U.S. Department of Justice Office on Violence Against Women



REVISED OVW Fiscal Year 2021 Legal Assistance for Victims (LAV) Grant Program Solicitation

(Revision made on page 10 under "Types of Applications")

CFDA # 16.524

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Application JustGrants Deadline: February 03, 2021 11:59 PM

Eligible Applicants:

Native American tribal governments (Federally recognized), Native American tribal organizations (other than Federally recognized tribal governments), Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education, Nonprofits that do not have a 501(c)(3) status with the IRS, other than institutions of higher education, Private institutions of higher education, Public and State controlled institutions of higher education, Other

Other

Eligible applicants are limited to: **Private nonprofit entities**; **publicly funded organizations not acting in a governmental capacity**; **territorial organizations**; **Indian tribal governments**; **and tribal organizations** in the United States or U.S. territories. For more information, see the Eligibility Information section of this solicitation.

Letter of Intent

Applicants are strongly encouraged to submit a non-binding Letter of Intent to OVW.LAV@usdoj.gov by January 14, 2021. Interested applicants who do not submit a Letter of Intent are still eligible to apply. For more information, see the Application and Submission Information section of this solicitation.

Pre-Application Information Sessions

OVW will post a pre-recorded Pre-Application Information Session on its website at https://www.justice.gov/ovw/resources-applicants. This session is tentatively scheduled to be available by December 14, 2020. For more information, see the Application and Submission Information section of this solicitation.

Contact Information

For assistance with the requirements of this solicitation, email OVW at OVW.LAV@usdoj.gov. Alternatively, interested parties may call OVW at 202-307-6026.

Submission Information

Registration: To submit an application, all applicants must obtain a Data Universal Number System (DUNS) number and register online with the System for Award Management (SAM) and with Grants.gov. To ensure sufficient time to complete the registration process, applicants must obtain a DUNS number and register online with SAM and with Grants.gov immediately, but no later than January 14, 2021.

Submission: Applications for this program will be submitted through a **NEW** two-step process: (1) submission of the **SF-424 and SF-LLL** in Grants.gov and (2) submission of the **full application** including attachments in the Justice Grants System (JustGrants). Submit the SF-424 and SF-LLL as early as possible, but not later than 24-48 hours before the Grants.gov deadline. For technical assistance with Grants.gov, contact Grants.gov Applicant Support at 1-800-518-4726 or support@grants.gov. For technical assistance with JustGrants, contact OVW JustGrants Support at 1-866-655-4482 or OVW.JustGrantsSupport@usdoj.gov.

For more information about registration and submission, see the Application and Submission Information section of this solicitation.

Notification

OVW anticipates notifying applicants of funding decisions by October 1, 2021.

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Program Description

Overview of OVW

OVW is a component of the United States Department of Justice (DOJ). Created in 1995, OVW administers grant programs authorized by the Violence Against Women Act (VAWA) and subsequent legislation and provides national leadership on issues of domestic violence, dating violence, sexual assault, and stalking. OVW grants support coordinated community responses to hold offenders accountable and serve victims.

Statutory Authority

34 U.S.C. § 20121

About the OVW LAV Grant Program

This program is authorized by 34 U.S.C. § 20121. The LAV Grant Program (CFDA 16.524) is intended to increase the availability of civil and criminal legal assistance needed to effectively aid adult and youth (ages 11 and older) victims of domestic violence, dating violence, sexual assault, and stalking by providing funds for comprehensive direct legal services to victims in legal matters relating to or arising out of that abuse or violence. "Legal assistance" includes assistance to adult and youth victims of domestic violence, dating violence, sexual assault, and stalking in: a) family, tribal, territorial, immigration, employment, administrative agency, housing matters, campus administrative, or protection or stay away order proceedings, and other similar matters; and b) criminal justice investigations, prosecutions, and post-trial matters (including sentencing, parole, and probation) that impact the victim's safety and privacy. Intake or referral, by itself, does not constitute legal assistance. 34 U.S.C. §12291(a)(19). Comprehensive legal services should address the broad spectrum of legal issues that victims encounter and help promote economic independence for victims. In addition to representation in emergency and non-emergency protection order hearings, this includes representation in family matters (divorce, child custody, or child support), consumer or housing matters, and credit restoration. For additional information about this program and related performance measures, including how awards contribute to the achievement of program goals and objectives, see:

- OVW grant program information: https://www.justice.gov/ovw/grant-programs.
- Program performance measures under the Measuring Effectiveness Initiative: https://www.vawamei.org/grant-program/legal-assistance-program/.
- Examples of successful projects in OVW's most recent report to Congress on the effectiveness of VAWA grant programs: https://www.justice.gov/ovw/page/file/1292636/download.

Program Scope

Activities supported by this program are determined by statute, federal regulations, and OVW policies. If an applicant receives an award, the funded project is bound by this solicitation, the DOJ Financial Guide, including updates to the financial guide after an award is made, the Solicitation Companion Guide, and the conditions of the award.

Purpose Areas

Pursuant to 34 U.S.C. § 20121(c), funds under this program must be used for one or more of the following purposes:

- 1. To implement, expand, and establish cooperative efforts and projects between domestic violence, dating violence, and sexual assault victim service providers and legal assistance providers to provide legal assistance for victims of domestic violence, dating violence, stalking, and sexual assault.
- 2. To implement, expand, and establish efforts and projects to provide legal assistance for victims of domestic violence, dating violence, staking, and sexual assault by organizations with a demonstrated history of providing direct legal or advocacy services on behalf of these victims.
- 3. To implement, expand, and establish efforts and projects to provide competent supervised pro bono legal assistance for victims of domestic violence, dating violence, sexual assault, or stalking, except not more than 10 percent of the funds awarded may be used for this purpose. The 10 percent limit is on the funds awarded under the LAV Grant Program overall and is not specific to an individual project.

OVW Priority Areas

In FY 2021, OVW is interested in supporting the priority area identified below. Applications proposing activities in the following area will be given special consideration:

• Empower victims to become survivors by focusing on long-term safety and sustainable economic independence.

LAV Grant Program Statutory Priorities

Improve the Availability of Legal Services for Sexual Assault Survivors

Pursuant to 34 U.S.C. § 20121(f)(2)(C), not less than 25 percent of funds made available for the LAV Grant Program must be used to support projects focused solely or primarily on providing legal assistance to victims of sexual assault. OVW recognizes the need to place increased focus on sexual assault to address the lack of available direct legal services for survivors of sexual assault and the unique aspects of sexual assault trauma from which survivors must heal. Applicants proposing to focus 50 percent or more of their grant-funded activities on **intimate and non-intimate partner** sexual assault legal services will be given

special consideration. In addition, applications that are submitted by sexual assault victim service providers or sexual assault coalitions that propose to focus 80 percent or more of their grant-funded activities on **non-intimate** partner sexual assault legal services can apply for larger awards and may be eligible for an additional 24 months of non-competitive funding (see "Award Period and Amounts" for more information).

A victim service provider is a nonprofit, nongovernmental or tribal organization or rape crisis center, including a state or tribal domestic violence and/or sexual assault coalition, that assists or advocates for domestic violence, dating violence, sexual assault, or stalking victims, including a domestic violence shelter, faith-based organization or other organization, with a documented history of effective work concerning domestic violence, dating violence, sexual assault, or stalking. 34 U.S.C. § 12291(a)(43). Victim service providers must provide direct services to victims of domestic violence, dating violence, sexual assault, or stalking as one of their primary purposes and have a demonstrated history of effective work in this field.

Tribal Programs

Pursuant to 34 U.S.C. § 20121(f)(2)(A), not less than three percent of funds made available for the LAV Grant Program must be used for projects that assist adult and youth victims of domestic violence, dating violence, stalking, and sexual assault on lands within the jurisdiction of an Indian tribe. Applications proposing to assist such victims will receive special consideration in order to meet this requirement, but they must include the percentage of proposed activities that will support services to victims on tribal lands.

Activities that Compromise Victim Safety and Recovery or Undermine Offender Accountability

OVW does not fund activities that jeopardize victim safety, deter or prevent physical or emotional healing for victims, or allow offenders to escape responsibility for their actions. Applications that propose any such activities may receive a deduction in points during the review process or may be eliminated from consideration. Information on activities that compromise victim safety and recovery or undermine offender accountability may be found in the Solicitation Companion Guide.

Out-of-Scope Activities

The activities listed below are out of the program scope and will not be supported by this program's funding. See also the list of unallowable costs in the Funding Restrictions section of this solicitation.

1. Research projects. Funds under this program may not be used to conduct research, defined in 28 C.F.R. § 46.102 as a systematic investigation designed to develop or contribute to generalizable knowledge. Surveys and focus groups, depending on their design and purpose, may constitute research and therefore be out-of-scope. Prohibited research does not include assessments conducted for internal improvement purposes only (see Limited Use of Funds below). For information on distinguishing between

research and assessments, see the Solicitation Companion Guide.

- 2. Representation in tort cases.
- 3. Child sexual abuse cases (i.e., involving victims under the age of 11).
- 4. Cases involving the child protection system unless the child dependency hearing involves allegations relating to or arising out of abuse of the client (rather than abuse of the children).
- 5. Criminal representation of victims charged with crimes.
- 6. Support of law reform initiatives, including but not limited to litigation.
- 7. Cases involving Deferred Action for Childhood Arrivals (DACA).
- 8. Funds for victim assistance/support unrelated to the provision of legal assistance or legal advocacy (such as transitional housing assistance, therapeutic counseling, hotlines, and rental assistance).
- 9. The development and/or provision of state or community-wide training. Limited training may be provided to grantee staff and project partners on the provision of legal assistance; however, any training activities will require prior approval from OVW.

Applications that propose activities deemed to be substantially out-of-scope may receive a deduction in points during the review process or may be eliminated from consideration.

Limited Use of Funds

Grantees may use up to one percent of grant award funds to assess their work for internal improvement purposes only, such as by convening a listening session to identify service gaps in the community, or surveying training participants about the quality of training content and delivery. Applicants considering such assessments must refer to the OVW research decision tree in the Solicitation Companion Guide to ensure that the activity does not qualify as human subjects research. The Solicitation Companion Guide also provides additional information on federal requirements related to research, assessments, and surveys.

Activities Requiring Prior Approval

Activities listed below will require prior approval in order to be supported by grant funds (see the Solicitation Companion Guide for more information on relevant requirements).

- 1. Surveys, whether conducted as part of a program or needs assessment, or for any other purpose. Prior approval is necessary to determine whether the activity is within the scope of the award and meets the requirements of the Paperwork Reduction Act.
- 2. Trainings developed and/or hosted by the applicant or project partner for staff and volunteers working on the project.

Federal Award Information

Awards, Amounts and Durations Total Federal Awards

56

Maximum Dollar Amount for Awards

\$800,000.00

Period of Performance Start Date

10/1/21 12:00 AM

Period of Performance Duration (Months)

36

Total Amount to be Awarded Under Solicitation

\$34,000,000.00

Availability of Funds

All awards are subject to the availability of appropriated funds and any modifications or additional requirements that may be imposed by law. There is no guarantee that funds will be available in the future. OVW may elect to make awards in a future fiscal year for applications submitted under this solicitation but not selected for FY 2021 funding, depending on the merits of the applications and the availability of funding.

Type of Award

Awards will be made as grants.

Award Period and Amounts

The award period is 36 months. Budgets must reflect 36 months of project activity, and the total "estimated funding" on the SF-424 must reflect 36 months. OVW anticipates that the award period will start on October 1, 2021.

This program typically makes awards in the range of \$600,000 - \$800,000. OVW estimates that it will make up to 56 awards for an estimated \$34,000,000.

Funding levels under this program for FY 2021 are:

 New and Continuation LAV Grant Program applications proposing to provide legal services primarily to victims of domestic violence or that do not meet the requirements of the sexual assault-related project described below may request up to \$600,000 for the entire 36-month period. 2. New and Continuation LAV Grant Program applications submitted by sexual assault victim service providers or sexual assault coalitions and that are proposing to focus 80 percent or more of the project's activities on legal services for victims of non-intimate partner sexual assault may request up to \$800,000 for the entire 36-month project period. Note: if funded, at the end of the 36-month award period, these grantees may be eligible to receive 24 months of additional non-competitive funding to continue their projects.

OVW has the discretion to make awards for greater or lesser amounts than requested and to negotiate the scope of work and budget with applicants prior to making an award.

Types of Applications

In FY 2021, OVW will accept applications for this program from the following:

New: Applicants that have never received funding under this program or whose previous funding expired prior to February 1, 2020.

<u>Continuation</u>: Applicants that have an existing or recently closed (after February 1, 2020) award under this program. Continuation funding is not guaranteed.

Recipients of an FY 2019 or 2020 award under this program are NOT eligible to apply as the lead applicant on an FY 2021 proposal. In making award decisions and assessing award amounts, OVW will take into consideration the overall LAV Grant Program funding an applicant partner has received under an FY 2019 or 2020 LAV Grant Program award or subaward.

Note: Current grantees with a substantial amount of unobligated funds remaining (50 percent or more of the previous award) as of March 31, 2021 without adequate justification may not be considered for funding or may receive a reduced award amount if selected for funding in FY 2021.

Mandatory Program Requirements

Applicants that receive funding under this program will be required to engage in the following activities:

- 1. OVW-sponsored training and technical assistance (TTA).
- 2. OVW may conduct a program assessment or evaluation necessitating grantee involvement. Therefore, recipients may be expected to dedicate some OVW-funded time and resources to participating in an assessment or evaluation.
- 3. A new grantee orientation, unless exempted by OVW.

Eligibility Information

Eligible Applicants

Pursuant to 34 U.S.C. § 20121(c), the following entities are eligible to apply for this program:

- 1. Private nonprofit entities.
- 2. Indian tribal governments, including tribal consortia. A "tribal government" is the governing body of an Indian tribe or a tribe, band, pueblo, nation, or other organized group or community of Indians, including any Alaska Native village or regional or village corporation (as defined in, or established pursuant to, the Alaska Native Claims Settlement Act (43 U.S.C. §§ 1601 et seq.)), that is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. 34 U.S.C. § 12291(a)(36).
- 3. Tribal organizations. A "tribal organization" is the governing body of any Indian tribe; any legally established organization of Indians which is controlled, sanctioned, or chartered by such governing body of a tribe or tribes to be served, or which is democratically elected by the adult members of the Indian community to be served by such organization and which includes the maximum participation of Indians in all phases of its activities; or any tribal nonprofit organization. 34 U.S.C. § 12291(a)(38). A "tribal nonprofit organization" is a victim services provider that has as its primary purpose to assist Native victims of domestic violence, dating violence, sexual assault, or stalking; and that has staff and leadership with a demonstrated history of assisting American Indian or Alaska Native victims of domestic violence, dating violence, sexual assault, or stalking. 34 U.S.C. § 12291(a)(37).
- 4. Territorial organizations. For the purposes of this solicitation, a "territorial organization" is a nonprofit, nongovernmental organization addressing domestic violence, dating violence, sexual assault, and/or stalking within a United States Territory, which include the Commonwealth of Puerto Rico, Guam, American Samoa, the Virgin Islands, and the Northern Mariana Islands.
- 5. Publicly funded organizations, not acting in a governmental capacity, such as law schools.

Faith-Based and Community Organizations

Faith Based and community organizations that meet the eligibility requirements are eligible to receive awards under this solicitation (see "Faith-Based Organizations" on the OVW website for more information).

Ineligible Entities and Disqualifying Factors

Applications submitted by ineligible entities or that do not meet all program eligibility requirements will not be considered for funding. In addition, an application deemed deficient in one or more of the following categories may not be considered for funding: 1. activities that compromise victim safety, 2. out-of-scope activities, 3. unallowable costs, 4. pre-award risk assessment, 5. completeness of application contents, and 6. Timeliness. Failure to comply

fully with all applicable unique entity identifier and SAM requirements (see Application and Submission section for more information on these requirements) will result in removal from consideration. An applicant with past performance issues, long-standing open audits, or an open criminal investigation also may not be considered for funding.

Note: Any nonprofit organization that holds money in offshore accounts for the purpose of avoiding paying the tax described in section 511(a) of the Internal Revenue Code is not eligible for a grant from this program. See 34 U.S.C. § 12291(b)(16)(B)(ii).

Cost Sharing And Match

This program has no matching or cost-sharing requirement.

Other Program Eligibility Requirements

In addition to meeting the eligible entity requirements outlined above, applicants for this program must also meet the requirements below. All certification and other eligibility related documents must be current and developed in accordance with the FY 2021 solicitation.

Certifications must take the form of a letter, on letterhead, signed, and dated by the authorized representative. Failure to provide required certifications may disqualify an application from further consideration. At a minimum, an application missing the required certification letter will be required to submit a certification letter prior to receiving an award. The signed certification letter must be uploaded as a separate attachment in JustGrants. Sample certification letters can be found on the OVW website at https://www.justice.gov/ovw/resources-applicants.]

Certification of Eligibility

Delivery of Legal Assistance Certification

Pursuant to **34 U.S.C.** § **20121(d)**, to be eligible for an award, any recipient or subrecipient providing legal assistance with funds awarded under this program must certify in writing that:

- 1. any person providing legal assistance with funds through this program (A) has demonstrated expertise in providing legal assistance to victims of domestic violence, dating violence, sexual assault, or stalking in the targeted population; or (B)(i) is partnered with an entity or person that has demonstrated expertise described in subparagraph (A); and (ii) has completed, or will complete, training in connection with domestic violence, dating violence, sexual assault or stalking and related legal issues, including training on evidence-based risk factors for domestic and dating violence homicide:
- 2. any training program conducted in satisfaction of the requirement of paragraph (1) has been or will be developed with input from and in collaboration with a tribal, state, territorial, or local domestic violence, dating violence, sexual assault or stalking victim service provider or coalition, as well as appropriate tribal, state, territorial, and local law enforcement officials:

- any person or organization providing legal assistance with funds through this program
 has informed and will continue to inform state, local, or tribal domestic violence, dating
 violence, or sexual assault programs and coalitions, as well as appropriate state and
 local law enforcement officials of their work; and
- 4. the grantee's organizational policies do not require mediation or counseling involving offenders and victims physically together, in cases where sexual assault, domestic violence, dating violence, or child sexual abuse is an issue.

Required Partnerships

An applicant must include a nonprofit, nongovernmental or tribal organization with demonstrated expertise on domestic violence, dating violence, sexual assault, and/or stalking either as a lead applicant or a partner. The organization serving as the required expert on these crimes may be a larger, multi-service organization that does not solely address domestic violence, dating violence, sexual assault, and/or stalking but has a distinct or designated division or program that focuses on serving victims of these crimes. Additional documentation of the required expertise must be provided through either a Memorandum of Understanding (MOU) or a Memorandum of Exemption (MOE) (see MOU/MOE requirements section).

Tribal Consortia

If the applicant is a tribal consortium, the applicant must submit documentation of authority in the form of a resolution or legal equivalent from each tribal consortium member, unless existing consortium bylaws or other tribal governance documents allow action without explicit authorization from the member tribes in the consortium. In that case, the tribal consortium must submit a resolution, letter, affidavit, or other documentation, as appropriate, that certifies that the tribal consortium has the legal authority to apply for grants under this solicitation on behalf of the consortium. This documentation must be current, be sufficient to demonstrate authority for the application, contain authorizing signature(s), and be submitted by the application's due date. In addition, a copy of the bylaws or other governance documents that allow the tribal consortium's action without support from all consortium members must be included with this documentation.

Limit on Number of Applications

OVW will consider only one application per organization for the same service area. In addition, if an applicant submits multiple versions of the same application, OVW will review only the most recent system-validated version submitted before the deadline.

Application and Submission Information

Address to Request Application Package

The complete application package (this solicitation, including links to required forms) is available on Grants.gov and on the OVW website. Applicants wishing to request a paper copy of these materials should contact 202-307-6026 or OVW.LAV@usdoj.gov.

Pre-Application Information Session: OVW will post a pre-recorded Pre-Application Information Session on its website. Listening to this session is optional and not a requirement to be eligible to apply. The session is tentatively scheduled to be available by December 14, 2020 on the OVW website https://www.justice.gov/ovw/resources-applicants. The session will be captioned in English and Spanish. Interested applicants needing additional language assistance should contact this program at OVW.LAV@usdoj.gov or at 202-307-6026 as soon as possible, but no later than December 21, 2020.

Content and Form of Application Submission

The information below ("Letter of Intent" through "Additional Required Information") describes the full content and form of application submission.

Letter of Intent

Applicants intending to apply for FY 2021 funding under this program are strongly encouraged to submit a Letter of Intent. The letter should state that the applicant is registered and current with SAM and with Grants.gov. The letter should be submitted to OVW at OVW.LAV@usdoj.gov by January 14, 2021. This letter will not obligate the applicant to submit an application. See https://www.justice.gov/ovw/resources-applicants for a sample Letter of Intent.

Formatting and Technical Requirements

Applications must follow the requirements below for all documents, unless otherwise noted. Points may be deducted for applications that do not adhere to the following requirements:

- 1. Double-spaced (Data Requested with Application, Pre-Award Risk Assessment, and charts may be single-spaced).
- 2. 8½ x 11 inch pages.
- 3. One-inch margins.
- 4. Type no smaller than 12 point, Times New Roman (TNR) or Arial font, except for footnotes, which may be in 10-point font.
- 5. Page numbers.
- 6. No more than 20 pages for the Proposal Narrative.
- 7. Word documents in the following formats: Microsoft Word (.doc), PDF files (.pdf), or Text Documents (.txt).
- 8. Headings and sub-headings that correspond to the sections identified in this section of the solicitation.

Application Contents

Applications must include the required documents and demonstrate that the program eligibility requirements have been met. For a complete checklist of the application contents, see the Application Checklist in the Other Information section of this solicitation.

OVW will not contact applicants for missing items on the list below. Applications that do not include all of the following documents will be considered substantially incomplete and will not be considered for funding:

- 1. Proposal Narrative.
- 2. Budget Detail Worksheet and Narrative.
- 3. Data Requested with Application.
- 4. Memorandum of Understanding or Memorandum of Exemption.

Information to Complete the Application for Federal Assistance (SF-424) Application for Federal Assistance (SF-424)

Applicants must complete the SF-424 in Grants.gov. The SF-424 is generated when the applicant begins the submission process. For "Type of Applicant," do not select "Other." The amount of federal funding requested in the "Estimated Funding" section of this form must match the amount of federal funding requested in the budget section of the application package. This program does not require a match; therefore, the values for the Applicant line should be zero. The individual who is listed as "Authorized Representative" must be an individual who has the authority to apply for and accept grant awards on behalf of the organization or jurisdiction.

Intergovernmental Review (SF-424 Question 19): This solicitation ("funding opportunity") is subject to Executive Order (E.O.) 12372, Intergovernmental Review of Federal Programs. Applicants must check the Office of Management and Budget's website for the names and addresses of state Single Points of Contact (SPOC) under Intergovernmental Review: https://www.whitehouse.gov/wp-content/uploads/2020/04/SPOC-4-13-20.pdf. If the applicant's state appears on the SPOC list, the applicant must contact the state SPOC to find out about, and comply with, the state's process under E.O. 12372. In completing the SF-424, such an applicant is to make the appropriate selection in response to question 19 once the applicant has complied with its state E.O. 12372 process. An applicant whose state does not appear on the SPOC list should answer question 19 by selecting the following response: "Program is subject to E.O. 12372 but has not been selected by the state for review."

Disclosure of Lobbying Activities (SF-LLL)

All applicants must complete and submit the *Disclosure of Lobbying Activities* (SF-LLL) form in Grants.gov. Applicants that expend any funds for lobbying activities must provide the information requested on the SF-LLL. Applicants that do not expend any funds for lobbying activities should enter "N/A" in the required highlighted fields.

Standard Applicant Information (JustGrants 424 and General Agency Information)

Applicants must complete this web-based form in JustGrants, which is pre-populated with the SF-424 data submitted in Grants.gov. Applicants are required to confirm the Authorized

Representative, verify the legal name and address, and enter the ZIP code(s) for the areas affected by the project.

Proposal Abstract

The Proposal Abstract must provide a short summary (**no more** than two pages double-spaced) of the proposed project, including names of applicant and partners, project title, purpose of the project (including goal and intended outcome), primary activities for which funds are requested, who will benefit (including geographic area to be served), products and deliverables, and how the applicant will measure progress in completing project goals and objectives. Applicants must not summarize past accomplishments in this section. The Proposal Abstract, which is to be entered into a text box in JustGrants, will not be scored but is used throughout the review process.

Proposal Narrative

The Proposal Narrative may not exceed 20 pages, double-spaced, and reviewers will not read beyond this page limit. The Proposal Narrative must include the following three sections. The total point value for the proposal narrative section is **60 points**. Applicants must upload the Proposal Narrative as an attachment in JustGrants.

Purpose of the Proposal (10 points)

This section must describe:

- 1. The challenge or need faced by the community and how the goal/vision for the proposal will meet that need.
- 2. The communities to be served, including the geographic location, the populations in the service area, and any available, relevant victimization rates.
- 3. The current legal services available to victims of domestic violence, dating violence, sexual assault, and/or stalking in the jurisdiction, and the gaps in those services in the proposed service area.

Applicants proposing to address the LAV sexual assault priority must also:

- 4. Describe the problem of sexual assault (both intimate and non-intimate partner) in the proposed service area (include local statistics/data, whenever possible).
- 5. Describe the available legal services for victims of sexual assault proposed service area, and the gaps in those services in the proposed service area.
- 6. Describe the barriers victims of sexual assault experience when attempting to access support and legal services in the proposed service area.

What Will Be Done (30 points)

The application must provide a clear link between the proposed activities and the need identified in the "Purpose of the Proposal" section above. The application must not include

any of the activities listed as unallowable costs in the Funding Restrictions section of this solicitation.

This section must describe:

- 1. The approach to addressing the challenge or need identified in the Purpose of the Proposal section above.
- How the applicant will measure its progress in achieving the proposal's goal(s)/vision. Identify targeted outcome(s) and describe any tool(s) the applicant will use to track those outcomes and report them to OVW. Tools may include OVW performance progress reports and logic model templates (both available at https://www.vawamei.org/tools-resource/resources-available/).
- 3. How the applicant will move to project sustainability; continuation applicants must provide specific details.
- 4. The extent to which the project will provide comprehensive legal services to victims of domestic violence, dating violence, sexual assault, and/or stalking who cannot afford legal services. Special consideration will be given to applications proposing to provide legal services that promote economic independence, including protection order hearings, family matters (divorce, child custody, or child support), consumer or housing matters, and credit restoration.
- 5. Measurable goals and objectives for the proposed project.
- 6. Specific tasks and activities necessary for accomplishing all stated goals and objectives.
- 7. How proposed activities would address the need identified.
- 8. How the proposed activities will protect victim confidentiality.
- 9. The timeline for the proposed project that identifies when the tasks and activities will be accomplished within the 36-month grant period.
- 10. A supervision and mentoring plan for grant-funded attorney staff (attorneys with less than five years of experience must be supervised by an attorney).
- 11. If applicable, any products that will be generated using grant funds and how these products will be used to address the legal needs of victims of domestic violence, dating violence, sexual assault, and/or stalking. Product development is not required as some communities would not benefit from the development of new products.
- 12. If applicable, the use of databases or other information-gathering or sharing technology, and an explanation of how the project will address any victim safety concerns that may arise from the use of technology, such as confidentiality, safety planning, and informed consent.
- 13. How the project will address the victimization rates identified in the Purpose of the Proposal section.
- 14. How the proposed project will reach each population identified in the Purpose of the Proposal section.

Applicants proposing to address the LAV Grant Program sexual assault priority area must also:

15. Describe the legal services that will be provided to victims of sexual assault with

LAV Grant Program funding.

- 16. Describe any proposed outreach efforts to victims of sexual assault regarding the comprehensive legal sexual assault services that will be provided by the proposed project.
- 17. Provide, for the lead applicant and project partner(s) in the past 12 months, (1) the number of victims of intimate partner sexual assault served in the past 12 months, (2) the number of victims of non-intimate partner sexual assault served in the past 12 months, and (3) information on the types of legal support provided to survivors of intimate and non-intimate partner sexual assault.

Who Will Implement the Proposal (20 points)

This section must:

- 1. Identify the key individuals and organizations, including project partners, involved in the proposed project.
- 2. Demonstrate that the individuals and organizations identified have the capacity to address the stated need and can successfully implement the proposed project activities; attach job descriptions of all key personnel.
- Identify all project partners, specifying their respective roles and responsibilities including linking all activities listed in the What Will Be Done section to a specific organization. In particular, identify and describe the organization that will provide legal representation to victims.
- 4. For the individuals identified in response to #1, above, describe their relevant experience and expertise in addressing domestic violence, dating violence, sexual assault, and/or stalking; or, where positions are vacant, describe the required experience and expertise for those positions.
- 5. If the lead applicant is not the nonprofit, nongovernmental or tribal organization with demonstrated expertise in domestic violence, dating violence, sexual assault, and/or stalking, identify and describe the partner that meets this requirement.
- 6. If the required organization (either the applicant or partner) with domestic violence, dating violence, sexual assault and/or stalking expertise is a larger, multi-service organization that does not solely address one or more of these crimes, describe the distinct or designated division or program within the larger organization that focuses on serving victims of these crimes

Applicants proposing to address the LAV Grant Program sexual assault priority must also:

7. Detail the experience and expertise of staff from the lead applicant and/or project partners in providing legal services to victims of non-intimate partner sexual assault .

Budget and Associated Documentation

Applicants must complete the web-based form in JustGrants for the budget worksheet and budget narrative. Applicants also must upload the applicable associated documentation as

described below under each heading. The budget worksheet and budget narrative are worth a total of **20 points** and will be reviewed separately from the proposal narrative. The associated documentation will not be scored, but failure to include it may result in removal from consideration or a delay in access to funding.

Budget Worksheet and Budget Narrative (Web-based Form)

Complete the budget worksheet and narrative form for all applicable cost categories. The budget narrative must describe each line item requested in the budget and explain all costs included in the budget, including how the costs of goods and services are determined and how they will fulfill the objectives of the project. See the sample budget and the Creating a Budget webinar available on the OVW website at https://www.justice.gov/ovw/resources-applicants. Keep in mind that budgetary requirements vary among programs. Applicants must submit reasonable budgets based on the resources needed to implement their projects in their specific geographic location.

Award Period and Amount

Budgets should cover a period of 36 months, commencing on October 1, 2021 and ending on September 30, 2024. Budget requests should not exceed \$600,000, with the exception of sexual assault victims service providers or sexual assault coalitions proposing to focus 80 percent or more of grant-funded activities on legal services for victims of non-intimate partner sexual assault, who may request up to \$800,000.

The budget must:

- 1. Display a clear link between the specific project activities and the proposed budget items. The budget should not contain items that are not supported by the proposal narrative.
- 2. Include funds to attend OVW-sponsored TTA in the amount of \$12,000 or applicants located in the 48 contiguous states and \$18,000 for applicants located in the territories, Hawaii, and Alaska. This amount is for the entire 36-month project period and NOT per year. Applicants also may budget expenses in excess of the required amount if they are aware of relevant non-OVW sponsored conferences or training for which they would like permission to use grant funds to support staff/project partner attendance.
- 3. Include funds or describe other resources available for court/litigation costs for clients who cannot afford to pay those costs (e.g., filing fees and other applicable court costs).
- 4. Include funds or describe other resources available to the applicant to ensure access for individuals with disabilities, Deaf/hard of hearing individuals, and persons with limited English proficiency. See Accessibility under Federal Award Administration Information for more information.
- 5. Compensate all project partners for their full level of effort, unless otherwise stated in the MOU. For more information on compensating project partners, see the sample Budget Detail Worksheet on the OVW website at https://www.justice.gov/ovw/resources-applicants.
- 6. Distinguish clearly between subawards and contracts in allocating any grant funds to

other entities. Pursuant to 2 C.F.R. § 200.331, a subaward is for the purpose of carrying out a portion of the federal award, such as compensating an MOU partner, and a contract is for the purpose of obtaining goods and services for the grantee's own use. The substance of the relationship is more important than the form of the agreement in determining whether the recipient of the pass-through funds is a subrecipient or a contractor. The awarding and monitoring of contracts must follow the recipient's documented procurement procedures, including full and open competition, pursuant to the procurement standards and monitoring requirements in 2 C.F.R. §§ 200.317-200.329. The issuance and monitoring of subawards must meet the requirements of 2 C.F.R. § 200.332, which includes oversight of subrecipient/partner spending and monitoring performance measures and outcomes attributable to grant funds. For more information, see the sample Budget Detail Worksheet and the Solicitation Companion Guide on the OVW website at https://www.justice.gov/ovw/resources-applicants.

OVW awards are governed by the provisions of 2 C.F.R. Part 200 and the <u>DOJ Financial</u> <u>Guide</u>, which include information on allowable costs, methods of payment, audit requirements, accounting systems, and financial records. For additional information on allowable and unallowable costs, see the Funding Restrictions section below and the sample budget on the OVW website at https://www.justice.gov/ovw/resources-applicants.

Funding Restrictions

The following information is provided to allow applicants to develop an application and budget consistent with program requirements.

Unallowable Costs

The costs associated with the activities listed below are unallowable and must not be included in applicants' budgets.

- 1. Lobbying.
- 2. Fundraising.
- 3. Purchase of real property.
- 4. Physical modifications to buildings, including minor renovations (such as painting or carpeting).
- 5. Construction.
- 6. State or community-wide training events.

Food and Beverage/Costs for Refreshments and Meals

Generally, food and beverage costs are **not** allowable. Recipients must receive prior approval to use grant funds to provide a working meal and/or refreshments at a meeting, conference, training, or other event; OVW may provide such approval if one of the following applies:

 The location of the event is not in close proximity to food establishments, despite efforts to secure a location near reasonably priced and accessible commercial food establishments.

- 2. Not serving food will significantly lengthen the day or necessitate extending the meeting to achieve meeting outcomes.
- 3. A special presentation at a conference requires a plenary address where there is no other time for food to be obtained.
- 4. Other extenuating circumstances necessitate the provision of food.

Justification for an exception listed above must be included in the applicant's budget narrative. For additional information on restrictions on food and beverage expenditures, see https://www.justice.gov/ovw/conference-planning.

Conference Planning and Expenditure Limitations

Applicants' budgets must be consistent with all requirements (including specific cost limits and prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (which is defined to include meetings, retreats, seminars, symposiums, training, and other similar events), and costs of attendance at such events. Information on conference planning, minimization of costs, and conference reporting is available at https://www.justice.gov/ovw/conference-planning.

Pre-Agreement Cost

OVW generally does not allow pre-award costs. Costs incurred prior to the start date of the award may not be charged to the project unless the recipient receives prior approval from OVW. See the <u>DOJ Financial Guide</u> for more information on pre-award costs.

Indirect Cost Rate Agreement (if applicable)

Applicants that intend to charge indirect costs through the use of a negotiated indirect cost rate must have a current, signed, federally-approved indirect cost rate agreement and must upload and attach a copy of the agreement to their application in JustGrants. Applicants (other than state, local, and tribal governments) that do not have a current negotiated (including provisional) rate may elect to charge a de minimis rate of 10% of modified total direct costs, which may be used indefinitely. State, local, and tribal governments that have never negotiated an indirect cost rate with the federal government and receive less than \$35 million in direct federal funding per year also may choose to use the 10% de minimis rate.

Organizations that wish to negotiate an indirect cost rate should contact OVW's Grants Financial Management Division at OVW.GFMD@usdoj.gov or 1-888-514-8556 for more information.

Financial Management Questionnaire (including applicant disclosure of high-risk status)

Applicant Financial Capability Questionnaire (if applicable)

All nonprofit, nongovernmental organizations that apply for funding from OVW and have not

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Pre-Award Risk Assessment

Each applicant must respond to the questions below in a document uploaded and attached to its application in JustGrants. **Do not submit responses from a prior fiscal year without updating them to be responsive to all questions listed below.** This information will be used for a mandatory pre-award risk assessment. Failure to provide this information or to respond to questions from OVW regarding this information in a timely manner could result in the application being removed from consideration or a delay in access to funds. Provide complete responses that address all questions included for each numbered item. This document should be no more than four pages and may be single or double-spaced.

- 1. Will all funds awarded under this program be maintained in a manner that they will be accounted for separately and distinctly from other sources of revenue/funding? Provide a brief description of the applicant's policies and procedures that ensure funds will be tracked appropriately.
- 2. Does the applicant have written accounting policies and procedures? How often are these policies and procedures updated? Provide a brief list of the topics covered in the applicant's policies and procedures. OVW may request a copy for review during the application/award process or as part of the grant monitoring process.
- 3. Is the applicant's financial management system able to track actual expenditures and outlays with budgeted amounts for each grant or subgrant? Provide a brief summary of the organization's process for tracking expenditures, including tracking budgeted versus actual amounts.
- 4. Does the applicant have procedures in place for minimizing the time between transfer of funds from the United States Treasury and disbursement for project activities? Provide a short summary of the applicant's policy for requesting payments for grant awards.
- 5. Does the applicant have effective internal controls in place to ensure that federal funds are used solely for authorized purposes? Provide a brief description of the applicant's internal controls that will provide reasonable assurance that the award funds will be managed properly.
- 6. Does the applicant have a documented records retention policy? If so, briefly describe the policy and confirm that the policy complies with federal regulations. Information on Record Retention and Access can be found at 2 C.F.R. §§ 200.334-200.338.
- 7. Does the applicant or any of its employees have any potential personal or organizational conflicts of interest related to the possible receipt of OVW award funds? Applicants are required to disclose in writing any potential conflicts of interest to their awarding agency. See 2 C.F.R. § 200.112 and Chapter 3.20, Grant Fraud, Waste and Abuse, of the DOJ Financial Guide for additional information.
- 8. Is the individual primarily responsible for fiscal and administrative oversight of grant awards familiar with the applicable grants management rules, principles, and regulations including the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 C.F.R. Part 200)? Provide a short list of the

- individual's qualifications/experience. If the individual is not familiar with the applicable rules and regulations, the applicant must contact OVW's Grants Financial Management Division at OVW.GFMD@usdoj.gov or 1-888-514-8556 immediately after the applicant is notified of its award to coordinate training.
- 9. Does the applicant have policies and procedures in place to manage subawards and monitor activities of subrecipients as necessary to ensure that subawards are used for authorized purposes, in compliance with laws, regulations, and terms and conditions of the award, and that established subaward performance goals are achieved (2 C.F.R. §§ 200.331-200.333)? Provide a brief description of the organization's policies and procedures on subrecipient management and monitoring.
- 10. Does the applicant currently require employees to maintain time distribution records that accurately reflect the work performed on specific activities or cost objectives in order to support the distribution of employees' salaries among federal awards or other activities (2 C.F.R. § 200.430)? Budget estimates do not qualify as support for charges to federal awards. Provide a brief description of the organization's established timekeeping policies and procedures.
- 11. Is the applicant designated as high risk by a federal agency outside of DOJ? ("High risk" includes any status under which a federal awarding agency provides additional oversight due to the applicant entity's past performance, or other programmatic or financial concerns with the applicant entity.) If so, provide the names(s) of the federal awarding agency, the date(s) the agency notified the applicant entity of the high risk designation, contact information for the high risk point of contact at the federal agency, and the reason for the high risk status, as set out by the federal agency.

Disclosure of Process Related to Executive Compensation

An applicant that is a nonprofit organization may be required to make certain disclosures relating to the processes it uses to determine the compensation of its officers, directors, trustees, and key employees and must upload and attach a document with these disclosures to its application in JustGrants.

Under certain circumstances, a nonprofit organization that provides unreasonably high compensation to certain persons may subject both the organization's managers and those who receive the compensation to additional federal taxes. A rebuttable presumption of the reasonableness of a nonprofit organization's compensation arrangements, however, may be available if the nonprofit organization satisfies certain rules set out in Internal Revenue Service regulations with regard to its compensation decisions.

Each applicant must state at the time of its application (in the Data Requested with Application section) whether the applicant is a nonprofit organization that uses the Internal Revenue Service's three-step safe-harbor procedure to establish a rebuttable presumption that its executives' compensation is reasonable. If the applicant states that it uses the safe-harbor procedure, then it must disclose, in an attachment to its application (to be titled "Disclosure of Process Related to Executive Compensation"), the process it uses to determine

the compensation of its officers, directors, trustees, and key employees (together, "covered persons"). See 34 U.S.C. § 12291(b)(16)(B)(iii).

At a minimum, the disclosure must describe in pertinent detail: (1) the composition of the body that reviews and approves compensation arrangements for covered persons; (2) the methods and practices used by the applicant nonprofit organization to ensure that no individual with a conflict of interest participates as a member of the body that reviews and approves a compensation arrangement for a covered person; (3) the appropriate data as to comparability of compensation that is obtained in advance and relied upon by the body that reviews and approves compensation arrangements for covered persons; and (4) the written or electronic records that the applicant maintains as concurrent documentation of the decisions with respect to compensation of covered persons made by the body that reviews and approves such compensation arrangements, including records of deliberations and of the basis for decisions. For a sample letter, see the OVW website at https://www.justice.gov/ovw/resources-applicants.

For purposes of the required disclosure, the following terms and phrases have the meanings set out by the Internal Revenue Service for use in connection with 26 C.F.R. § 53.4958-6: officers, directors, trustees, key employees, compensation, conflict of interest, appropriate data as to comparability, adequate documentation, and concurrent documentation.

Following receipt of an appropriate request, OVW may be authorized or required by law to make information submitted to satisfy this requirement available for public inspection. Also, a recipient may be required to make a prompt supplemental disclosure after the award in certain circumstances (e.g., changes in the way the organization determines compensation).

Data Requested with Application

The Data Requested with Application should be uploaded as an attachment in JustGrants. The following responses must be included:

- 1. Name, title, address, telephone number, and email address for the grant point-of-contact. This person must be an employee of the applicant.
- 2. Statement as to whether the applicant (the organization whose DUNS number is being used for the application) will serve as a fiscal agent. A fiscal agent is an entity that does not participate in implementation of the project and passes <u>all</u> funds through to subrecipients, conducting minimal administrative activities. A fiscal agent applicant must list these subrecipients and include a statement acknowledging that, should an award be made, the applicant will be responsible for all applicable statutory, fiscal, and programmatic requirements, including those of 2 C.F.R. Part 200, as well as all project deliverables. In such situations, the fiscal agent must be an eligible applicant for the program.

- 3. Statement as to whether the applicant has expended \$750,000 in federal funds in the applicant's past fiscal year. If so, specify the end date of the applicant's fiscal year.
- 4. Statement as to whether the applicant is a nonprofit organization that holds money in offshore accounts for the purpose of avoiding paying the tax described in section 511(a) of the Internal Revenue Code.
- 5. Statement as to whether the applicant is a nonprofit organization that uses the Internal Revenue Service's three-step safe-harbor procedure to establish a rebuttable presumption that its executives' compensation is reasonable. If the applicant is not a nonprofit organization or is a nonprofit that does not use the safe-harbor procedure, provide a statement to that effect. For additional information about the safe-harbor procedure, see "Disclosure of Process Related to Executive Compensation" in the Additional Required Information section of this solicitation.
- 6. Statement as to whether the applicant is a recipient, or partner/subrecipient, on a current grant or pending application for this grant program. If a current grant, provide the year of the award and the role of the applicant on the award (recipient or project partner).
- 7. Statement as to whether any proposed project partner/subrecipient is a recipient, or partner/subrecipient, on a current grant or pending application for this grant program. If a current grant, provide the year of the award and the role of the partner(s) on the award (recipient or project partner/subrecipient).
- 8. Statement as to whether the application addresses the long-term safety and sustainable economic independence priority area.
- 9. Statement as to whether the application addresses the LAV Grant Program sexual assault priority area focusing 50 percent or more of grant-funded activities on **intimate and non-intimate** partner sexual assault legal services.
- 10. Statement as to whether the application addresses the LAV Grant Program sexual assault priority area focusing 80 percent or more of grant-funded activities on non-intimate partner sexual assault legal services.
- 11. If applicable, the percentage of grant activities, should the application be funded, that will support victims on tribal lands.
- 12. If applicable, the percentage of funding, should the application be funded, that will be allocated towards pro bono activities. (Pro bono activities include training, mentoring, supervision, or coordination of volunteer attorneys.)
- 13. Name of the required nonprofit, nongovernmental or tribal organization with demonstrated expertise on domestic violence and/or sexual assault.
- 14. The percentage of grant activities, should the application be funded, that will address each of the following issues (the total percentages should not exceed 100%):
 - Domestic Violence.
 - Dating Violence.

- Sexual Assault (intimate partner).
- Sexual Assault (non-intimate partner)
- Stalking.

Memoranda of Understanding (MOUs) and Other Supportive Documents

For purposes of this solicitation, the MOU is a document containing the terms of the partnership and the roles and responsibilities between two or more parties, and it must be included as an attachment to the application in JustGrants. The MOU and other supporting documents are worth a total of **20 points**. The MOU is not a substitute for a subaward agreement, which ensures that subrecipients adhere to the requirements of the award and 2 C.F.R. Part 200 (see 2 C.F.R. § 200.332). Partners receiving funds under the award generally are considered subrecipients because they are carrying out a portion of the federal award.

The MOU **must** be a single document and **must** be signed and dated by the Authorized Representative of each proposed partner organization during the development of the application. OVW will accept electronic signatures. MOUs missing signatures may result in a point deduction or removal from consideration, particularly if the MOU is missing the signature of a required partner. If necessary, an MOU can include multiple signature pages so long as each page includes the names and titles of all signatories to the MOU. A sample MOU is available on the OVW website at https://www.justice.gov/ovw/resources-applicants.

The MOU must clearly:

- 1. Identify the partners and provide a brief history of the collaborative relationship among those partners, including when and under what circumstances the relationship began and when each partner entered into the relationship.
- 2. Describe the roles and responsibilities each partner will assume to ensure the success of the proposed project.
- 3. State that each project partner has reviewed the budget, is aware of the total amount being requested, and is being fully compensated for their work under the grant or is agreeing to be partially compensated or receive no compensation from the grant.
- 4. Include the mission statement of the organization (or designated subdivision) serving as the required expert on domestic violence, dating violence, sexual assault or stalking.
- 5. Identify the organization that will provide legal representation to victims, and the organization responsible for the supervision and mentorship of project attorneys.

Applicants proposing to address the LAV Grant Program sexual assault priority must also:

6. For applicants requesting \$600,000 or less in funding, clearly identify which organization(s) has the expertise in providing sexual assault services. Include how

many years the organization(s) has provided sexual assault services for victims.

- 7. For applicants proposing to provide 80 percent or more of the project's legal services to victims of non-intimate partner sexual assault, and requesting more than \$600,000, the expert must be the lead applicant. Include how many years the organization has provided non-intimate partner sexual assault services.
- 8. Detail the personnel designated to provide sexual assault legal services, including: a) the name and title of each person and b) how many years each staff person has provided sexual assault legal services.

Memorandum of Exemption (MOE)

Applications from lead applicants that, as their mission (or designated subdivision's mission), provide services to domestic violence, dating violence, sexual assault, and/or stalking victims may submit an MOE in lieu of an MOU if they demonstrate that they have the required expertise in providing services and/or legal representation for victims of domestic violence, dating violence, sexual assault, and/or stalking. The MOE must be included as an attachment to the application in JustGrants. The MOE is worth a total of **20** points. If an MOE is submitted in lieu of an MOU, and the applicant does not meet the criteria to submit an MOE, the application may be removed from consideration. Applicants with any questions about whether they meet these requirements should contact OVW.

The MOE must:

- 1. Demonstrate that the purpose/mission of the organization (or designated subdivision) is to serve victims of domestic violence, dating violence, sexual assault, and/or stalking.
- 2. Include the mission statement of the organization (or designated subdivision).
- 3. Describe the applicant's experience providing legal representation to victims of domestic violence, dating violence, sexual assault, and/or stalking by in-house attorneys or contract attorneys, including the length of time the applicant has operated.

Applicants proposing to address the LAV Grant Program sexual assault priority must also:

- 4. State how many years the organization has been providing services for sexual assault victims.
- 5. Detail the personnel designated to provide sexual assault legal services under the proposed project, including: a) the name and title of each person; and b) how many years each staff person has been providing sexual assault legal services.

Additional Application Components

The following components will not be scored but must be included with the application. Failure to supply this information may result in the application being removed from consideration. Some components will be generated during the application submission process while others will be uploaded and attached to the application in JustGrants.

Letter of Nonsupplanting

Applicants must attach a letter to OVW's Director, signed by the Authorized Representative, certifying that federal funds will not be used to supplant non-federal funds should a grant award be made. A sample letter is available at https://www.justice.gov/ovw/resources-applicants.

Confidentiality Notice Form

All applicants are required to acknowledge that they have received notice that grantees and subgrantees must comply with the confidentiality and privacy requirements of VAWA, as amended. Applicants must upload and attach, under Additional Attachments in JustGrants, the completed acknowledgement form available on the OVW website. This form must be signed by the Authorized Representative.

Disclosures and Assurances

Review, complete, and submit all disclosures, assurances, and certifications as described below.

Disclosure of Lobbying Activities

All applicants must complete and submit the *Disclosure of Lobbying Activities* (SF-LLL) form in Grants.gov before beginning the application process in JustGrants.

Applicant Disclosure of Duplication in Cost Items

Applicants must disclose all current and recent OVW awards (if applicable). If the applicant has a current grant or cooperative agreement under any OVW grant program or an award that has been closed within the last 12 months from the date this solicitation closes, the information must be provided in a table using the sample format found on the OVW website at https://www.justice.gov/ovw/resources-applicants. The applicant must also provide the same information regarding any current OVW awards, as well as any pending applications, on which the applicant is a subrecipient.

Applicants also must disclose all other federal grant programs from which the applicant currently receives funding or for which it has applied for funding in FY 2021 **to do similar work**. Provide this information in a table using the sample format found on the OVW website at https://www.justice.gov/ovw/resources-applicants. Both tables, if applicable, should be uploaded as attachments in JustGrants.

DOJ Certified Standard Assurances

DOJ Certified Standard Assurances

Applicants must read and acknowledge the DOJ Certified Standard Assurances in JustGrants.

DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements

Applicants must read and acknowledge these DOJ certifications in JustGrants.

How to Apply

Applications must be submitted electronically via Grants.gov and JustGrants. Applicants that are unable to submit electronically must follow the instructions below under OVW Policy on Late Submissions. See **Submission Dates and Times** below for a list of steps for registering with all required systems and deadlines for completing each step.

Unique Entity Identifier and System for Award Management (SAM)

Federal regulations require that an applicant for federal funding: (1) be registered in SAM before submitting its application; (2) provide a valid unique entity identifier in its application; and (3) continue to maintain an active SAM registration with current information at all times during which it has an active federal award or an application or plan under consideration by a federal awarding agency. In addition, OVW may not make an award to an applicant until the applicant has complied with all applicable unique entity identifier and SAM requirements and, if an applicant has not fully complied with these requirements by the time OVW is ready to make an award, then OVW may determine that the applicant is not qualified to receive an award. See 2 C.F.R. §§ 25.200, 25.205.

The unique entity identifier that applicants for federal grants and cooperative agreements are required to have is a Data Universal Number System (DUNS) number. A DUNS number is a unique, nine-character identification number provided by the commercial company Dun & Bradstreet (D&B). Once an applicant has applied for a DUNS number through D&B, its DUNS number should be available within two business days.

SAM centralizes information about grant recipients and also provides a central location for grant recipients to change organizational information. Grants.gov uses SAM to establish roles and IDs for electronic submission of grant applications.

If the applicant already has an Employer Identification Number (EIN), the SAM registration will take **up to two weeks to process**. If the applicant does not have an EIN, then **the applicant should allow two to five weeks for obtaining an EIN from the Internal Revenue Service**. There is no fee associated with these processes. These processes cannot be

expedited. OVW strongly discourages applicants from paying a third party to apply or register on their behalf in an attempt to expedite these processes. To ensure all applicants are able to apply by the deadline for this solicitation, applicants must have obtained a DUNS number and registered online with the SAM and with Grants.gov no later than January 14, 2021.

Submission Dates and Time

After applicants obtain their DUNS number and register with SAM, they can begin the Grants.gov registration process. The applying organization must complete the Grants.gov registration process prior to beginning an application for a federal grant. The E-Business Point of Contact (E-Biz POC) must register the applicant organization with Grants.gov. The E-Biz POC oversees the applicant's Grants.gov transactions and assigns the AOR. The AOR submits the SF-424 and SF-LLL to Grants.gov and must register with Grants.gov as well. In some cases the E-Biz POC is also the AOR for the applicant. Complete instructions can be found at https://www.grants.gov/web/grants/applicants/registration.html.

In JustGrants, each applying entity will have an assigned Entity Administrator who is responsible for managing entity-level information and assigning roles in the system. The Entity Administrator is also the E-Biz POC designated in SAM.gov. For more information on registering with JustGrants, see https://justicegrants.usdoj.gov/.

It is the applicant's responsibility to ensure that the application is complete and submitted by the deadline. Failure to meet the submission deadline will result in an application not being considered for funding. Applicants should refer to the list below to ensure that all required steps and deadlines are met.

Failure to begin registration or application submission by the deadlines stated in the list below is not an acceptable reason for late submission.

Applicant Actions with Required Dates/Deadlines

- 1. **Obtain a DUNS number by January 14, 2021.** Apply for a DUNS number at https://www.dnb.com or call 1-866-705-5711.
- 2. Register with SAM by January 14, 2021. Access the SAM online registration through the <u>SAM</u> homepage at https://www.sam.gov/SAM and follow the online instructions for new SAM users. If the applicant already has the necessary information on hand, the online registration takes approximately 30 minutes to complete, depending upon the size and complexity of the business or organization. Organizations must update or renew their SAM registration at least once a year to maintain an active status.
- 3. **Register with Grants.gov by January 14, 2021.** Once the SAM registration is active, the applicant will be able to complete the Grants.gov registration.
- 4. Submit Letter of Intent by January 14, 2021 to OVW.LAV@usdoj.gov.

- 6. Download updated version of Adobe Acrobat at least 48 hours before the Grants.gov deadline. Applicants are responsible for ensuring that the most up-to-date version of Adobe Acrobat is installed on all computers that may be used to download the solicitation and to submit the SF-424 and SF-LLL on Grants.gov. To verify that the Adobe software version is compatible with Grants.gov, visit the following link: http://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html.
- 7. Begin application submission process in Grants.gov as early as possible, but no later than 24 48 hours prior to the Grants.gov deadline. Applicants may find this funding opportunity on Grants.gov by using the CFDA number, Grants.gov opportunity number, or the title of this solicitation, all of which can be found on the cover page. Applicants will submit two forms in Grants.gov (SF-424 and SF-LLL). After submitting these forms, the applicant will receive an email notification from JustGrants to complete the rest of the application in JustGrants. If the applicant is a new user in JustGrants, the email will include instructions on registering with JustGrants.
- 8. Upon receipt of this email, register with JustGrants (if not already registered) and begin to develop the application. Some of the application components will be entered directly into JustGrants, and others will require uploading attached documents. Therefore, applicants will need to allow ample time before the JustGrants deadline to prepare each component and to submit the complete application package at least 24 48 hours prior to the deadline. Applicants may save their progress in the system and revise the application as needed prior to hitting the Submit button at the end of the application in JustGrants.
- 9. Confirm application receipt: Applicants should closely monitor their email and JustGrants accounts for any notifications from Grants.gov or JustGrants about a possible failed submission. The user who is authorized to submit applications on behalf of the organization is the one who will receive these notifications. OVW does not send out these notifications, nor does OVW receive a copy of these notifications. It is the applicant's responsibility to notify OVW of any problems with the application submission process. Submitting the application components at least 48 hours before each deadline (Grants.gov or JustGrants, as applicable) will enable the applicant to receive notice of a failed submission and provide an opportunity to correct the error before the applicable deadline.

OVW Policy on Late Submissions/Other Submission Requirements

Applications submitted after 11:59 p.m. E.T. on February 3, 2021 will not be considered for funding, unless the applicant receives OVW permission to submit a late application. In limited circumstances, OVW will approve a request to submit an application after the deadline. The lists below provide a description of the circumstances under which OVW will consider such requests. Approval of a late submission request is not an indication of the application's final disposition. Applications approved for late submission are still subject to the review process and criteria described in this solicitation.

To ensure fairness for all applicants, OVW requires that applicants requesting late submission adhere to the following:

Experiencing Technical Difficulties Beyond the Applicant's Reasonable Control

Issue with SAM, Grants.gov, or JustGrants Registration

- Register and/or confirm existing registration at least three weeks prior to the application deadline to ensure that the individual who will be submitting the application has <u>SAM</u>, Grants.gov, and JustGrants access and is the person registered to submit on behalf of the applicant.
- 2. Maintain documentation of when registration began, any issues related to registration, and all communication with technical support.

<u>Note:</u> Failure to begin the SAM, Grants.gov, or JustGrants registration process in sufficient time (i.e., by the date identified in this solicitation) is not an acceptable reason for late submission.

Unforeseeable Technical Difficulties During the Submission Process

- 1. Contact Grants.gov or JustGrants, as applicable, for Applicant/User Support at least 24 hours prior to the applicable deadline.
- 2. Maintain documentation of all communication with Grants.gov or JustGrants Applicant/User Support.
- 3. Prior to the applicable deadline, contact this program, via email at OVW.LAV@usdoj.gov indicating that the applicant is experiencing technical difficulties and would like permission to submit a late application. The email must include the following: a). a detailed description of the difficulty that the applicant is experiencing, b). the contact information (name, telephone, and email) for the individual making the late submission request, and c). in the case of JustGrants technical difficulties, the complete application packet (Proposal Narrative, Budget and Budget Narrative, MOU/MOE).
- 4. Within 24 hours after the applicable deadline, the applicant must email this program at ovw.LAV@usdoj.gov the following information: a). applicant's DUNS number, b). Grants.gov or JustGrants Applicant/User Support tracking numbers, and c). other relevant documentation.

Common foreseeable technical difficulties for which OVW will not approve a late submission: (1) Using an outdated version of Adobe Acrobat; and (2) Attachment rejection (Grants.gov will reject attachments with names that contain certain unallowable characters).

Note: Through Grants.gov or JustGrants, OVW can confirm when submission began. Applicants that attempt final submission less than 24 hours before the deadline will not be considered for late submission. By beginning the final submission process 24-48 hours before the deadline, applicants should have sufficient time to receive notice of problems with their submissions and make necessary corrections.

Severe Inclement Weather or Natural or Man-Made Disaster

- 1. Contact this program at OVW.LAV@usdoj.gov as soon as the applicant is aware of severe weather or a natural or man-made disaster that may impede the submission of an application by the deadline. The email should include a detailed description of the weather event or natural or man-made disaster. A detailed description includes when the event occurred, or is likely to occur, the impacted area, and the specific impact on the applicant and/or partners' ability to submit the application by the deadline (e.g., without power for "x" days, office closed for "x" days). If the application is complete and ready for the submission at the time the applicant notifies OVW, the application should be included with the email
- Applicants impacted by severe weather or a natural or man-made disaster occurring on the deadline must contact OVW within 48 hours after the due date or as soon as communications are restored.

Note: OVW may not be able to accommodate all requests resulting from severe inclement weather or a natural or man-made disaster.

OVW will review the request for late submission and required documents and notify the applicant whether the request has been approved or denied within 30 days of the submitted request.

Application Review Information

Review Criteria

Applications will be scored based on the degree to which the application responds to each section and addresses each element in the section. Furthermore, applications will be scored based upon the quality of the response, capacity of the applicant and any partners, and the level of detail provided. Each element **must** be addressed in the section in which it is requested. Points may be deducted if the applicant does not include the information in the appropriate section regardless if it is included elsewhere within the application. Each section will be reviewed as a separate document and will be scored as such. Specifically, for the LAV Grant Program, scoring will be as follows:

- 1. Proposal narrative: (60) points, of which:
 - A. Purpose of the proposal: (10) points.
 - B. What will be done: (30) points.
 - C. Who will implement the proposal: (20) points.
- 2. Budget worksheet and budget narrative: (20) points.
- 3. MOU/MOE: (20) points.

Voluntary match or other cost sharing methods will not be considered in the evaluation of the application.

Review and Selection Process

Review and Selection Process

Applications will be subject to a peer review and a programmatic review.

Peer Review

OVW will subject all eligible, complete, and timely applications to a peer review process that is based on the criteria outlined in this solicitation. OVW may use internal reviewers, external reviewers, or a combination of both.

Programmatic Review

All applications that are considered for funding will be subject to a programmatic review. The programmatic review consists of assessing the application for compliance with the program's scope, activities that compromise victim safety, and, if applicable, past performance and priority area review. OVW reserves the right to deduct points from applications for the following reasons:

- 1. Activities that compromise victim safety and recovery and undermine offender accountability (deduct up to 25 points).
- 2. Out-of-scope and unallowable activities (deduct up to 25 points).
- 3. Past performance (deduct up to 25 points).
- 4. Formatting and Technical Requirements (deduct up to 5 points).

An application that is deemed to be substantially out-of-scope, proposes a substantial number of activities that are unallowable, or proposes activities that pose a significant threat to victim safety or a serious breach of confidentiality will not be considered for funding. An applicant with considerable past performance issues may receive a deduction in points as described above or be removed from consideration entirely regardless of the application's peer review score.

As a part of the programmatic review process described above, applicants with current or recently closed OVW awards will be reviewed for past performance and risk based on the elements listed below.

- 1. Demonstrated effectiveness of the current project indicated by timely progress toward meeting project goals and objectives.
- 2. Demonstration that past activities supported with OVW grant funds have been limited to program purpose areas.
- 3. Adherence to all special conditions of existing grant award(s) from OVW.
- 4. Adherence to programmatic and financial reporting requirements, including timely submission of required reports.
- 5. Completion of close-out of prior awards in a timely manner.
- 6. Appropriate use of and active participation in OVW-sponsored workshops and other technical assistance events as required by a special condition of the current or recent award.

- 7. Receipt of financial clearances on all current or recent grants from OVW.
- 8. Timely resolution of issues identified in any audit or on-site financial or programmatic monitoring visit.
- 9. Adherence to the Office of Management and Budget single-audit requirement.
- 10. Timely expenditure of grant funds.
- 11. Adherence to the requirements of the DOJ Financial Guide.

Prior to making an award, OVW is required to review and consider any information about applicants included in the designated integrity and performance system accessible through SAM (currently the Federal Award Performance and Integrity Information System or FAPIIS). Applicants may review and comment on information in FAPIIS about themselves that another federal awarding agency has previously entered. OVW will consider the applicant's comments as well as other information available in FAPIIS in making its judgment about the risk posed by making an award to the applicant as described in 2 C.F.R. § 200.206.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the OVW Director, who also may give consideration to factors including, but not limited to, reaching underserved populations, geographic diversity, OVW priorities, past performance, and available funding when making awards. All award decisions are final and not subject to appeal.

High-Risk Grantees

Based on DOJ's assessment of each grantee with regard to current or previous funding, unresolved audit issues, delinquent programmatic and fiscal reporting, and prior performance, a grantee may be designated "high-risk." Awards to high-risk grantees may carry special conditions such as increased monitoring and/or prohibitions on drawing down funds until certain requirements are met. High-risk grantees with substantial or persistent performance or compliance issues, long-standing open audits, or open criminal investigations may not be considered for funding.

Anticipated Announcement and Federal Award Dates

It is anticipated that all applicants will be notified of the outcome of their applications by October 1, 2021.

Federal Award Administration Information

Federal Award Notices

Successful applicants will receive OVW award notifications electronically from JustGrants (not Grants.gov). This award notification will include instructions on enrolling in Automated Standard Application for Payments (ASAP) and accepting the award. Recipients will be required to log into JustGrants to review, sign, and accept the award. The Authorized Representative must acknowledge having read and understood all sections of the award

instrument and submit the required declaration and certification to accept the award; these steps will be completed electronically in JustGrants.

Administrative, National Policy, and Other Legal Requirements

Information for All Federal Award Recipients

Applicants selected for awards must agree to comply with additional legal, administrative, and national policy requirements. OVW strongly encourages applicants to review the information pertaining to these additional requirements prior to submitting an application. This information can be found in the section of the <u>Solicitation Companion Guide</u> entitled "Post-Award Requirements for All Federal Award Recipients."

Terms and conditions for OVW awards are available at https://www.justice.gov/ovw/award-conditions. These terms are subject to change prior to the issuance of the awards.

Violence Against Women Act Non-Discrimination Provision

The Violence Against Women Reauthorization Act prohibits OVW grantees from excluding, denying benefits to, or discriminating against any person on the basis of actual or perceived race, color, religion, national origin, sex, gender identity, sexual orientation, or disability in any program or activity funded in whole or in part by OVW. Recipients may provide sex-segregated or sex-specific programming if doing so is necessary for the essential operation of a program, so long as the recipient provides comparable services to those who cannot be provided with the sex-segregated or sex-specific programming. Additional information on the civil rights obligations of OVW funding recipients can be found in the Solicitation Companion Guide under "Civil Rights Compliance."

Accessibility

Recipients of OVW funds must comply with applicable federal civil rights laws, which, among other things, prohibit discrimination on the basis of disability and national origin. Compliance with these laws includes taking reasonable steps to ensure that persons with limited English proficiency have meaningful access to recipients' programs and activities and that these programs and activities are readily accessible to individuals with disabilities. More information on these obligations is available in the Solicitation Companion Guide under "Civil Rights Compliance."

General Information about Post-Federal Award Reporting Requirements

OVW grantees are required to submit semi-annual progress reports and quarterly Federal Financial Reports (SF-425). Appropriate progress report forms will be provided to all applicants selected for an award. Forms will be submitted electronically. Future awards and fund drawdowns may be withheld if reports are delinquent. For more information on post award reporting requirements, including requirements for certain recipients to report information on civil, criminal, and administrative proceedings in FAPIIS, see the Solicitation Companion Guide and the award condition on recipient integrity and performance matters available on the OVW website at https://www.justice.gov/ovw/award-conditions.

Progress Reporting Frequency

semi-annual

Federal Awarding Agency Contact(s)

For assistance with the requirements of this solicitation, contact the following: for programmatic questions, contact this program at 202-307-6026 or OVW.LAV@usdoj.gov, for financial questions, contact 888-514-8556 or ovw.gfmd@usdoj.gov, and for technical questions, contact Grants.gov Applicant Support at 800-518-4726 or support@grants.gov or OVW.JustGrantsSupport@usdoj.gov.

Other Information

Public Reporting Burden- Paper Work Reduction Act Notice

Under the Paperwork Reduction Act, a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OVW tries to create forms and instructions that are accurate, can be easily understood, and impose the least possible burden on applicants. The estimated average time to complete and file this form is 30 hours. Comments regarding the accuracy of this estimate or suggestions for simplifying this form can be submitted to the Office on Violence Against Women, U.S. Department of Justice, 145 N Street, NE, Washington, DC 20530.

Note: Any materials submitted as part of an application may be released pursuant to a request under the Freedom of Information Act.

Application Checklist

Applicants must submit a fully executed application to OVW, including all required supporting documentation. Prior to peer review, OVW will not contact applicants for missing items. Additionally, if an applicant plans to submit an application under any other OVW grant program this fiscal year, it is the applicant's responsibility to ensure that only documents pertinent to this solicitation are included with this application. OVW will not redirect documents that are inadvertently submitted with the wrong application (e.g., a Rural Program letter submitted with a Transitional Housing Program application will not be transferred to the Rural application).

Application Document	Completed
Letter of Intent.	
Data Requested with Application.	
Proposal Narrative	
Purpose of ProposalWhat Will Be DoneWho Will Implement the Proposal	
Proposal Abstract.	
Budget Worksheet and Budget Narrative.	
Memorandum of Understanding/Memorandum of Exemption.	
Application for Federal Assistance: SF-424.	
Disclosure of Lobbying Activities (SF-LLL).	
Applicant Financial Capability Questionnaire (if applicable).	
Confidentiality Notice Form.	
Disclosure of Process Related to Executive Compensation (if applicable).	
Pre-Award Risk Assessment.	
Indirect Cost Rate Agreement (if applicable).	
Letter of Nonsupplanting.	
Applicant Disclosure of Duplication in Cost Items.	
Delivery of Legal Assistance Certification Letter.	