for the Philadelphia Fire Department.	FY 2020	Not applicable. Implementation is in progress.	-	9,530	-	-
				4	Ensures Philadelphia develops and implements policies and procedures to ensure award funds are accounted for properly.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				5	Ensures Philadelphia develops and implements a system of internal controls to ensure compliance and accountability of its subrecipients.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				6	Remedy \$7,635,591 in unsupported subrecipient expenditures awarded to the mutual-aid partners.	FY 2020	Not applicable. Implementation is in progress.	-	7,635,591	-	-
				7	Remedy \$349,232 in unallowable subrecipient sole source contract expenditures executed by the subrecipient Host Committee.	FY 2020	Not applicable. Implementation is in progress.	-	349,232	-	-
				8	Remedy \$61,500 in unallowable subrecipient expenditures for a contract executed by the subrecipient Host Committee.	FY 2020	Not applicable. Implementation is in progress.	-	61,500	-	-
				9	Remedy \$5,964,797 in unallowable subrecipient expenditures for contracts executed by the subrecipient Host Committee.	FY 2020	Not applicable. Implementation is in progress.	-	5,964,797	-	-
				10	Remedy \$11,875 in unallowable subrecipient expenditures for a contract executed by the subrecipient Host Committee.	FY 2020	Not applicable. Implementation is in progress.	-	11,875	-	-
				11	Ensures Philadelphia develops policies and procedures to ensure its budget is maintained properly, in compliance with terms and conditions of the award, and award funds are adequately safeguarded.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				12	Ensures Philadelphia develops and implements written policies and procedures for drawdown requests to ensure federal cash on hand complies with the DOJ Grants Financial Guide.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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GR-70-18-014	Audit of the Bureau of Justice Assistance Grant Awarded to the City of Philadelphia, Pennsylvania, for the 2016 Democratic National Convention	9/26/2018	OJP	13	Ensures Philadelphia implements and adheres to written policies and procedures to ensure Federal Financial Reports are prepared accurately.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
GR-90-15-006	Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California	9/23/2015	OJP	2 d	Remedy \$24,785 in grant reimbursements from Grant Number 2010-IC-BX-K051, \$118,362 in grant reimbursements from Grant Number 2011-IP-BX-K001, and \$93,109 in grant reimbursements from Grant Number 2011-VF-GX-K020 that were paid for indirect costs without an approved indirect cost rate.	FY 2020	Not applicable. Implementation is in progress.	-	236,256	-	-
				3 a	Remedy \$216,460 in grant reimbursements from Grant Number 2011-IP-BX-K001 for payments to a consultant that were not within the requirements of the MOU or OJP Financial Guide.	FY 2020	Not applicable. Implementation is in progress.	74,503	141,957	-	-
				b	Remedy \$18,900 in grant reimbursements from Grant Number 2010-IC-BX-K051 and \$74,508 from Grant Number 2011-VF-GX-K020 for payments to consultants without sufficient time and effort reports.	FY 2020	Not applicable. Implementation is in progress.	58,050	35,358	-	-
				c	We recommend the OJP remedy \$369,418 in unsupported costs related to the following issues: c. \$9,865 in grant reimbursements from Grant Number 2010-IC-BX-K051, \$43,084 from Grant Number 2011-IP-BX-K001, and \$3,385 from Grant Number 2011-VF-GX-K020 for payments to consultants without an agreement.	FY 2020	Not applicable. Implementation is in progress.	-	56,334	-	-
				4	We recommend that OJP ensure the NIJC has policies and procedures in place to ensure expenses are properly approved before payment; consultant agreements are in place and time and effort reports are submitted to support payments; signature pages are maintained for each training event where per diem is distributed; an indirect cost rate is approved before incurring indirect costs; and compliance with all grant special conditions.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
5	We recommend that OJP remedy the \$43,360 from Grant Number 2010-IC-BX-K051 and \$265 Grant Number 2011-VF-GX-K020 in unallowable expenses related to cumulative transfers between budget categories exceeding the allowable 10-percent.	FY 2020	Not applicable. Implementation is in progress.	265	43,360	-	-				

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GR-90-15-006	Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California	9/23/2015	OJP	8	We recommend that OJP ensure that the NIJC implements a process to submit FFRs that accurately reflect expenditures for each reporting period.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				9	We recommend that OJP ensure that the NIJC determines the amount of the total tuition and registration fees collected from the training events partially funded with grant funds that should be considered program income for Grant Number 2011-VF-GX-K020 and either uses the program income for grant purposes or returns generated income to OJP.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
GR-90-16-005	Audit of the Office of Justice Programs Human Trafficking and the Office on Violence Against Women Legal Assistance Awards to the Asian Pacific Islander Legal Outreach, San Francisco, California	8/22/2016	OJP	4	Ensure that APILO identifies actual costs paid to its subrecipients, provides adequate support for those expenditures and properly supports these invoices with supportable rates that represent costs of actual services.	OJP management reported to OIG on 11/21/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
				5	Ensure that APILO strengthens its policy so that it maintains adequate support for its subrecipient payments, only charges approved subrecipient costs to DOJ grants, and properly approves subrecipient invoices prior to payment.	OJP management reported to OIG on 11/21/2019 that OJP had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
			OVW	4	Ensure that APILO identifies actual costs paid to its subrecipients, provides adequate support for those expenditures and properly supports these invoices with supportable rates that represent costs of actual services.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				5	Ensure that APILO strengthens its policy so that it maintains adequate support for its subrecipient payments, only charges approved subrecipient costs to DOJ grants, and properly approves subrecipient invoices prior to payment.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
GR-90-17-001	Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon	1/9/2017	OVW	3	Remedy \$2,549 in inadequately supported questioned costs relating to grant expenditures	FY 2020	Not applicable. Implementation is in progress.	-	2,549	-	-
				4	Remedy \$21,311 for unallowable salary and associated fringe benefits.	FY 2020	Not applicable. Implementation is in progress.	-	21,311	-	-
				5	Remedy \$24,149 in inadequately supported salary and fringe benefits.	FY 2020	Not applicable. Implementation is in progress.	-	24,149	-	-
				6	Remedy \$1,085 in inadequately supported fringe benefits (health insurance premiums).	FY 2020	Not applicable. Implementation is in progress.	-	1,085	-	-

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GR-90-17-001	Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City,	1/9/2017	OVW	8	Remedy \$284,785 in inadequately supported LGPD and CUPO costs.	FY 2020	Not applicable. Implementation is in progress.	-	284,785	-	-
				9 a	Remedy \$4,511 in unallowable Probation Officer costs.	FY 2020	Not applicable. Implementation is in progress.	-	4,511	-	-
				b	Remedy \$7,076 in unallowable counselor costs for payments more than the contracted rate.	FY 2020	Not applicable. Implementation is in progress.	-	7,076	-	-
				c	Remedy \$6,520 in unallowable counselor costs payments for no-shows and cancellations of scheduled victim counseling sessions.	FY 2020	Not applicable. Implementation is in progress.	-	6,520	-	-
GR-90-17-006	Audit of Compliance with Standards Governing Combined DNA Index System Activities at the Los Angeles County Sheriff's Department Scientific Services Bureau Crime Laboratory, Los Angeles, California	9/29/2017	FBI	1	Ensure that it implements the required physical access controls to properly track and maintain its distribution of keycards to ensure that all former employee's keycards have been deactivated.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				2	Ensure that all individuals (including LASD personnel, contractors, and volunteers) have appropriate access to the fourth floor, areas within the LASD Laboratory, and to the LASD Laboratory's assets.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				3	Ensure that the distribution of all keycards are properly documented and limited to personnel designated by laboratory management, including performing a review of all unknown keycards and deactivating duplicate keycards.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				4	Ensure that the LASD Laboratory strengthen physical security over the CODIS server and client terminals against any unauthorized personnel gaining access to the computer equipment or to any of the stored data.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				5	Ensure that the LASD Laboratory has adequate physical security measures in place to protect against unauthorized personnel gaining access to any DNA records or data.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				6	Ensure that the LASD Laboratory adequately performs its internal QAS reviews to verify compliance with each QAS, including ensuring that the distribution of all keycards are current, accurate, clearly documented, and available for review.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				7	Ensure that all case files contain sufficient information in order to determine CODIS eligibility.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

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GR-90-18-002	Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Nevada Department of Health and Human Services, Carson City, Nevada	3/23/2018	OJP	6	Ensure that the NDHHS obtains the missing statistical information from the 10 subrecipients and file revised Performance Reports for FYs 2013, 2014, 2015, and 2016.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				11	Remedy \$1,870,566 in VOCA-related subrecipient unsupported questioned costs.	FY 2020	Not applicable. Implementation is in progress.	-	1,870,566	-	-
				13	Remedy \$231,004 in unsupported questioned costs associated with administrative expenditures that were inadequately supported.	FY 2020	Not applicable. Implementation is in progress.	23,109	207,895	-	-
				15	Remedy \$6,379 in unsupported questioned costs associated with match transactions.	FY 2020	Not applicable. Implementation is in progress.	-	6,379	-	-
				20	Remedy \$97,905 in unsupported subrecipient questioned costs.	FY 2020	Not applicable. Implementation is in progress.	5,042	92,863	-	-
GR-90-18-004	Audit of Compliance with Standards Governing Combined DNA Index System Activities at the San Diego County Sheriff's Department Regional Crime Laboratory, San Diego, California	8/21/2018	FBI	1	Work with the Laboratory to ensure that it implements the required physical access controls to properly track and maintain its distribution of keycards to ensure that all former employees' and contractors' keycards have been retrieved and deactivated in a timely manner.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				2	Ensure that the Laboratory reviews its keycard distribution list to confirm that all individuals have appropriate access and that all former employees' and contractors' keycards have been deactivated.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
I2014003	The Drug Enforcement Administration's Adjudication of Registrant Actions	5/20/2014	DEA	2	Establish policy and procedures, including timeliness guidelines for forwarding a case to the Office of the Administrator for final decision when a hearing is waived or terminated.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
MAM	Management Advisory Memorandum: Referring Alleged Misconduct to the Federal Bureau of Investigation's Inspection Division and the Department of Justice's Office of the Inspector General	9/25/2017	FBI	1	Consider immediate actions to ensure the appropriate reporting of allegations of employee misconduct to the INSD and the OIG as required by FBI and Department policies and federal regulations.	FBI management reported to OIG on 1/10/2019 that the FBI had completed final action.	Not applicable. Awaiting OIG closure of the recommendation.	-	-	-	-
MAM-03-26-18	Recommendation for the DEA to Review whether its Field Offices are Engaging in Unlawful Fundraising on Behalf of the DEA Survivors Benefit Fund	3/28/2018	DEA	4	DEA should draft and implement a policy regarding the operation of division "stores" to ensure that stores are operating within the bounds of the law, such as incorporating as a not-for-profit entity, establishing a board of directors, and adopting written by-laws.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-

Implementation Status of OIG Public Recommendations for which Final Action Has Not Been Completed

Report No.	Report Title	Issued Date	DOJ Component	Rec. No. in OIG Report	Recommendation	Timeline for Full Implementation	Explanation why No Final Action or Action Not Recommended Has Been Taken	Questioned Costs Closed by OIG	Questioned Costs Open	Funds to Better Use Closed by OIG	Funds to Better Use Open
MAM-09-06-17	Management Advisory Memorandum: Recommendations for a Department of Justice Policy Establishing Standards for its Security Offices to Review Misconduct Investigations for Security Clearance Adjudications	9/6/2017	ODAG	1	The Department should issue or clarify policies to require DOJ security offices to routinely request relevant misconduct-related materials from relevant DOJ misconduct offices for consideration in connection with security clearance adjudications. Such policies should include a clear definition of relevant misconduct-related materials that ensures that security offices receive the necessary and appropriate information to make fully-informed decisions.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-
				2	The Department should issue or clarify policies to require DOJ misconduct offices to provide such relevant misconduct-related materials to DOJ security offices or OPM to ensure timely and informed security clearance adjudications, whether those materials arise as a result of a misconduct investigation or are requested in connection with a security clearance adjudication.	FY 2020	Not applicable. Implementation is in progress.	-	-	-	-