

AUCTIONEER QUESTIONNAIRE
OFFICE OF THE U.S. TRUSTEE
WESTERN DISTRICT OF WISCONSIN
NORTHERN DISTRICT OF ILLINOIS/WESTERN DIVISION

PRINCIPAL'S NAME: _____

PRINCIPAL'S SSN: _____

CORPORATE NAME: _____

ADDRESS: _____

TELEPHONE NO.: _____

FAX NO.: _____

1. Are you bonded to do business in the Western District of Wisconsin and/or the Northern District of Illinois, Western Division?

YES NO

If yes, please provide an original copy of your bond to the Office of the U.S. Trustee, 780 Regent Street, Suite 304, Madison, WI 53715.

2. Do you currently carry liability/malpractice insurance?

YES NO

If yes, please provide proof of such insurance to the Office of the U.S. Trustee at the address above.

3. Do you understand and agree that the U.S. Trustee may review your background in areas such as the following: tax history, credit history, arrest/conviction record?

YES NO

4. Have you ever been sued for misappropriation of funds from an estate?

YES NO

If Yes, please provide details (attach separate sheet if necessary):

5. Have you or your insurance carrier ever paid out on a claim?

YES NO

If Yes, please provide details (attach separate sheet if necessary):

6. Describe briefly your experience in conducting sales for bankruptcy estates:

7. Provide the names, address, and telephone numbers of three professional references (preferably trustees or receivers for whom you have conducted auctions):

8. Are you registered with the State of Wisconsin and/or the State of Illinois (circle one or both) as an auctioneer or auction company?

YES (Auctioneer Number: _____) NO

9. Please list all relevant certifications:

10. Please list any memberships in professional organizations:
