

Summary of Requirements
 Drug Enforcement Administration
 Diversion Control Fee Account
 (Dollars in Thousands)

	FY 2018 Request		
	Positions	Estimate FTE	Amount
2016 Enacted 1/	[1,497]	1,343	371,514
2016 Sequester Cut	0	0	-25,982
Total 2016 Enacted with Rescissions 1/	[1,497]	1,343	345,532
2017 Continuing Resolution	[1,597]	1,456	373,788
2017 Sequester Cut	0	0	-27,452
Total 2017 Continuing Resolution	[1,597]	1,456	346,336
Technical Adjustments			
Restoration of 2017 Sequester	0	0	27,452
Total Technical Adjustments	0	0	27,452
Base Adjustments			
Pay and Benefits	0	0	5,593
Domestic Rent and Facilities	0	0	8,108
Other Adjustments	0	0	355
Foreign Expenses	0	0	-136
Total Base Adjustments	0	0	13,920
Total Technical and Base Adjustments	0	0	41,372
2018 Current Services	[1,597]	1,456	387,708
Program Changes			
Increases:			
Diversion Investigators and Tactical Diversion Squads	[55]	29	9,414
Opioid Enhancement: Training, Enforcement, and Drug Disposal	0	0	20,000
SAUSA Pilot Program	0	10	2,452
Subtotal, Increases	[55]	39	31,866
Total Program Changes	[55]	39	31,866
2018 Total Request	[1,652]	1,495	419,574
2017 - 2018 Total Change	[55]	39	73,238

^{1/} FY 2016 FTE is actual

Summary of Requirements
Drug Enforcement Administration
Diversion Control Fee Account
(Dollars in Thousands)

Program Activity	FY 2016 Enacted with Sequester			FY 2017 Continuing Resolution			FY 2018 Technical and Base Adjustments			FY 2018 Current Services		
	Positions	Actual FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount
DEA - Diversion Control	[1,497]	1,343	345,532	[1,597]	1,456	346,336	0	0	41,372	[1,597]	1,456	387,708
Total Direct	[1,497]	1,343	345,532	[1,597]	1,456	346,336	0	0	41,372	[1,597]	1,456	387,708
Balance Rescission			0			0			0			0
Total Direct with Rescission			345,532			346,336			41,372			387,708
Reimbursable FTE		0			0			0			0	
Total Direct and Reimb. FTE		1,343			1,456			0			1,456	
Other FTE:												
LEAP		97			113			0			113	
Overtime		14			15			0			15	
Grand Total, FTE		1,454			1,584			0			1,584	

Program Activity	2018 Increases			2018 Offsets			2018 Request		
	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount
DEA - Diversion Control	[55]	39	31,866	0	0	0	[1,652]	1,495	419,574
Total Direct	[55]	39	31,866	0	0	0	[1,652]	1,495	419,574
Balance Rescission			0			0			0
Total Direct with Rescission			31,866			0			419,574
Reimbursable FTE		0			0			0	
Total Direct and Reimb. FTE		39			0			1,495	
Other FTE:									
LEAP		1			0			114	
Overtime		1			0			16	
Grand Total, FTE		41			0			1,625	

FY 2018 Program Increases/Offsets by Decision Unit

Drug Enforcement Administration

Diversion Control Fee Account

(Dollars in Thousands)

Program Increases	Location of Description by Program Activity	DEA - Diversion Control				Total Increases			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
Diversion Investigators and Tactical Diversion Squads		[55]	0	29	9,414	[55]	0	29	9,414
Opioid Enhancement: Training, Enforcement, and Drug Disposal		0	0	0	20,000	0	0	0	20,000
SAUSA Pilot Program		0	0	10	2,452	0	0	10	2,452
Total Program Increases		[55]	0	39	31,866	[55]	0	39	31,866

Justifications for Technical and Base Adjustments

Drug Enforcement Administration
 Diversion Control Fee Account
 (Dollars in Thousands)

	Positions	Estimate FTE	Amount
Technical Adjustments			
1 Restoration of 2017 Sequester Because DCFA is a mandatory, non-defense account, it will be subject to a \$27,452,000 sequestration in FY 2017. In FY 2017, DEA will utilize balances from prior fee collections to maintain current services for its Diversion Control Program. An FY 2018 base adjustment of \$27,452,000 is required to maintain DEA's current service level for the Diversion Control Program in FY 2018.	0	0	27,452
Subtotal, Technical Adjustments	0	0	27,452
Pay and Benefits			
1 <u>2018 Pay Raise - 1.9%</u> This request provides for a proposed 1.9 percent pay raise to be effective in January of 2018. The amount request, \$2,859,000, represents the pay amounts for 3/4 of the fiscal year plus appropriate benefits (\$2,157,000 for pay and \$702,000 for benefits.)	0	0	2,859
2 <u>Annualization of 2017 Pay Raise - 2.88%</u> This pay annualization represents first quarter amounts (October through December) of the 2017 pay increase of 2.88% included in the 2017 Appropriation. The amount requested \$1,543,000, represents the pay amounts for 1/4 of the fiscal year plus appropriate benefits (\$1,164,000 for pay and \$379,000 for benefits).	0	0	1,543
3 <u>Employees Compensation Fund</u> The \$24,000 request reflects anticipated changes in payments to the Department of Labor for injury benefits under the Federal Employee Compensation Act.	0	0	24
4 <u>Health Insurance</u> Effective January 2018, the component's contribution to Federal employees' health insurance increases by 8.8 percent. Applied against the 2017 estimate of \$10,593,000, the additional amount required is \$930,000.	0	0	930
5 <u>Retirement</u> Agency retirement contributions increase as employees under CSRS retire and are replaced by FERS employees. Based on U.S. Department of Justice Agency estimates, we project that the DOJ workforce will convert from CSRS to FERS at a rate of 0.8 percent per year, for both LEO and Non-LEO, based on the past 5 years of DOJ retirement data. The requested increase of \$237,000 is necessary to meet our increased retirement obligations as a result of this conversion.	0	0	237
Subtotal, Pay and Benefits	0	0	5,593

Justifications for Technical and Base Adjustments

Drug Enforcement Administration
 Diversion Control Fee Account
 (Dollars in Thousands)

	Positions	Estimate FTE	Amount
Domestic Rent and Facilities			
1 <u>GSA Rent</u> GSA will continue to charge rental rates that approximate those charged to commercial tenants for equivalent space and related services. The requested increase of \$572,000 is required to meet our commitment to GSA. The costs associated with GSA rent were derived through the use of an automated system, which uses the latest inventory data, including rate increases to be effective FY 2018 for each building currently occupied by Department of Justice components, as well as the costs of new space to be occupied. GSA provides data on the rate increases.	0	0	572
2 <u>Guard Service</u> This includes Department of Homeland Security (DHS) Federal Protective Service charges, Justice Protective Service charges and other security services across the country. The requested increase of \$22,000 is required to meet these commitments.	0	0	22
3 <u>Moves</u> GSA requires all agencies to pay relocation costs associated with lease expirations. This request provides for the costs associated with new office relocations caused by the expiration of leases in FY 2018; includes estimate for relocating or renovating due to the expiration of DEA Headquarters lease.	0	0	7,770
4 <u>Moves - Non-Recur</u> GSA requires all agencies to pay relocation costs associated with lease expirations. This is the non-recurrence of the move costs associated with new office relocations provided in the FY 2017 President's Budget.	0	0	-256
Subtotal, Domestic Rent and Facilities	0	0	8,108

Justifications for Technical and Base Adjustments

Drug Enforcement Administration

Diversion Control Fee Account

(Dollars in Thousands)

	Positions	Estimate FTE	Amount
Other Adjustments			
1 <u>Legacy Radio O&M</u> While the Department is currently modernizing the FBI radio system to build a shared network, law enforcement components continue to rely on legacy radio systems which require annual operation and maintenance costs associated with circuits, leases, and systems. The increased cost associated with this program is \$13,000.	0	0	13
2 <u>Security Investigations</u> DEA is requesting \$342,000 for an expected rate increase for background investigations and reinvestigations in FY 2018. This estimate is based on OPM's most recent rate increase that occurred in FY 2016.	0	0	342
Subtotal, Other Adjustments	0	0	355
Foreign Expenses			
1 <u>Capital Security Cost Sharing</u> Per P.L. 108-447 and subsequent acts, all agencies with personnel overseas subject to chief of mission authority...shall participate and provide funding in advance for their share of costs of providing new, safe, secure U.S. diplomatic facilities, without offsets, on the basis of the total overseas presence of each agency as determined by the Secretary of State. Originally authorized for FY 2000-2004, the program has been extended annually by OMB and Congress and has also been expanded beyond new embassy construction to include maintenance and renovation costs of the new facilities. For the purpose of this program, State's personnel totals for DOJ include current and projected staffing. The estimated cost to the Department, as provided by State, for FY 2018 is \$120,265,840. The DEA currently has 787 positions overseas, and a decrease of \$175,000 is requested for this account.	0	0	-175
2 <u>ICASS</u> The Department of State charges agencies for administrative support provided to staff based overseas. Charges are determined by a cost distribution system. The FY 2018 request is based on the projected FY 2017 bill for post invoices and other ICASS costs.	0	0	36
3 <u>State Department Passport Costs</u> Effective on October 1, 2016, DOS will begin charging \$110 per passport application to federal agencies for diplomatic, official, and no-fee regular passports provided to employees, contractors, and other individuals.	0	0	3
Subtotal, Foreign Expenses	0	0	-136
TOTAL DIRECT TECHNICAL and BASE ADJUSTMENTS	0	0	41,372

Crosswalk of 2016 Availability
Drug Enforcement Administration
Diversion Control Fee Account
(Dollars in Thousands)

Program Activity	FY 2016 Collections			Sequestration	Carryover	Recoveries/ Refunds	FY 2016 Availability		
	Position s	Actual FTE	Amount	Amount	Amount	Amount	Position s	Actual FTE	Amount
DEA - Diversion Control	[1,497]	1,343	397,085	-25,982	180,874	8,971	[1,497]	1,343	560,948
Total Direct	[1,497]	1,343	397,085	-25,982	180,874	8,971	[1,497]	1,343	560,948
Balance Rescission			0	0	0	0			0
Total Direct with Rescission			397,085	-25,982	180,874	8,971			560,948
Reimbursable FTE		0						0	
Total Direct and Reimb. FTE		1,343						1,343	
Other FTE:									
LEAP FTE		97						97	
Overtime		14						14	
Grand Total, FTE		1,454						1,454	

Collections: In FY 2016, fee collections were \$397,085,000 before a \$15 million transfer to the Treasury.

Carryover: FY 2016 carryover includes \$153,409,901 in unobligated balances plus \$27,464,000 that was temporarily unavailable in FY 2015 due to the sequestration, but became available again in FY 2016.

Sequestration: In FY 2016, DCFA had \$25,982,000 in sequestration because it is a mandatory, non-defense account.

Recoveries/Refunds: DCFA had \$8,971,198 in recoveries and other collections in FY 2016.

Crosswalk of 2017 Availability

Drug Enforcement Administration

Diversion Control Fee Account

(Dollars in Thousands)

Program Activity	FY 2017 Collections			Sequestration	Carryover	Recoveries/ Refunds	FY 2017 Availability		
	Position s	Est. FTE	Amount	Amount	Amount	Amount	Position s	Est. FTE	Amount
DEA - Diversion Control	[1,597]	1,456	411,030	-27,452	201,280	14,300	[1,597]	1,456	599,158
Total Direct	[1,597]	1,456	411,030	-27,452	201,280	14,300	[1,597]	1,456	599,158
Balance Rescission			0	0	0	0			0
Total Direct with Rescission			411,030	-27,452	201,280	14,300			599,158
Reimbursable FTE		0						0	
Total Direct and Reimb. FTE		1,456						1,456	
Other FTE:									
LEAP FTE		113						113	
Overtime		15						15	
Grand Total, FTE		1,584						1,584	

Collections: In FY 2017, fee collections are projected to be \$411,030,000 before a \$15 million transfer to the Treasury.

Carryover: FY 2017 carryover includes \$175,297,747 in unobligated balances plus \$25,982,000 that was temporarily unavailable in FY 2016 due to the sequestration, but became available again in FY 2017.

Recoveries/Refunds: DCFA projects \$14,300,000 in recoveries and other collections in FY 2017.

Detail of Permanent Positions by Category

Drug Enforcement Administration

Diversion Control Fee Account

(Dollars in Thousands)

Category	FY 2016 Enacted		FY 2017 Continuing Resolution		FY 2018 Request				
	Direct Pos.	Reimb. Pos.	Direct Pos.	Reimb. Pos.	ATBs	Program Increases	Program Offsets	Total Direct Pos.	Total Reimb. Pos.
Security Specialists (080)	[2]	0	[7]	0	0	[0]	0	[7]	0
Intelligence Series (132)	[96]	0	[99]	0	0	[2]	0	[101]	0
Personnel Management (0200-0260)	[12]	0	[15]	0	0	[0]	0	[15]	0
Clerical and Office Services (0300-0399)	[259]	0	[235]	0	0	[8]	0	[243]	0
Biological Science (400-499)	[6]	0	[6]	0	0	[0]	0	[6]	0
Accounting and Budget (500-599)	[6]	0	[6]	0	0	[0]	0	[6]	0
Medical, Dental & Public Health (600-799)	[14]	0	[14]	0	0	[0]	0	[14]	0
Engineering and Architecture Group (800-899)	[2]	0	[2]	0	0	[0]	0	[2]	0
Paralegals / Other Law (900-998)	[13]	0	[17]	0	0	[0]	0	[17]	0
Attorneys (905)	[25]	0	[29]	0	0	[0]	0	[29]	0
Information & Arts (1000-1099)	[1]	0	[3]	0	0	[0]	0	[3]	0
Business & Industry (1100-1199)	[1]	0	[1]	0	0	[0]	0	[1]	0
Physical Sciences (1300-1399)	[5]	0	[5]	0	0	[0]	0	[5]	0
Library (1400-1499)	[4]	0	[2]	0	0	[0]	0	[2]	0
Mathematics and Statistics Group	[1]	0	[1]	0	0	[0]	0	[1]	0
Education (1700-1799)	[2]	0	[2]	0	0	[0]	0	[2]	0
Inspection, Investigation, Enforcement Analyst(1801)	[626]	0	[677]	0	0	[28]	0	[705]	0
Misc. Inspectors/Investigative Assistants (1802)	[70]	0	[73]	0	0	[0]	0	[73]	0
Criminal Investigative Series (1811)	[291]	0	[342]	0	0	[10]	0	[352]	0
Information Technology Mgmt (2210-2299)	[33]	0	[33]	0	0	[0]	0	[33]	0
Chemist Series	[28]	0	[28]	0	0	[7]	0	[35]	0
Total	[1,497]	0	[1,597]	0	0	[55]	0	[1,652]	0
Headquarters Washington D.C.	[358]	0	[358]	0	0	0	0	[358]	0
US Fields	[1,130]	0	[1,230]	0	0	[55]	0	[1,285]	0
Foreign Field	[9]	0	[9]	0	0	0	0	[9]	0
Total	[1,497]	0	[1,597]	0	0	[55]	0	[1,652]	0

Financial Analysis of Program Changes

Drug Enforcement Administration

Diversion Control Fee Account

(Dollars in Thousands)

Grades	DEA - Diversion Control				Total Program Changes	
	Program Increases		Program Decreases		Positions	Amount
	Positions	Amount	Positions	Amount		
GS-9	52	1406	0	0	52	1406
GS-7	3	66	0	0	3	66
Total Positions and Annual Amount	[55]	1,472	0	0	[55]	1,472
Lapse (-)	-16	1259	0	0	-16	1259
11.5 - Other personnel compensation		74		0		74
Total FTEs and Personnel Compensation	[39]	2,805	0	0	[39]	2,805
12.1 - Civilian personnel benefits		1019		0		1019
21.0 - Travel and transportation of persons		981		0		981
22.0 - Transportation of things		297		0		297
23.2 - Rental payments to others		21		0		21
23.3 - Communications, utilities, and miscellaneous charges		214		0		214
24.0 - Printing and reproduction		1518		0		1518
25.1 - Advisory and assistance services		10031		0		10031
25.2 - Other services from non-federal sources		6608		0		6608
25.3 - Other goods and services from federal sources		174		0		174
25.6 - Medical care		99		0		99
26.0 - Supplies and materials		1165		0		1165
31.0 - Equipment		6931		0		6931
32.0 - Land and structures		3		0		3
Total Program Change Requests	[39]	31,866	0	0	[39]	31,866

Summary of Requirements by Object Class

Drug Enforcement Administration

Diversion Control Fee Account

(Dollars in Thousands)

Object Class	FY 2016 Actual		FY 2017 Continuing		FY 2018 Request		Increase/Decrease	
	Act. FTE	Amount	Direct FTE	Amount	Direct FTE	Amount	Direct FTE	Amount
11.1 - Full-time permanent	1,343	138,520	1,456	148,129	1,495	153,919	39	5,790
11.3 - Other than full-time permanent	0	1,574	0	1,604	0	1,643	0	39
11.5 - Other personnel compensation	111	9,840	128	9,919	130	10,216	2	297
<i>Overtime</i>	14	0	15	0	16	0	1	0
<i>Other Compensation</i>	97	0	113	0	114	0	1	0
11.8 - Special personal services payments	0	742	0	725	0	725	0	0
Total	1,454	150,676	1,584	160,377	1,625	166,503	41	6,126
Other Object Classes								
12.1 - Civilian personnel benefits		51,687		54,980		58,271	0	3,291
21.0 - Travel and transportation of persons		4,942		3,914		4,898	0	984
22.0 - Transportation of things		1,302		1,709		2,006	0	297
23.1 - Rental payments to GSA		31,590		33,580		34,152	0	572
23.2 - Rental payments to others		988		969		991	0	22
23.3 - Communications, utilities, and miscellaneous charges		7,176		7,096		7,313	0	217
24.0 - Printing and reproduction		7,396		7,159		8,677	0	1,518
25.1 - Advisory and assistance services		51,433		47,669		57,702	0	10,033
25.2 - Other services from non-federal sources		24,452		20,418		27,026	0	6,608
25.3 - Other goods and services from federal sources		8,791		8,139		8,538	0	399
25.4 - Operation and maintenance of facilities		4,195		3,851		3,851	0	0
25.6 - Medical care		246		712		811	0	99
25.7 - Operation and maintenance of equipment		7,996		7,168		7,173	0	5
25.8 - Subsistence and support of persons		13		12		12	0	0
26.0 - Supplies and materials		5,288		5,096		6,262	0	1,166
31.0 - Equipment		11,358		9,774		16,706	0	6,932
32.0 - Land and structures		1,119		1,164		8,681	0	7,517
42.0 - Insurance claims and indemnities		0		1		1	0	0
Total Obligations		370,648		373,788		419,574	0	45,786
Net of:								
Unobligated Balance, Start-of-Year		-153,410		-175,300		-210,372	0	-35,072
Transfers/Reprogramming		15,000		15,000		15,000	0	0
Recoveries/Refunds		-8,971		-14,300		-12,189	0	2,111
Sequester Restoration		-27,464		-25,982		-27,452	0	-1,470
Sequester		25,982		27,452		0	0	-27,452
Unobligated End-of-Year, Available		175,300		210,372		246,603	0	36,231
Total Direct Requirements (Collections)		397,085		411,030		431,164		20,134
Reimbursable FTE								
Full-Time Permanent	0		0		0		0	0

Financial Analysis
 Drug Enforcement Administration
 Diversion Control Fee Account
 (Dollars in Thousands)

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Actual	FY 2014 Actual	FY 2015 Actual	FY 2016 Actual	FY 2017 Projected	FY 2018 Projected
Budget	\$239,249	\$244,450	\$251,790	\$290,304	\$322,000	\$334,852	\$335,503	\$339,825	\$345,532	\$346,336	\$419,574
Fiscal Year Financial Status:											
Unobligated Balance Carried											
1 Forward from Prior Year	\$64,079	\$60,423	\$87,806	\$68,090	\$41,727	\$52,619	\$83,659	\$106,636	\$153,410	\$175,300	\$212,189
2 Sequester Restored to Account	\$0	\$0	\$0	\$0	\$0	\$0	\$17,085	\$25,414	\$27,464	\$25,982	\$27,452
3 Receipt Collections		\$249,512	\$245,836	\$257,674	\$309,223	\$359,326	\$372,876	\$388,765	\$397,085	\$411,030	\$429,347
4 Fund Transfer from Salaries and Expenses	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
5 Fund Transfer / Retained in the General Treasury	-\$15,000	-\$15,000	-\$15,000	-\$15,000	-\$15,000	-\$15,000	-\$15,000	-\$15,000	-\$15,000	-\$15,000	-\$15,000
6 <i>Net Receipt Collections</i>	<u>\$220,904</u>	<u>\$234,512</u>	<u>\$230,836</u>	<u>\$242,674</u>	<u>\$294,223</u>	<u>\$344,326</u>	<u>\$357,876</u>	<u>\$373,765</u>	<u>\$382,085</u>	<u>\$396,030</u>	<u>\$414,347</u>
7 Other Collections	\$235	\$158	\$209	\$534	\$244	\$261	\$986	\$982	\$489	\$1,000	\$1,000
8 Obligations (Actual / Projected)	-\$228,277	-\$216,297	-\$267,997	-\$283,501	-\$293,997	-\$307,885	-\$336,329	-\$337,623	-\$370,648	-\$373,788	-\$419,574
9 Recoveries from Deobligations	<u>\$3,482</u>	<u>\$9,010</u>	<u>\$17,235</u>	<u>\$13,930</u>	<u>\$10,422</u>	<u>\$11,423</u>	<u>\$8,773</u>	<u>\$11,785</u>	<u>\$8,482</u>	<u>\$13,300</u>	<u>\$11,189</u>
10 <i>Net Obligations</i>	<u>-\$224,795</u>	<u>-\$207,287</u>	<u>-\$250,761</u>	<u>-\$269,571</u>	<u>-\$283,575</u>	<u>-\$296,462</u>	<u>-\$327,556</u>	<u>-\$325,838</u>	<u>-\$362,166</u>	<u>-\$360,488</u>	<u>-\$408,385</u>
11 Sequester	\$0	\$0	\$0	\$0	\$0	-\$17,085	-\$25,414	-\$27,464	-\$25,982	-\$27,452	\$0
ENDING BALANCE (Lines 1+2+6+7+10)	\$60,423	\$87,806	\$68,090	\$41,727	\$52,619	\$83,659	\$106,636	\$153,495	\$175,300	\$210,372	\$246,603